

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE
02 AUG 11: 30

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Massachusetts Mutual Life Insurance Company			
2. Address <input type="checkbox"/> Check if different than previously reported 1295 State Street			
3. Principal Place of Business (if different from line 2) City: Springfield State/Zip (or Country) Massachusetts 01111			
4. Contact Name Kenneth S. Cohen	Telephone (413)744-6057	E-Mail (optional) kcohen@massmutual.com	5. Senate ID # 23986-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 31968000

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report | Termination Date _____ 11. No Lobbying

INCOME OR EXPENSES – Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> <p align="center">14.</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> \$ <u>1,360,000.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p> <p align="center">15.</p>
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Signature *Kenneth S. Cohen*

Printed Name and Title _____

Registrant Name Massachusetts Mutual Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

H.R. 2341, S. 1712, "Class Action Fairness Act of 2001," all provisions of the bill

17. House(s) of Congress and Federal agencies contacted : Check if None

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
Ellen Wilkins Ellis	
Kevin M. Sweeney	
Gregory Woodworth	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title _____

Registrant Name Massachusetts Mutual Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page) (#1 of 2 Code: FIN)

16. Specific lobbying issues

Health and Human Services Regulations on the privacy of medical information

H.R. 583, To establish the Commission for the Comprehensive Study of Privacy Protection, all provisions

H.R. 2036, "Social Security Number Privacy and Identity Theft Protection Act of 2001," all provisions

17. House(s) of Congress and Federal agencies contacted Check if None

Senate

House

Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
Dennis Herchel	
William B. Fisher	
Alison Weiss	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title _____

Registrant Name Massachusetts Mutual Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page) (#2 of 2 Code: FIN)

16. Specific lobbying issues

S. 848, "Social Security Number Misuse Prevention Act of 2001," all provisions

SEC rule-making to exempt federal thrift institutions from Investment Advisers Act of 1940

S. 2201, "Online Personal Privacy Act", all provisions

17. House(s) of Congress and Federal agencies contacted Check if None

Senate

House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
Dennis Herchel	
William B. Fisher	
Alison Weiss	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title _____

Registrant Name Massachusetts Mutual Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page) (#1 of 2 Code: TAX)

16. Specific lobbying issues

H.R. 3622, "Emergency Worker and Investor Protection Act of 2002", provision addressing the tax deduction fo on debt instruments where the corporate issuer reflects the instrument as equity in its financial records.

S. 892, all provisions relating to extending or making permanent the Subpart F exclusion for active financing in overseas

H.R. 661, S. 992, "Life Insurance Tax Simplification Act of 2001," all provisions

H.R. 909, S. 795, regarding repeal of restrictions on the filing of consolidated returns for life and non-life insura companies.

17. House(s) of Congress and Federal agencies contacted Check if None

House

Senate

Treasury

White House

IRS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
Ellen Wilkins Ellis	
Alison Weiss	

Check if None

19. Interest of each foreign entity in the specific issues listed on line 16 above

Signature _____

Printed Name and Title _____

Registrant Name Massachusetts Mutual

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page) (#2 of 2 Code: TAX)

16. Specific lobbying issues

IRS Notice S. 2001-10 and 2002-8 relating to taxation of split dollar life insurance policies

H.R. 831, S. 627, "Long Term Care and Retirement Security Act of 2001," provisions relating to tax incentives f premiums paid for long-term care insurance

P.L. 107-147, "Job Creation and Worker Assistance Act of 2002", provisions relating to the temporary suspensi Section 809 Add-On tax and the extension of Subpart F exclusion for active financing income earning overseas.

