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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

| | | | |
|--|------------------------------------|-------------------|----------------------------------|
| 1. Registrant Name <u>American Water Works Assn.</u> | | | |
| 2. Address <input type="checkbox"/> Check if different than previously reported <u>1401 New York Ave. NW; Suite 640; Washington, DC 20005</u> | | | |
| 3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____ | | | |
| 4. Contact Name <u>Tom Curtis</u> | Telephone <u>(202) 628-8303</u> | E-mail (optional) | 5. Senate ID # <u>3663-12</u> |
| 6. Client Name <input checked="" type="checkbox"/> Self | 6. House ID # <u>30455000</u> | | |

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:
Less than \$10,000 ☐
\$10,000 or more ☐ ⇨ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations
EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 ☐
\$10,000 or more ☒ ⇨ \$ 40,000
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.

- ☒ Method A. Reporting amounts using LDA definitions only
☐ Method B. Reporting amounts under section 6032(b)(6) of the Internal Revenue Code
☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature _____

Printed Name and Title _____

LO-2 (REV. 6/98)

PAGE 1 of 4

Registrant Name Am. Water Works Assn Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.R. 4635 VA, HUD and Independent Agencies Appropriations
(EPA operating funds, capitalization of state revolving loan funds, research)

H.R. 4733 Energy and Water Development Appropriations
(public water supply projects)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) | New |
|--|---|-------------------------------------|
| | | <input type="checkbox"/> |
| Al Warburton, Director of Legislative Affairs | | <input type="checkbox"/> |
| Tom Curtis, Deputy Executive Director, Government Affairs | | <input checked="" type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rev. 6/98)

Page 2 of 4

Registrant Name Am. Water Works Assn. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific lobbying issues

H.R. 623 Plumbing Standards Improvement Act (water conservation)

S. 2723 A Bill to Amend the Clean Air Act to Allow Waivers of the Oxygen Content Requirement for Reformulated Gasoline. (MTBE contamination of drinking water supplies)

S. 51886 " " " " " ☐ Check if None

17. House(s) of Congress and Federal agencies contacted

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) | New |
|---|---|-------------------------------------|
| | | <input type="checkbox"/> |
| Al Warburton, Director of Legislative Affairs | | <input type="checkbox"/> |
| Tom Curtis, Deputy Executive Director, Government Affairs | | <input checked="" type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

Form LD-2 (Rev. 6/98)

Page 3 of 4

Registrant Name Am. Water Works Assn. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code NAT (one per page)

16. Specific lobbying issues

H.R. 4411 Water Resources Development Act (public water supplies)
S. 2437 " " " " " " " "

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) | New |
|---|---|-------------------------------------|
| | | <input type="checkbox"/> |
| All Warburton, Director of | | <input type="checkbox"/> |
| Legislative Affairs | | <input type="checkbox"/> |
| Tom Curtis, Deputy Executive | | <input checked="" type="checkbox"/> |
| Director, Government Affairs | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Thomas W. Curtis Date 8/11/00

Printed Name and Title Tom Curtis, Deputy Executive Director, Government Affairs

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