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02 MAR - 1 PM 5:06  
**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name A. O. Smith Corporation			
2. Address <input type="checkbox"/> Check if different than previously reported 11270 West Park Place, Milwaukee, WI 53224			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID
W. David Romoser	(414) 359-4137	dromoser@aosmith.com	356
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID 317

**TYPE OF REPORT** 8. Year \_\_\_\_\_ Midyear (January 1-June 30)  OR Year End (July 1-E

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobby

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ 60,000 Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6011 Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
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Signature Roger S. Smith 2/14/02  
Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs

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Registrant Name A. O. Smith Corporation Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Presidents tax cut: Individual tax cuts, death tax repeal, permanent R & D tax increase IRA contribution, corporate AMT repeal 30% first year depreciation on goods for three years, five year carry back of net operating losses, permanent of employer-provided education assistance (section 127). Presidents stimulus package.

17. House(s) of Congress and Federal agencies contacted  Check if None

Administration, House, and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Roger S. Smith	

19. Interest of each foreign entry in the specific issues listed on line 16 above  Check if None

Signature Roger S. Smith Date 2/14/02

Printed Name and Title Roger S. Smith, Manager, Corporate Advertising and Public Affairs



## LOBBYING REPORT ADDENDUM

Registrant Name    A. O. Smith Corporation

Client Name                    Self

*Lines 1-7. Not applicable*

8. General lobbying issue area code (enter one)   LBR
9. Specific lobbying issues (include bill numbers and specific executive branch actions)

OSHA's ergonomic regulation & repeal

10. Houses of Congress and Federal agencies contacted

House and Senate

11. Name and title of each employee who acted as a lobbyist

Roger S. Smith  
Manager Corporate Advertising & Public Affairs

12. For registrants identifying foreign entities in the Lobbying Registration (Form LD-1, line 12) or any updates: Is such foreign entry in the specific lobbying issues listed on line 9 above

None



## LOBBYING REPORT ADDENDUM

Registrant Name    A. O. Smith Corporation

Client Name                    Self

*Lines 1-7. Not applicable*

8. General lobbying issue area code (enter one)    HCR

9. Specific lobbying issues (include bill numbers and specific executive branch actions)

-Health Care - "Bipartisen Patient Protection Act 2001" and all "Patients Bi of Rights". Lobbied against erosion of ERISA and all the liability provisi that expose businesses to lawsuits.

10. Houses of Congress and Federal agencies contacted

Administration, House, and Senate

11. Name and title of each employee who acted as a lobbyist

Roger S. Smith  
Manager Corporate Advertising & Public Affairs

12. For registrants identifying foreign entities in the Lobbying Registration (Form LD-1, line 12) or any updates: 1 such foreign entity in the specific lobbying issues listed on line 9 above

None





**LOBBYING REPORT ADDENDUM**

**Registrant Name** A. O. Smith Corporation

**Client Name** Self

*Lines 1-7. Not applicable*

8. General lobbying issue area code (enter one) TRD

9. Specific lobbying issues (include bill numbers and specific executive branch actions)  
-Pass trade promotion authority and free trade areas of America.

10. Houses of Congress and Federal agencies contacted

House and Senate

11. Name and title of each employee who acted as a lobbyist

Roger S. Smith  
Manager Corporate Advertising & Public Affairs

12. For registrants identifying foreign entities in the Lobbying Registration (Form LD-1, line 12) or any updates: Is such foreign entity in the specific lobbying issues listed on line 9 above

None



**LOBBYING REPORT ADDENDUM**

**Registrant Name** A. O. Smith Corporation

**Client Name** Self

*Lines 1-7. Not applicable*

8. General lobbying issue area code (enter one) MMM

9. Specific lobbying issues (include bill numbers and specific executive branch actions)

Medicare reform

10. Houses of Congress and Federal agencies contacted

Only tracked issue

11. Name and title of each employee who acted as a lobbyist

Roger S. Smith  
Manager Corporate Advertising & Public Affairs

12. For registrants identifying foreign entities in the Lobbying Registration (Form LD-1, line 12) or any updates:  
such foreign entity in the specific lobbying issues listed on line 9 above

None



**LOBBYING REPORT ADDENDUM**

Registrant Name    A. O. Smith Corporation

Client Name                    Self

*Lines 1-7. Not applicable*

8. General lobbying issue area code (enter one) RET

9. Specific lobbying issues (include bill numbers and specific executive branch actions)

Social security reform and pension reform

10. Houses of Congress and Federal agencies contacted

Only tracked issue

11. Name and title of each employee who acted as a lobbyist

Roger S. Smith  
Manager Corporate Advertising & Public Affairs

12. For registrants identifying foreign entities in the Lobbying Registration (Form LD-1, line 12) or any updates: 1 such foreign entity in the specific lobbying issues listed on line 9 above

None



## LOBBYING REPORT ADDENDUM

Registrant Name    A. O. Smith Corporation

Client Name            Self

*Lines 1-7. Not applicable*

8. General lobbying issue area code (enter one) ENG

9. Specific lobbying issues (include bill numbers and specific executive branch actions)

"Securing America's Future Energy Act" H.R.4 to pass a balanced energy bill drilling Federal lands, offshore in the Gulf of Mexico, and ANWR, promote conservation and efficiency.

10. Houses of Congress and Federal agencies contacted

House and Senate

11. Name and title of each employee who acted as a lobbyist

Roger S. Smith  
Manager Corporate Advertising & Public Affairs

12. For registrants identifying foreign entities in the Lobbying Registration (Form LD-1, line 12) or any updates: 1  
such foreign entity in the specific lobbying issues listed on line 9 above

None





## LOBBYING REPORT ADDENDUM

Registrant Name    A. O. Smith Corporation

Client Name                    Self

*Lines 1-7. Not applicable*

8. General lobbying issue area code (enter one)    ENV

9. Specific lobbying issues (include bill numbers and specific executive branch actions)

Multi-mission bill - S.556, new source review, and ambient air quality standard P.M.2.5.

10. Houses of Congress and Federal agencies contacted

Only tracked issues

11. Name and title of each employee who acted as a lobbyist

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Manager Corporate Advertising & Public Affairs

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None