No bill number, consideration of legislative measure to impose federal premium taxes for life insurers to addres inversions

17. House(s) of Congress and Federal agencies contacted Check if None

House

Senate

Treasury

IRS

White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
Margaret Sperry	
Ellen Wilkins Ellis	
Alison Weiss	
Kevin Sweeney	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Registrant Name Massachusetts Mutual Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page) (#1 of 3 Code: RET)

16. Specific lobbying issue

P.L. 107-147, "Job Creation and Worker Assistance act of 2002", provisions relating to 30-year Treasury bond and defined benefit pension plans and variable/PBGC premium calculations

H.R. 2269, S.1978 "Retirement Security Advice Act of 2001," all provisions

H.R. 10 (Public Law 107-16), "Comprehensive Retirement Security and Pension Reform Act of 2001," all provisions

S. 742, "Retirement Security and Savings Act of 2001," all provisions

S. 1677, "Independent Investment Adviser Act of 2001," all provisions

S.2673, "Public Accounting Reform and Investor Protection Act of 2002", provisions relating to suspension period and conversion of 401(k) plans

17. House(s) of Congress and Federal agencies contacted Check if None

House

Senate

Treasury

Department of Labor

White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Kenneth S. Cohen</u>	
<u>Michelle St. John</u>	
<u>Alison Weiss</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title _____

Registrant Name Massachusetts Mutual Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page) (#2 of 3 Code: RET)

16. Specific lobbying issues

S. 2190 "WIRE Act", all provisions relating to 401(k) plans

No bill number, consideration of issues to be included in House comprehensive pension legislation

IRS Small Pension Plan Compliance Study

IRS Determination Letter Program

S.2087, no bill name, a bill to make a variable tax credit for employer provided independent investment advice,

H.R. 4931, "Retirement Security Act of 2002", all provisions

17. House(s) of Congress and Federal agencies contacted Check if None

House

Senate

Treasury

Department of Labor

IRS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Kenneth S. Cohen</u>	
<u>Alison Weiss</u>	
<u>Edmond F. Ryan</u>	
<u>Michelle R. St. John</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title _____

Registrant Name Massachusetts Mutual Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page) (#3 of 3 Code: **RET**).

16. Specific lobbying issues

H.R. 586, "Tax Relief Guarantee Act of 2002", provisions to make permanent the expanded IRA and retirement incentives of the "Economic Growth and Tax Relief Reconciliation Act of 2001."

H.R. 3762, "Pension Security Act of 2002", all provisions

S. 1971, "National Employer Savings and Trust Equity Act of 2002", all provisions

S. 1992, "Protecting America's Pensions Act of 2002", all provisions

P.L. 107-147, "Job Creation and Worker Assistance Act", provisions relating to relief from the 30-year Treasur for defined benefit pension plan funding and variable/PBGC premium calculations

H.R. 3669, "Employee Retirement Savings Bill of Rights", all provisions

17. House(s) of Congress and Federal agencies contacted Check if None

House

Senate

Treasury

Department of Labor

White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Kenneth S. Cohen</u>	
<u>Alison Weiss</u>	
<u>Ellen Wilkins Ellis</u>	
<u>Michelle R. St. John</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title _____

Registrant Name Massachusetts Mutual Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page) (#1 of 2 Code: INS)

16. Specific lobbying issues

H.R. 1408, "Financial Services Anti-Fraud Network Act of 2001," all provisions

S. ____, a bill sponsored by Senator Schmer relating to an optional federal charter for life insurance companies

House consideration of the costs of multi-state regulation of life insurers

H.R. 3210, S.2600, "Terrorism Risk Protection Act," all provisions

S. 1744, "Terrorism Insurance Act," all provisions

H.R. 3766, "Insurance Industry Modernization and Consumer Protection Act", all provisions relating to an opti charter for life insurance companies.

17. House(s) of Congress and Federal agencies contacted. Check if None

House

Senate

White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Kenneth S. Cohen</u>	
<u>William B. Fisher</u>	
<u>Alison Weiss</u>	
<u>Ellen Wilkins Ellis</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title _____

Registrant Name Massachusetts Mutual Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

Solicitation of funds to promote the funding of the "Game Face" educational program in public middle schools.

17. House(s) of Congress and Federal agencies contacted Check if None

Department of Education

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Ellen Wilkins Ellis</u>	
<u>Alison B. Weiss</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title _____

Registrant Name Massachusetts MutualClient Name Self**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Kevin Sweeney

ISSUE UPDATE24. General lobbying issues previously reported that **no longer** pertain**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)
OppenheimerFunds, Inc.	498 Seventh Avenue	New York, New York 100

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant or affiliated organization

Signature



Date

8/2/0

Printed Name and Title

Kenneth S. Cohen, Senior Vice President and Deputy General Counsel

