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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name The Trustees of Columbia University in the City of New York (commonly known as "Columbia University")			
2. Address <input type="checkbox"/> Check if different than previously reported 535 116th Street, Room 301 Low Library, M.C. 4319			
3. Principal Place of Business (if different from line 2) City: New York, State/Zip (or Country): New York 10027			
4. Contact Name Alan J. Stone	Telephone (212) 854-2401	E-mail (optional) astone@columbia.edu	5. Senate ID # 3858512
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 30887000

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>260,000</u> <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature _____

Printed Name and Title Alan J. Stone, Vice President for Public Affairs

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues
S Con Res 20 FY 2000 Budget Resolution
H Con Res 68 FY 2000 Budget Resolution
Conference Report 106-91

Issues related to post secondary education including student aid, research funding, Medicare and Medicaid, public health research funding and tax issues

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate
U.S. House of Representatives
Department of Education

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Net
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

HR 354: Collection of Information Antipiracy Act

HR 1858: The Consumer and Investors Access to Information Act of 1999

Issues related to databases in the digital environment

17. House(s) of Congress and Federal agencies contacted
U.S. House of Representatives

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No.
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

Labor, HHS, Education: FY 2000 Appropriations

Issues related to funding for student aid and educational policy

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate

U.S. House of Representatives

Department of Education

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No.
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Labor, HHS FY 2000 Appropriations

HR 1414/S 288: A bill to amend the Internal Revenue Code of 1986 to exclude from income certain amounts received under the National Health Service Corps Scholarship Program

S 1023: A bill to amend title XVIII of the Social Security Act to stabilize indirect graduate medical education payments

S 210: A bill to establish a medical education trust fund, and for other purposes

HR 1224: A bill to amend the Internal Revenue Code of 1986 and title XVIII of the Social Security Act to provide for comprehensive financing for graduate medical education

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. Senate

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Yes
Ellen S. Smith	Assistant Vice President And Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page) -continue (2)

16. Specific lobbying issues

HR 1103: A bill to amend title XVIII of the Social Security Act to carve out payments to Medicare+Choice Organizations amounts attributable to disproportionate share hospital payments and pay such amounts directly to those disproportionate share hospitals in which their enrollees receive care
HR 1785: A bill to amend title XVIII of the Social Security Act to stabilize indirect graduate medical education payments
S 326: the Healthcare Research and Quality Act of 1999

Issues related to graduate medical education and annual funding for health agencies

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Net
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page) -continue (3)

16. Specific lobbying issues

S 300: Personnel Medical Information Access Act

HR 448 Patient Protection Act of 1999

S 573/HR 1057 Medical Information Privacy and Security Act

S 881: Medical Information Protection Act

HR 1941 Health Information Privacy Act

HR 299: A bill seeking to establish a program for the collection of information relating to the use of children and individuals with mental disabilities as subjects in biomedical and behavioral research

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. Senate

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	None
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues
S 1440: "New Workers for Economic Growth"

Issues related to H-1-B visas

17. House(s) of Congress and Federal agencies contacted
U.S. Senate
U.S. House of Representatives

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	None
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBB (one per page)

16. Specific lobbying issues
S 1440: "New Workers for Economic Growth"

Issues related to H-J-B visas

Pension issues

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Next
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues
 General Issues related to graduate medical education

17. House(s) of Congress and Federal agencies contacted Check if None
 U.S. Senate
 U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No.
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCF (one per page)

16. Specific lobbying issues

HR 1906/S 1233: Department of Agriculture FY 2000 Appropriations
HR 2670/S 1217: Commerce, Justice, State FY 2000 Appropriations
S 1122/HR 2561: Department of Defense FY 2000 Appropriations
S 1186/HR 2605: Energy and Water Development FY 2000 Appropriations
S 1234/HR 2606 Foreign Operations FY 2000 Appropriations
S 1292/HR 2466 Department of the Interior FY 2000 Appropriations
Labor, HHS FY 2000 Appropriations
VA-HUD-IA FY 2000 Appropriations

