

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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U.S. SENATE  
OFFICE OF THE CLERK

99 AUG 16 PM 3:19

HAND DELIVERED

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>O'Brien Calio</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>1350 Eye Street, NW Suite 690</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20005</b>			
3. Principal Place of Business (if different from line 2) City <b>N/A</b> State/Zip (or Country)			
4. Contact Name <b>Charlotte Baldwin</b>	Telephone <b>2028984746</b>	E-mail (optional)	5. Senate ID # <b>29802-238</b>
7. Client Name <input type="checkbox"/> Self <b>Sears Roebuck &amp; Company</b>			6. House ID # <b>30108014</b>

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$140,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 1 of 11

Registrant Name: O'Brien Calio

Client Name: Scars Robuck & Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific Lobbying issues

- H.R.1875, Interstate Class Action Jurisdiction Act of 1999, Entire bill
- H.R.2258, To Treat arbitration clauses which are unilaterally imposed on consumers as an unfair and deceptive trade practice and prohibit their use in consumer transactions, and, for other purposes,
- S.353, Class Action Fairness Act of 1999, Entire bill
- S.625, Bankruptcy Reform Act of 1999, Entire Bill
- S.833, Bankruptcy Reform Act of 1999, Entire Bill

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Calio, Nicholas E.	Assistant to the President	No
Chadwick, Kirsten		No
McKernan, Kim F.		No
Mellody, Charles J.		No
Nelson, Patricia A.		No
O'Brien, III, Lawrence F.		No
Tarplin, Linda E.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 2 of 11

Registrant Name: O'Brien Calio

Client Name: Sears Roebuck & Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific Lobbying issues

17. House(s) of Congress and Federal agencies contacted  Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 3 of 11

Registrant Name: O'Brien Calio

Client Name: Sears Roebuck & Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific Lobbying issues

17. House(s) of Congress and Federal agencies contacted  Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 4 of 11

Registrant Name: O'Brien Calio

Client Name: Sears Roebuck & Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues  
H.R.10, Financial Services Act of 1999,

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Calio, Nicholas E.</u>	<u>Assistant to the President</u>	<u>No</u>
<u>Chadwick, Kirsten</u>		<u>No</u>
<u>McKernan, Kim F.</u>		<u>No</u>
<u>Mellody, Charles J.</u>		<u>No</u>
<u>Nelson, Patricia A.</u>		<u>No</u>
<u>O'Brien, III, Lawrence F.</u>		<u>No</u>
<u>Tarplin, Linda E.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 5 of 11

Registrant Name: O'Brien Calio

Client Name: Sears Roebuck & Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)
16. Specific Lobbying issues  
H.R.987, Workplace Preservation Act,  
S.1070, SENSE Act,  
S.335, Deceptive Mail Prevention and Enforcement Act,  
S.975, Sweepstakes Toll-Free Option Protection Act of 1999,

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Calio, Nicholas E.</u>	<u>Assistant to the President</u>	<u>No</u>
<u>Chadwick, Kirsten</u>		<u>No</u>
<u>McKernan, Kim F.</u>		<u>No</u>
<u>Melody, Charles J.</u>		<u>No</u>
<u>Nelson, Patricia A.</u>		<u>No</u>
<u>O'Brien, III, Lawrence F.</u>		<u>No</u>
<u>Tarplin, Linda E.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 6 of 11

Registrant Name: O'Brien Callo

Client Name: Sears Roebuck & Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MAR (one per page)

16. Specific lobbying issues

17. House(s) of Congress and Federal agencies contacted  Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Callo Date 8/13/99

Printed Name and Title Nicholas E. Callo - Partner Page 7 of 11

Registrant Name: O'Brien Calio

Client Name: Sears Roebuck & Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code PQS (one per page)

16. Specific Lobbying issues  
H.R.22, Postal Modernization Act of 1999,

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Calio, Nicholas E.</u>	<u>Assistant to the President</u>	<u>No</u>
<u>Chadwick, Kirsten</u>		<u>No</u>
<u>McKernan, Kim F.</u>		<u>No</u>
<u>Mellody, Charles J.</u>		<u>No</u>
<u>Nelson, Patricia A.</u>		<u>No</u>
<u>O'Brien, III, Lawrence F.</u>		<u>No</u>
<u>Tarplin, Linda E.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 8 of 11

Registrant Name: O'Brien Calio

Client Name: Sears Roebuck & Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific Lobbying issues

17. House(s) of Congress and Federal agencies contacted  Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 9 of 11

Registrant Name: O'Brien Calio

Client Name: Sears Roebuck & Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues  
H.R.2488, Financial Freedom Act of 1999,  
S.1429, Taxpayer Refund Act of 1999,  
S.456, To amend the Internal Revenue Code of 1986 to allow employers a credit against income tax for information technology training expenses . . .

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Calio, Nicholas E.</u>	<u>Assistant to the President</u>	<u>No</u>
<u>Chadwick, Kirsten</u>		<u>No</u>
<u>McKernan, Kim F.</u>		<u>No</u>
<u>Mellody, Charles J.</u>		<u>No</u>
<u>Nelson, Patricia A.</u>		<u>No</u>
<u>O'Brien, III, Lawrence F.</u>		<u>No</u>
<u>Tarplin, Linda E.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 10 of 11

Registrant Name: O'Brien Calio

Client Name: Sears Roebuck & Company

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific Lobbying issues  
**H.J.Res.57, Disapproving the extension of nondiscriminatory treatment (normal trade relations treatment) to the products of the People's Republic of China, Preserve US/China trading relations**  
**H.R.1621, To Prohibit the use of "Made in the USA" labels on products of the Commonwealth of the Northern Mariana Islands and to deny such products duty-free and quota free treatment,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Calio, Nicholas E.	Assistant to the President	No
Chadwick, Kirsten		No
McKernan, Kim F.		No
Melody, Charles J.		No
Nelson, Patricia A.		No
O'Brien, III, Lawrence F.		No
Tarplin, Linda E.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 11 of 11