

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE
03 AUG 14 PM 12:27

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Humana Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 500 West Main Street			
3. Principal Place of Business (if different from line 2) Louisville KY 40202 City: State/zip (or Country)			
4. Contact Name Heidi Margulis	Telephone (502) 580-1854	E-mail (optional) hmargulis@humana.com	5. Senate ID # 18912-0
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 32289000

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbying Activities ☐

INCOME OR EXPENSES Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ <div style="text-align: center;">Income (nearest \$20,000)</div>	EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>220,000.00</u> <div style="text-align: center;">Expenses (nearest \$20,000)</div>
14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions of lobbying <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of Internal Revenue Code	

Signature Heidi S. Margulis Date 8-05-03

Printed Name and Title Heidi S. Margulis, SVP-Govt Relations

LD-2 (REV. 4/03)

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

Issues related to TRICARE and military health care funding
National Defense Authorization Act--HR 1588 and S. 1050

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
Department of Defense -- Health Affairs and TRICARE Management Activity

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie Rosado	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature *Heidi S. Mangels* Date 8-5-03

Printed Name and Title Heidi Margulis, SVP-Govt Relations ()

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HIPAA Privacy, Security & EDI rules
ERISA/COBRA rules
Genetic Non-Discrimination--S.1053
Association Health Plans--HR660 & S.545
Patient Safety & Quality Improvement--HR 663 & HR 877
Physician Antitrust Bill--HR 1120
Mental Health Equitable Treatment Act--S 486

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
Dept. of Health & Human Services
Dept of Labor
Office of Mgt & Budget
White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie Rosado	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature *Heidi S. Margolis* Date 8-05-03

Printed Name and Title Heidi Margulis, SVP Govt Relations

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Items relating to Medicare reimbursement and prescription drug coverage--HR 1, HR 26, HR 1562, HR 1568, S. 1, S. 7, S. 590

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
Department of Health & Human Services
Office of Management & Budget
White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie Rosado	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi S. Margulis Date 8-05-03

Printed Name and Title Heidi Margulis, SVP-Government Relations

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Registrant Name _____ Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Rollover of Flexible Spending Accts--HR 176, HR 1177, HR 1776
 Health Savings Accts (including FSAs, MSAs, HSAs) HR 2351
 Regulatory issues related to Health Reimbursement Accounts & Flexible Spending Accts
 Regulatory issues related to implementation of the health care tax credit portion of the Trade Promotion Authority legislation

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
 U.S. Senate
 Dept. of Treasury
 Office of Management & Budget

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie Rosado	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Heidi S. Margulis

Date _____

8-05-03

Printed Name and Title Heidi Margulis, SVP-Govt Relations

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

Help Efficient Accessible, Low-Cost, Timely Healthcare Act--HR 5 & S. 607
Class Action Reform--HR 1115 & S. 274
Patients First Act (medical malpractice) S.11

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie Rosado	
<i>Heidi Margulis</i>	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature *Heidi L. Margulis* Date 8-05-03

Printed Name and Title Heidi Margulis, SVP-Govt Relations

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Registrant Name Humana Inc. Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Please note name change of lobbyist due to marriage: from Mary McKenzie to Mary Rosado

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)
N/A		

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ow perc cler
N/A				

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, client
affiliated organization N/A

Signature  Date 8-05-03

Printed Name and Title Heidi Margulis, SVP-Govt Relations

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