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Clerk of the House of Representatives Legislative Resource Center 8-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 332 Hart Building Washington, DC 20510
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Charles Schwab + Co., Inc.	
2. Address <input type="checkbox"/> Check if different than previously reported 655 15th Street, NW Suite 350	
3. Principal Place of Business (if different than line 2) City: Washington State/Zip (or Country): DC 20005	
4. Contact Name Francis J. Kelly	Telephone: 202.638.3752
5. Senate ID # 34637-12	6. House ID # 33951000
7. Client Name <input type="checkbox"/> Self	

8. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ <small>income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ <u>\$140,000.00</u> <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>
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Signature: Francis J. Kelly Francis J. Kelly

Printed Name and Title: Francis J. Kelly SVP + Head of Govt. Affairs

112 (9/13/99) 8

Registrant Name Charles Schwab & Co. Inc. Client Name self

LOBBYING ACTIVITY: Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

HR 1714 Electronic Signatures in Global and National Commerce Act
HR 1858 Consumer and Investor Access to Information Act of 1999
S. 761 Millennium Digital Commerce Act

HR 2488 Taxpayer Refund and Relief Act
S 1429 Taxpayer Refund Act of 1999

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position, (if applicable)	New
Frank Kelly		<input type="checkbox"/>
Kim Famiglietti		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Francis J. Kelly Francis J. Kelly Date 2/14/00
Printed Name and Title Francis J. Kelly, SVP & Head of Govt. Affairs

Registrant Name Charles Schwab & Co. Inc. Check Name self

LOBBYING ACTIVITY: Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

HR 3462 Wealth Through the Workplace Act of 1999

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Frank Kelly</u>		<input type="checkbox"/>
<u>Kim Famiglietti</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

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Signature Francis J. Kelly Francis J. Kelly Date 2/14/00
Printed Name and Title Francis J. Kelly, SVP in-Head of Govt. Affairs

Registrar Name Charles Schwab & Co. Inc. Client Name self

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15. General issue area code TEC (one per page)

16. Specific lobbying issues

- HR 1714 Electronic Signatures in Global and National Commerce Act
- HR 1858 Consumer and Investor Access to Information Act of 1999
- HR 354 Collections of Information Antipiracy Act
- S 761 Millennium Digital Commerce Act
- S 921 Electronic Securities Transactions Act

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Frank Kelly		<input type="checkbox"/>
Kim Famuglietti		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

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15. General issue area code BET (one per page)

16. Specific lobbying issues

HR 2488 Taxpayer Refund and Relief Act of 1999
HR 2095 Healthcare Quality and Access Act

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Frank Kelly</u>		<input type="checkbox"/>
<u>Kim Famuglietti</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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15. General issue area code CPI (one per page)

16. Specific lobbying issues

HR 1714 Electronic Signatures in Global and National Commerce Act
HR 1858 Consumer and Investor Access to Information Act of 1995
HR 354 Collections of Information Antipiracy Act
S 921 Electronic Securities Transactions Act
S 761 Millennium Digital Commerce Act

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Frank Kelly</u>		<input type="checkbox"/>
<u>Kim Famiglietti</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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15. General issue area code FIN (one per page)

16. Specific lobbying issues

HR 10 Financial Services Act of 1999
9900 Gramm-Leach-Bliley Act

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Frank Kelly</u>		<input type="checkbox"/>
<u>Kim Famiglietti</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

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15. General issue area code TOR (one per page)

16. Specific lobbying issues

S 853 Class Action Fairness Act of 1999

17. House(s) of Congress and Federal agencies contacted Check if None

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Kim Famiglietti</u>		<input type="checkbox"/>
<u>Frank Kelly</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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Form 133 (2/98)

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