17. House(s) of Congress and Federal agencies contacted Check if None

Office of Management and Budget
U.S. Senate
U.S. House of Representatives
Department of Agriculture
Department of Commerce
Natural Science Foundation
National Aeronautics and Space Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No.
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page) -continue (2)

16. Specific lobbying issues

S 296: Federal research investment Act

HR 1858: The Consumer and Investor Access to Information Act of 1999

HR 354: The Collection of Information Antipiracy Act

S 1268: A bill to amend the Public Health Service Act to provide support for the modernization and construction for biomedical and behavioral research facilities and laboratory instrumentation

S 951: Private Sector research and Development Act of 1999

HR 2086: The Networking and Information Technology Research and Development Act

17. House(s) of Congress and Federal agencies contacted

Check if None

Office of Management and Budget

U.S. Senate

U.S. House of Representatives

Department of Agriculture

Department of Commerce

Natural Science Foundation

National Aeronautics and Space Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No.
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SC1 (one per page) -continue (3)

16. Specific lobbying issues

- HR 88: A bill to repeal the requirement regarding data produced under Federal grants and agreements awarded to institutions of higher education, hospitals, and other nonprofit organizations
- HR 2209/S 999: Technology Transfer Commercialization Act of 1999
- S 96: Y2K Dispute Resolution Bill
- HR 850: Security and Freedom through Encryption Act
- HR 409/S 468: Federal Financial Assistance Management Improvement Act of 1999

17. House(s) of Congress and Federal agencies contacted Check if None

- Office of Management and Budget
- U.S. Senate
- U.S. House of Representatives
- Department of Agriculture
- Department of Commerce
- Natural Science Foundation
- National Aeronautics and Space Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No.
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page) -continue (4)

16. Specific lobbying issues
Issues related to release of federally funded data through the Freedom of Information Act (FOIA)

General Issues related to science funding and science policy

17. House(s) of Congress and Federal agencies contacted Check if None

Office of Management and Budget

U.S. Senate

U.S. House of Representatives

Department of Agriculture

Department of Commerce

Natural Science Foundation

National Aeronautics and Space Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No.
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

S 1429: Taxpayer refund Act of 1999

HR 2488: Financial freedom Act of 1999

S211/HR 1014: A bill to amend the Internal Revenue Code of 1986 to make permanent the exclusion for employer-provided educational assistance

HR 1414/S 288: A bill to amend the Internal Revenue Code of 1986 to exclude from income certain amounts received under the National Health Service Corps Scholarship Program

HR 1311: IRA Charitable Rollover Incentive Act of 1999

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. Senate

U.S. House of Representatives

National Economic Council

Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No.
Ellen S. Smith	Assistant Vice President and Director of	<input type="checkbox"/>
	Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FAX (one per page) -continue (2)

16. Specific lobbying issues

HR 1446: A bill to amend the Internal Revenue Code of 1986 to allow a tax-free distribution from a qualified retirement plan to the extent that the distribution is contributed for charitable purposes

S 1086: A bill to amend the Internal Revenue Code of 1986 to waive the income inclusion on a distribution from an individual retirement account to the extent that the distribution is contributed for charitable purposes

HR 2141: A bill to amend the Internal revenue Code of 1986 to repeal the dollar limitation on the deduction for interest on education loans

S 1010/H 1039: A bill to amend the Internal Revenue Code of 1986 to provide for a medical innovation tax credit for clinical testing research expenses attributable to academic medical centers and other qualified hospital research organizations.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate

U.S. House of Representatives

National Economic Council

Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No.
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name Columbia University

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page) -continue (3)

16. Specific lobbying issues

HR 588: A bill to amend the Internal Revenue Code of 1986 to permit private educational institutions to maintain qualified tuition programs which are comparable to qualified State tuition programs, and for other purposes

S 473, S 471: Bills to make all interest paid on student loans tax deductible

Tax issues related to education and charitable institutions

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. Senate

U.S. House of Representatives

National Economic Council

Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature

Date August 13, 1999

Printed Name and Title Alan J. Stone, Vice President for Public Affairs