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SECRETARY OF THE SENATE
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LOBBYING REPORT

Lobbying Disclosure Act (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name The ERISA Industry Committee			
2. Address <input type="checkbox"/> Check if different than previously reported 1400 L Street, NW Suite 350 Washington, DC 20005-3509			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Deborah Chin	Telephone 202/789-1400	E-mail (optional) dehin@eric.org	5. Senate ID# 13803-12
7. Client Name	<input checked="" type="checkbox"/> Self		6. House ID# 32318000

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) **OR** Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report
 10. Check if this is a Termination Report ⇒ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p style="text-align: center;">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p style="text-align: center;">13. Organization</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ <u>\$180,000.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition only</p> <p><input type="checkbox"/> Method B. Reporting amounts using LDA section 6033(b)(8) of the Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issues area code IICR (one per page)

16. Specific lobbying issues

- S.6, Patients' Bill of Rights Act, all provisions
- S.240, Patients' Bill of Rights Act, all provisions
- S.300, Patients Bill of Rights Plus Act, all provisions
- S.374, Promoting Responsible Managed Care Act, all provisions
- S.578 Health Information Confidentiality Act, all provisions
- S.881, Medical Information Protection Act of 1999, all provisions
- S.796, Mental Health Equitable treatment Act, all provisions
- S.573, Medical Information Privacy and Security Act, all provisions
- S.578, Health Care Personal Information Nondisclosure Act of 1999, all provisions
- S.1256, Patients' Bill of Rights Act, all provisions
- S.1344, Patients Bill of Rights Act of 1999, all provisions
- S.2553 Labor HHS Appropriations, patients bill of rights provisions
- H.R.216, Access to Quality Care Act, all provisions
- H.R.358, Patients' Bill of Rights Act, all provisions
- H.R.448, Patient Protection Act, all provisions
- H.R.719, Managed Care Reform Act, all provisions
- H.R.1057, Medical Information Privacy and Security Act, all provisions
- H.R.1515, Mental Health and Substance Abuse Parity Amendments of 1999, all provisions
- H.R.1687, Patients' Health Care Choice Act, all provisions
- H.R.1941, Health Information Privacy Act, all provisions, all provisions
- H.R.2041, Patient Right to Obstetrical and Gynecological Care Act of 1999, all provisions
- H.R.2042, Health Care Access, Affordability, and Quality Advisory Commission Act of 1999, all provisions
- H.R.2043, Patient Right to Unrestricted Medical Advice Act of 1999, all provisions
- H.R.2044, Patient Right to Pediatric Care Act, all provisions
- H.R.2045, The Patient Right to Emergency Medical Care Act, all provisions
- H.R.2046, Patient Access to Information Act of 1999, all provisions
- H.R.2047, Small Business Access and Choice for Entrepreneurs Act of 1999, all provisions
- H.R.2095, Health Care Quality and Access Act of 1999, all provisions
- H.R.2470, Medical Information Protection and Research Enhancement Act of 1999, all provisions
- H.R.2089, Group Health Plan Review Standards Act of 1999, all provisions
- H.R.2095, Health Care Quality and Access Act, all provisions
- H.R.2723, Bipartisan Consensus Managed Care Improvement Act, all provisions
- H.R.2758, Common Ground Healthcare Security Act, all provisions
- H.R.2824, Health Care Quality and Choice Act, all provisions
- H.R.2926, Comprehensive Access and Responsibility in Health Care Act, all provisions
- H.R.2990 Containing Quality Care for the Uninsured Act of 1999 and
the Bipartisan Consensus Managed Care Improvement Act of 1999, all provisions
- H.R.4680, The Medicare 2000 Rx Act, all provisions
- H.R.4755, The Patients' Bill of Rights Act, all provisions
- H.R.4810, Marriage Penalty Tax Act, Feingold "COBRA" amendment.
- H.R.5628, The Common Sense Patients' Bill of Rights, all provisions

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives
Senate
Health Care Financing Administration
Pension & Welfare Benefits Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mark J. Ugoretz	President	<input type="checkbox"/>
Anthony J. Knattel	Vice President, Health Affairs	<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Registrant Name The ERISA Industry Committee Client Name _____

Information Update Page - Complete Only where registration information has changed.

20. Client new address _____

21. Client new principal place of business (if different from line 20) _____

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities _____

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client _____

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain _____

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)
None.		

