

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE

04 MAY -4 PM 5:05

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <u>Case Western Reserve University</u>	
2. Address <input type="checkbox"/> Check if different than previously reported <u>10900 Euclid Avenue</u>	3. Principal Place of Business (if different from line 2)  
City: <u>Cleveland</u> State/zip (or Country) <u>OH 44106</u>	
4. Contact Name <u>Mark D. Carlson</u>	5. Senate ID # <u>8418-12</u>
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # <u>33950-000</u>

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-December 31) 
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_11. No Lobbying **INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>60,000</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of opt
	<input type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(f) Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

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PAGE 1 of

Registrant Name Case Western Reserve Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

FY2003 Appropriations bills  
- Energy and Water  
- VA/HUD/IA  
- Labor/HHS/Education

17. House(s) of Congress and Federal agencies contacted  Check if None

House and Senate - members and staff

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Richard Baznik	
Mark Coticchia	
Adrienne Dziak	
Campbell Kaufman	
James Fabiani	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Case Western Reserve Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

Student financial aid  
K-12/higher education science and mathematics  
partnership programs for educational reform

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate and House - members and staff

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Adrienne Dziak	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name Case Western Reserve Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

SEVIS/CIPRIS - rule making process and implementation  
proposed J-1 visa changes  
postsecondary international student issues

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate and House - members and staff

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Adrienne Dziak	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Case Western Reserve Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

Stem cell research  
Therapeutic and reproductive cloning  
National PrionDisease Pathology Surveillance Center

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate and House - members and staff

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Nathan Berger	
James Wagner	
Adrienne Dziak	
Louis B. Stokes	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name Case Western Reserve Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code AER (one per page)

16. Specific lobbying issues

Unsolicited grant proposal from BioEnterprise to NASA  
ITAR issues  
NASA reorganization and research priorities  
Support for NASA Glenn Research Center

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate and House - members and staff

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Adrienne Dziak	
James Wagner	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Case Western Reserve Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FUE (one per page)

16. Specific lobbying issues

fuel cell research and development

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate and House - members and staff

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
James Wagner	
Adrienne Dziak	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Case Western Reserve Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Biomedical research  
Biomedical devices/instruments  
Antibiotic resistance to infectious disease  
Bioterrorism

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate and House - members and staff  
NIH

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mark Coticchia	
Casey Porto	
James Wagner	
Adrienne Dziak	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name ~~Case Western Reserve~~ Client Name \_\_\_\_\_

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of B (city and state or c
N/A		

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
N/A			

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant affiliated organization

Signature  Date 3/12/04

Printed Name and Title ~~Mark D. Carlson, Assoc. VP for Government Relatio~~

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