

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name			
Organization	The Trustees of Columbia University		
2. Address <input type="checkbox"/> Check if different than previously reported			
Address	535 West 116th Street	302 Low Library	
City	New York	State	NY
		Zip Code	10027
		Country	U
3. Principal place of business (if different than line 2)			
City		State	
		Zip Code	
		State/Zip or Country	
4a. Contact Name		b. Telephone number	c. E-mail
Prefix	Full Name		
Ms.	Ellen S. Smith	(212) 854-3394	ess9@columbia.edu
			5. Senate ID #
			38585
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID #
The Trustees of Columbia University			30887

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) OR Year End (July 1-December)
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying A

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>100,000</u></p> <p>14. REPORTING METHOD. Check box to indicate reporting accounting method. See instructions for description of</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Revenue Code</p>
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Form

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Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia Univers

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

HR4766 Agriculture Appropriations Act 2005 HR4754 / S2809 Commerce/ Justice/State/ Judiciary Appropriations 2005 HR4613/S2559 Defense Appropriations Act 2005 HR4614 Energy & Water Appropriations Act 2005 HR4818 Foreign Operations Appropriations Act 2005 HR4567/S2537 Homeland Security Appropriations Act 2005

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue if necessary*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Ellen S.	Smith		Asst. VP and Director of Government Relations
Matthew	Bianco		Asst. Director of Government Relations
Nicholas	Moustakas		Sr. Government Relations Assoc.

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

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Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia Univer

ADDENDUM for General Lobbying Issue Area BUD

16. Specific lobbying issues (continued from previous page)

HR4568 Interior Appropriations Act 2005
Labor/HHS/ Education Appropriations Act 2005
Transportation/ Treasury Appropriations Act 2005
HR5041 /S2825 VA/HUD Appropriations Act, 2005
S.CON.RES.95 Budget Appropriations, FY2005 resolution
HR4818 FY 2005 Consolidated Appropriations

All provisions relating to science, healthcare and education funding

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia University

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT - Copyright/Patent/Trademark (one per page)

16. Specific lobbying issues

S2560 Inducing Infringement of Copyright Act of 2004
 HR2391/S2192 Cooperative Research and Technology Enhancement (CREATE) Act of 2004
 HR2896 American Jobs Creation Act of 2003
 S1637 Jumpstart Our Business Strength (JOBS) Act
 HR3837/ S2103 To amend the Internal Revenue Code of 1986 to limit the deduction for charitable contributions of patents and similar property.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
 U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page for each issue area*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Ellen S.	Smith		Asst. VP and Director of Government Relations
Matthew	Bianco		Asst. Director of Government Relations
Nicholas	Moustakas		Sr. Government Relations Associate

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Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia Univer:

ADDENDUM for General Lobbying Issue Area CPT

16. Specific lobbying issues (continued from previous page)

HR3261 Database and Collections of Information Misappropriation Act
Labor/HHS/ Education Appropriations Act 2005
HR1561 United States Patent and Trademark Fee Modernization Act of 2004

All provisions relating to intellectual property issues with relevance to higher education

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia Univers

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code EDU - Education (one per page)

16. Specific lobbying issues

HR3076 Graduate Opportunities in Higher Education Act
 HR3077 International Studies in Higher Education Act of 2003
 HR4473 Educational Opportunity for the 21st Century Department of Education Appropriations Act, 2005
 HR4283 College Access and Opportunity Act of 2004
 HR4283 College Access and Opportunity Act of 2004
 HR4370 Direct Loan Reward Act of 2004

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
 U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Ellen S.	Smith		Asst. VP and Director of Government Relations
Matthew	Bianco		Asst. Director of Government Relations
Nicholas	Moustakas		Sr. Government Relations Associate

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Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

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Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia Univer

ADDENDUM for General Lobbying Issue Area EDU

16. Specific lobbying issues (continued from previous page)

HR3966 ROTC and Military Recruiter Equal Access to Campus Act of 2004
HR4282 College Access and Opportunity Act of 2004
HR2211 Ready to Teach Act
S. 2715 The International Student and Scholar Access Act of 2004

All provisions relating to higher education funding and policy

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia Univers

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which tl engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG - Energy/Nuclear (one per page)

16. Specific lobbying issues

Add page to continue specific issues description for this issue area

HR4614 Energy & Water Appropriations Act 2005
All provisions relating to scientific research and education

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding truly staff*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Ellen S.	Smith		Asst. VP and Director of Government Relations
Matthew	Bianco		Asst. Director of Government Relations
Nicholas	Moustakas		Sr. Government Relations Associate

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR - Health Issues (one per page)

16. Specific lobbying issues *Add page to continue specific issues description for this issue.*

H.R.3108 Pension Funding Equity Act of 2004
 HR4280 Help Efficient, Accessible, Low-cost, Timely Healthcare
 S.1637 Jumpstart Our Business Strength (JOBS) Act
 S. 2484 Department of Veterans' Affairs Health Care Personnel Enhancement Act of 2004
 Labor/HHS/ Education Appropriations Act 2005
 All provisions relating to scientific and public health research and training funding and policy

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
 U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue additional lobbyist staff*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Ellen S.	Smith		Asst. VP and Director of Government Relations
Matthew	Bianco		Asst. Director of Government Relations
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15. General issue area code HOM - Homeland Security (one per page)

