

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**GENWORTH FINANCIAL INC**

2. Address:

700 12TH STREET, NW #710, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

City: Richmond State/Zip(or Country): VA 23230

4. Contact Name: ROGER N LEVY

Telephone: 2026622560

E-mail (optional): GenworthGovernmentRelations@genworth.com

Senate ID #: 302118-12

House ID #:

7. Client Name: ☒ Self

## TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☒

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 2,500,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only  
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: GENWORTH FINANCIAL INC Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

Privacy

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LEVY, ROGER N

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: GENWORTH FINANCIAL INC Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

Data Breach

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LEVY, ROGER N

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: GENWORTH FINANCIAL INC Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

HR 3511 Medicaid State Long-Term Care Partnership Program Act of 2005 S 1602 Improving Long-Term Care Act s 1569 State Long-Term Care Partnership Act of 2005 S 1932 Deficit Reduction Act of 2005- Long Term Care Partnerships

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
Health & Human Services, Dept of (HHS)  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LEVY, ROGER N

Covered Official Position (if applicable): N/A

Name: MORGANTE, SAM

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: GENWORTH FINANCIAL INC Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HOU (one per page)

16. Specific lobbying issues:

HR 1461 Federal Housing Finance Reform Act of 2005 S 190 Federal Housing Enterprise Regulatory Reform Act of 2005 HR 5121 Expanding American Homeownership Act of 2006

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GOLDHABER, MARK E

Covered Official Position (if applicable): N/A

Name: LEVY, ROGER N

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: GENWORTH FINANCIAL INC Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

S 467 Terrorist Reinsurance Extension Act of 2005 HR 3912 Flexible Retirement Security for Life Act HR 2830 Pension Security and Transparency Act of 2005 HR 4 Pension Protection Act of 2006 S 1783 Pension Security and Transparency Act of 2005

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Labor, Dept of (DOL)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GOLDBERGER, MARK E

Covered Official Position (if applicable): N/A

Name: LEVY, ROGER N

Covered Official Position (if applicable): N/A

Name: MORGANTE, SAM

Covered Official Position (if applicable): N/A

Name: RAYDER, HELENE N

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: GENWORTH FINANCIAL INC Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

HR 2830 Pension Security and Transparency Act of 2005 H 4 Pension Protection Act of 2006 S 1783 Pension Security Act of 2006

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Labor, Dept of (DOL)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GOLDHABER, MARK E

Covered Official Position (if applicable): N/A

Name: LEVY, ROGER N

Covered Official Position (if applicable): N/A

Name: RAYDER, HELENE N

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: GENWORTH FINANCIAL INC Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

HR 6408 Tax Relief and Health Care Act of 2006 S 381 Retirement Security of Life Act S 846 Mortgage Insurance Fairness Act S 1293 Consolidated Returns HR 819 Retirement Security for Life Act HR 1336 The Emergency Residents Protection Act HR 4297 Relief Extension Reconciliation Act of 2005 HR 3019 Consolidated Returns

17. House(s) of Congress and Federal agencies contacted:  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GOLDHABER, MARK E

Covered Official Position (if applicable): N/A

Name: LEVY, ROGER N

Covered Official Position (if applicable): N/A

Name: RAYDER, HELENE N

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Signature: ON FILE Date: Oct 29, 2007

Printed Name and Title: ROGER N LEVY SR VP GOVERNMENT RELATIONS -



**Information Update Page:**

**Complete ONLY where registration information has changed.**

**LOBBYIST UPDATE**

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

**ISSUE UPDATE**

**24. General lobbying issues previously reported that NO LONGER pertain**

**AFFILIATED ORGANIZATIONS**

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

**FOREIGN ENTITIES**

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE      Date: Oct 29, 2007

Printed Name and Title: -