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SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

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|--|--|--|--------------------------|
| 1. Registrant Name Philip S. Smith & Associates, Inc. | | | |
| 2. Address <input type="checkbox"/> Check if different than previously reported 611. Pennsylvania Ave, S.E., Suite #381 Washington, D.C. 20003 | | | |
| 3. Principal Place of Business (if different from line 2) City: _____ State/zip (or Country) _____ | | | |
| 4. Contact Name Telephone E-mail (optional) Philip S. Smith (202) 543-1444 | | | 5. Senate ID # 48257- |
| 7. Client Name <input type="checkbox"/> Self Major General Vang Pao, US Secret Army, RLA (Ret.) | | | 6. House ID # 3448-0 |

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) ☒ OR Year End (July 1-D

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇔ Termination Date _____

11. No Lobby

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| | |
|---|--|
| <p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input checked="" type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇔ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇔ \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of c</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p> |
|---|--|

Signature  Date 1-16-04

Printed Name and Title Philip S. Smith, President

FD-350 (REV. 10-20-03)

61071

Registrant Name Philip S. Smith & Associates, Inc. Client Name Major General Vang Pao

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code VET (one per page)

16. Specific lobbying issues

In support of National Recognition Ceremonies in the US Congress and Washington, D.C. to honor Laotian and Hmong veterans of the US Secret War in Laos and their clandestine and military advisors.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House, US Senate
US Department of Defense
Central Intelligence Agency
US Dept. of State
Dept. of Homeland Security, Others

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
| Lisa Kue | N/A |
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Philip S. Smith, Pres.

Date

1-12-00

Printed Name and Title Philip S. Smith, President

Form LD-2 (Rev. 4/03)

Page 2

Philip S. Smith & Associates, Inc.
 Registrant Name _____ Client Name _____

Major General Var

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

In support of providing political asylum and resettlement in third countries of Laotian and Hmong asylum seekers and refugees, including the ethnic Hmong and Laotian veterans and their refugee families seeking refuge at the Buddhist temple of Wat Tham Krabok, Thailand. In opposition to the forced repatriation of Laotian asylum seekers.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives, US Senate
 US Department of State
 US Department of Homeland Security
 US Department of Justice
 US Central Intelligence Agency, Others

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
| Lisa Kue | N/A |
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Page 3

Registrant Name Philip S. Smith & Associates, Inc. Client Name Major General Vang Pa

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

In support of the Laotian/Hmong Veterans Naturalization Act as amended, all titles, US Congressional Oversight and Implementation, (PL-106-207, as amended).

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House, US Senate
US Dept. of Justice
US Dept. of Homeland Security
Central Intelligence Agency
US Dept. of Defense, Others

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
| Lisa Kue | N/A |
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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

In support of H.Res. 402, to promote political and human rights reforms in Laos, international election monitoring, enhanced religious freedom and press freedom, an open society and international humanitarian assistance and monitoring of closed military zones where ethnic Hmong and Laotian civilians and rebels are being subjected to a campaign of mass starvation and ethnic cleansing by Communist military & security forces.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House, US Senate
US Department of State, US Department of Defense
Dept. of Homeland Security, Dept. of Justice,
Central Intelligence Agency, etc.

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
| Lisa Kue | N/A |
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1-12-6

Printed Name and Title

Philip S. Smith, President

Form LD-2 (Rev. 4/03)

Page 1

Registrant Name Philip S. Smith & Associates, Inc. Client Name Major General Vang Pa

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

In support of the U.S. Commission on International Religious Freedom's (USCIRF) mandate, and US Congressional oversight of the USCIRF and its enacting legislation.
Oversight and implementation of the International Religious Freedom Act, as amended, all titles.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

US House, US Senate
US Department of State
USCIRF, US Dept. of Justice
Dept. of Homeland Security, Others

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
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Printed Name and Title Philip S. Smith, President

Form LD-2 (Rev. 4/03)

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

In support of jailed Laotian student leaders and dissident and their families, who were involved in peaceful, pro-democracy demonstrations in Vientiane, Laos, in opposition to the Communist regime, in October of 1999;

In support of Laotian and Hmong dissidents, religious leadership opposition leaders and others seeking reform and political change in Laos as well as political asylum in third countries

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House, US Senate
US Department of State
US Department of Homeland Security
US Department of Defense
Central Intelligence Agency. others.

18. Name of each individual who acted as a lobbyist in this issue area

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

In opposition to granting Normalized Trade Status (NTR) to the Communist regime in Laos.

In opposition to the McCollum/Crane bill, and its Senate counterpart legislation, seeking to grant NTR trade status to the Communist regime in Laos, all titles.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

US House, US Senate
US Department of State
US Department of Commerce
USTR, US Dept. of Justice
US Dept. of Homeland Security
Others

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
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Philip S. Smith, Pres.

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Page 1