16. Specific lobbying issues *Add page to continue specific issues description for this issue.*

HR4567/ S2537 Department of Homeland Security Appropriations Act, 2005
 All provisions relating to scientific research and education

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
 U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue additional lobbyist info.*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Ellen S.	Smith		Asst. VP and Director of Government Relations
Matthew	Bianco		Asst. Director of Government Relations
Nicholas	Moustakas		Sr. Government Relations Associate

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15. General issue area code IMM - Immigration (one per page)

16. Specific lobbying issues

Add page to continue specific issues description for this issue

S. 2715 The International Student and Scholar Access Act of 2004
 HR4373 Furthering Education and Research through Mantis Improvements Act
 All provisions relating to international students and scholars

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
 U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue names of lobbyist*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Ellen S.	Smith		Asst. VP and Director of Government Relations
Matthew	Bianco		Asst. Director of Government Relations
Nicholas	Moustakas		Sr. Government Relations Associate

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code MED - Medical/Disease Research/Clinical Labs (one per page)

16. Specific lobbying issues

Add page to continue specific issues description if necessary

Labor/HHS/ Education Appropriations Act 2005
HR4682 Stem Cell Research Enhancement Act of 2004

All provisions relating to scientific and public health research and training funding and policy

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue description if necessary*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Ellen S.	Smith		Asst. VP and Director of Government Relations
Matthew	Bianco		Asst. Director of Government Relations
Nicholas	Moustakas		Sr. Government Relations Associate

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each co** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM - Medicare/Medicaid (one per page)

16. Specific lobbying issues

Add page to continue specific issues description

Labor/HHS/Education Appropriations FY 2005

All provisions relating to financing of hospitals, medical education and physicians

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue list of lobbyist, et. c.*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Ellen S.	Smith		Asst. VP and Director of Government Relations
Matthew	Bianco		Asst. Director of Government Relations
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15. General issue area code SCI - Science/Technology (one per page)

16. Specific lobbying issues

HR 4546 National Oceanic and Atmospheric Administration Act
 HR4607 National Oceanic and Atmospheric Administration Organic Act of 2004
 HR2693 Marine Mammal Protection Act Amendments of 2004
 HR4454 Ecoterrorism Prevention Act of 2004
 HR4754 Commerce/ Justice/State/ Judiciary Appropriations 2005
 HR4613/S2559 Defense Appropriations Act 2005

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives, U.S. Senate, Dept. of State, National Science Foundation, National Oceanic and Atmospheric Administration, Office of Management and Budget

18. Name of each individual who acted as a lobbyist in this issue area *Attach a page to each issue area listing the names of each lobbyist.*

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Ellen S.	Smith		Asst. VP and Director of Government Relations
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Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia University

ADDENDUM for General Lobbying Issue Area SCI

16. Specific lobbying issues (continued from previous page)

HR4614 Energy & Water Appropriations Act 2005
HR4567/S2537 Homeland Security Appropriations Act 2005
HR4568 Interior Appropriations Act 2005
Labor/HHS/ Education Appropriations Act 2005
VA/HUD Appropriations Act, 2005
HR2400 National Defense Authorization Act for Fiscal Year 2005

All provisions relating to scientific research funding and policy

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia Univers

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues *Add page to continue specific issue information if needed*

S. 1637 Jumpstart Our Business Strength (JOBS) Act
 HR3837/ S. 2103 To amend the Internal Revenue Code of 1986 to limit the deduction for charitable contributions of patents and similar property.
 HR 5186 Taxpayer-Teacher Protection Act of 2004
 HR 4520 American Jobs Creation Act of 2004
 All provisions relating to tax issues of relevance to not-for-profit institutions and students

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
 U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue additional lobbyist information if needed*

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Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia Univers

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TRA - Transportation (one per page)

16. Specific lobbying issues

Add page to continue specific issues description for this issue.

HR3550 Transportation Equity Act: A Legacy for Users
 S.1072 Safe, Accountable, Flexible, and Efficient Transportation Equity Act of 2004
 Transportation/ Treasury Appropriations Act 2005

 All provisions relating to urban transportation and transit issues

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
 U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyist information.*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
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Add a page for each

Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relation

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Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia Univers

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address

City

State

Zip Code

Country

21. Client new principal place of business (if different than line 20)

City

State

Zip Code

Country

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

First Name

Last Name

Suffix

First Name

Last Name

1

3

2

4

ISSUE UPDATE

Find the code to select below.

24. General lobbying issues that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)
	Address	City
	C/S/Z	State Country
	Address	City
	C/S/Z	State

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

1

2

3

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
	Street Address		
	City State/Province Country	City	
		State Country	

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant or affiliated organization

1

3

5

2

4

6

Add a page if necessary.

Registrant Name The Trustees of Columbia University Client Name The Trustees of Columbia Univer

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address

City

State

Zip Code

Country

21. Client new principal place of business (if different than line 20)

City

State

Zip Code

Country

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

First Name

Last Name

Suffix

First Name

Last Name

1

3

2

4

ISSUE UPDATE

Find the code to select below.

24. General lobbying issues that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal place of Busir (city and state or cour
	Address C/S/Z	City State Country
	Address C/S/Z	City State

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

1

2

3

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Street Address City	Address State/Province Country	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	C P C
			City State Country		

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant affiliated organization

1

3

5

2

4

6

Signature:



Date: 2/10/05

Printed Name and Title Ellen S. Smith, Asst. Vice President and Director of Government Relations

LD-2DS (REV. 4/03)

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