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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>O'Brien Calio</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>1350 Eye Street, NW Suite 690</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20005</b>			
3. Principal Place of Business (if different from line 2) City <b>N/A</b> State/Zip (or Country)			
4. Contact Name <b>Charlotte Baldwin</b>		Telephone <b>2028984746</b>	E-mail (optional) 5. Senate ID # <b>29802-241</b>
7. Client Name <input type="checkbox"/> Self <b>Securities Industry Association</b>		6. House ID # <b>30108015</b>	

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$120,000.00</u> <small>Income (nearest \$20,000)</small>	<b>EXPENSES</b> relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ <small>Expenses (nearest \$20,000)</small>
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 1 of 4

Registrant Name: O'Brien Calio

Client Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

**HR 16, Financial Services Competitiveness Act of 1999, Functional Regulation; commercial and banking affiliation.  
S.900, Financial Services Modernization Act of 1999,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Calio, Nicholas E.</b>	<b>Assistant to the President</b>	<b>No</b>
<b>Chadwick, Kirsten</b>		<b>No</b>
<b>McKernan, Kim F.</b>		<b>No</b>
<b>Mellody, Charles J.</b>		<b>No</b>
<b>Nelson, Patricia A.</b>		<b>No</b>
<b>O'Brien, III, Lawrence F.</b>		<b>No</b>
<b>Tarplin, Linda E.</b>		<b>No</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 2 of 4

Registrant Name: O'Brien Calio

Client Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues  
**H.R.1102, Comprehensive Retirement Security and Pension Reform Act,  
H.R.2488, Financial Freedom Act of 1999,  
S.1429, Taxpayer Refund Act of 1999,  
S.646, Retirement Savings Opportunity Act of 1999,  
S.741, Pension Coverage and Portability Act.**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**Department of Treasury  
House of Representatives  
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Calio, Nicholas E.</b>	<b>Assistant to the President</b>	No
<b>Chadwick, Kirsten</b>		No
<b>McKernan, Kim F.</b>		No
<b>Mellody, Charles J.</b>		No
<b>Nelson, Patricia A.</b>		No
<b>O'Brien, III, Lawrence F.</b>		No
<b>Tarplin, Linda E.</b>		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Nicholas E. Calio Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner Page 3 of 4

Registrant Name: O'Brien Calio

Client Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific Lobbying issues

H.J.Res.57, Disapproving the extension of nondiscriminatory treatment (normal trade relations treatment) to the products of the People's Republic of China, Preser US/China trading status

17. House(s) of Congress and Federal agencies contacted  Check if None  
Executive Office of the President  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Calio, Nicholas E.</u>	<u>Assistant to the President</u>	<u>No</u>
<u>Chadwick, Kirsten</u>		<u>No</u>
<u>McKernan, Kim F.</u>		<u>No</u>
<u>Melody, Charles J.</u>		<u>No</u>
<u>Nelson, Patricia A.</u>		<u>No</u>
<u>O'Brien, III, Lawrence F.</u>		<u>No</u>
<u>Tarplin, Linda E.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature: Nicholas E. Calio Date: 8/13/99

Printed Name and Title: Nicholas E. Calio - Partner Page 4 of 4