

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF  
04 MAR -3 A

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

|  |                                   |                   |                                  |
|--|-----------------------------------|-------------------|----------------------------------|
| 1. Registrant Name<br><b>Chicago Board of Trade</b>  |                                   |                   |                                  |
| 2. Registrant Address <input type="checkbox"/> Check if different than previously reported<br>Address <b>141 W. Jackson Blvd., Suite 950</b><br>City <b>Chicago</b> State/Zip (or Country) <b>IL 60604</b> |                                   |                   |                                  |
| 3. Principal Place of Business (if different from line 2)<br>City State/Zip (or Country)   |                                   |                   |                                  |
| 4. Contact Name<br><b>Glen Johnson</b>   | Telephone<br><b>(312)435-3457</b> | E-mail (optional) | 5. Senate ID #<br><b>9022-12</b> |
| 7. Client Name <input checked="" type="checkbox"/> Self  |                                   |                   | 6. House ID #<br><b>31539000</b> |

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date \_\_\_\_\_

11. No Lobbying Activities ☐

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| 12. Lobbying Firms   | 13. Organizations   |
|--|---|
| <b>INCOME</b> relating to lobbying activities for this reporting period was:<br><br>Less than \$10,000 <input type="checkbox"/><br><br>\$10,000 or more <input type="checkbox"/> >> \$ _____<br><div style="text-align: right; font-size: small;">Income (nearest \$20,000)</div><br>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | <b>EXPENSES</b> relating to lobbying activities for this reporting period were:<br><br>Less than \$10,000 <input type="checkbox"/><br><br>\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$415,860.00</u><br><div style="text-align: right; font-size: small;">Expenses (nearest \$20,000)</div><br><b>14. REPORTING METHOD.</b> Check box to indicate reporting accounting method. See instructions for description of method.<br><br><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions<br><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(c) of the Internal Revenue Code<br><input checked="" type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code |

Signature \_\_\_\_\_ Date **2/3/2004**

Printed Name and Title **Glen Johnson - Senior Vice President & Chief Financial Officer** Page

Registrant Name: Chicago Board of Trade

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific Lobbying issues

**S.14 , A bill to enhance the energy security of the United States, and for other purposes, Legislative language i derivatives and CFTC authority Futures market structure and ownership issues**

**S.509 , Energy Market Oversight Act, Legislative language impacting derivatives and CFTC authority Futures market structure and ownership issues**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**Commodity Futures Trading Commission**

**Department of Treasury**

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| <b>Bauer, Julie</b> |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date 2/3/2004

Printed Name and Title Glen Johnson - Senior Vice President & Chief Financial Officer Page

Registrant Name: Chicago Board of Trade

Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code FIN (one per page)

16. Specific Lobbying issues  
**Matters regarding U.S. Government debt issuance policy.**

17. House(s) of Congress and Federal agencies contacted  
**Senate**

☐ Check if None


18. Name of each individual who acted as a lobbyist in this issue area

| Name                | Covered Official Position (if applicable) |
|---------------------|---|
| <b>Bauer, Julie</b> |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

*A. S.*

Signature  \_\_\_\_\_ Date 2/3/2004

Printed Name and Title Glen Johnson - Senior Vice President & Chief Financial Officer Page \_\_\_\_\_