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CLERK OF THE SENATE

99 AUG 16 PM 3:19

HAND DELIVERED ☐

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name O'Brien Callo	
2. Registrant Address ☐ Check if different than previously reported Address 1350 Eye Street, NW Suite 690 City Washington State/Zip (or Country) DC 20005	
3. Principal Place of Business (if different from line 2) City N/A State/Zip (or Country)	
4. Contact Name Charlotte Baldwin	Telephone 2028984746 E-mail (optional) 29802-327
5. Senate ID # 29802-327	6. House ID # 30108031

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 ☒ \$10,000 or more ☐ >> \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 ☐ \$10,000 or more ☐ >> \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. ☐ Method A. Reporting amounts using L.D.A. definitions only ☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code ☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Nicholas E. Callo Date 8/13/99

Printed Name and Title Nicholas E. Callo - Partner Page 1 of 2

Registrant Name: O'Brien Calio

Client Name: Merrill Lynch

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

H.R.10, Financial Services Act of 1999, Whole bill

H.R.1703, To amend the IRC of 1986 to prevent the conversion of ordinary income or short term capital gain in the income eligible for the long term capital gain rate and for other purposes, , taxation of derivative financial instruments

H.R.2488, Financial Freedom Act of 1999, tax derivatives

S.1429, Taxpayer Refund Act of 1999, tax derivatives

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Calio, Nicholas E.	Assistant to the President	No
Chadwick, Kirsten		No
McKernan, Kim F.		No
Melody, Charles J.		No
Nelson, Patricia A.		No
O'Brien, III, Lawrence F.		No
Tarplin, Linda E.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Nicholas E. Calio

Date 8/13/99

Printed Name and Title Nicholas E. Calio - Partner

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