26. Name of each previously reported organization, that is no longer affiliated with the registrant or client _____

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal Place of Business (city and state or country)	Amount of Contribution for Lobbying Activities	Ownership Percentage in Client
None.				

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization _____

This report includes Three (3) Addendum sections.

Signature Deborah Chin Date 2/14/01

Printed Name and Title Deborah Chin, Director of Administration

S.

LOBBYING REPORT ADDENDUM

1 of 3

Registrant Name The ERISA Industry Committee Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issues area code RET (one per page)

16. Specific lobbying issues

H.R. 739, The Retirement Account Portability Act, all provisions
H.R. 833, Bankruptcy Reform Act, all provisions
H.R. 1102, The Comprehensive Retirement Security and Pension Reform Act, all provisions
H.R. 1176, The Pension Right to Know Act, all provisions
H.R. 1213, Employee Pension Portability and Accountability Act, all provisions
H.R. 1546, Retirement Savings Opportunity Act of 1999, all provisions
H.R. 1590, Retirement Security Act of 1999, all provisions
H.R. 2415, Treasury and General Government Appropriations Act, 2001, all provisions
H.R. 2614, Certified Development Company Program Improvements Act of 1999, all provisions
H.R. 2759 Older Workers Pension Protection Act, all provisions
H.R. 2902 Pension Benefits Protection and Preservation Act, all provisions
H.R. 3407, Universal Employee Stock Option Act of 2000, all provisions
H.R. 3462, Wealth Through the Workplace Act of 1999, all provisions
H.R. 3490, Professional Employer Organization Workers Benefits Act of 1999, all provisions
H.R. 4747, Retirement Security Advice Act of 2000, all provisions
H.R. 4748, Comprehensive ERISA Modernization Act of 2000, all provisions
H.R. 4749, ERISA Modernization Act of 2000, all provisions
H.R. 5203, Debt Relief and Retirement Security Reconciliation Act, all provisions
H.R. 4871, Treasury and General Government Appropriations Act, 2001, retirement provisions
H.R. 4872, Universal Employee Stock Option Act of 2000, all provisions
H.R. 5542, Taxpayer Relief Act of 2000, all provisions

S. 8, The Income Security Enhancement Act of 1999, all provisions
S. 60, The Enhanced Savings Opportunities Act, all provisions
S. 132, The Women's Pension Protection Act, all provisions
S. 476, The Comprehensive Pension and Retirement Security Act of 1999, all provisions
S. 625, The Bankruptcy Reform Act of 1999, all provisions
S. 646, The Retirement Savings Opportunity Act, all provisions
S. 659, The Pension Right to Know Act, all provisions
S. 741, The Pension Coverage and Portability Act, all provisions
S. 889 Retirement Security for the 21st Century, all provisions
S. 1300, The Older Workers Pension Protection Act, all provisions
S. 1600 The Older Workers Pension Protection Act, all provisions
S. 1708, Pension Reduction Disclosure Act of 1999, all provisions
S. 2671, The Pension Opportunities for Women's Equality in Retirement Act, all provisions

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17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives
Senate
Treasury Department
Department of Labor
Pension Benefit Guaranty Corporation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mark J. Ugoretz	President	<input type="checkbox"/>
Janice M. Gregory	Vice President	<input type="checkbox"/>
Nicholas S. Curabba	Legislative Representative	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

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LOBBYING REPORT ADDENDUM

2 of 3

Registrant Name The ERISA Industry Committee Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issues area code TAX (one per page)

16. Specific lobbying issues

H.R.1525, The Independent Contractor Clarification Act, all provisions
H.R.2488, The Taxpayer Refund and Relief Act, all provisions
H.R.3426, The Wealth Through the Workplace Act, all provisions

S.1429, The Taxpayer Refund Act

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Treasury Department
Department of Labor
Pension Benefit Guaranty Corporation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mark J. Ugoretz	President	<input type="checkbox"/>
Janice M. Gregory	Vice President	<input type="checkbox"/>
Nicholas S. Curabba	Legislative Representative	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING REPORT ADDENDUM
3 OF 3

Registrant Name The ERISA Industry Committee Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issues area code LBR (one per page)

16. Specific lobbying issues

H.R.3081, The Wage and Employment Growth Act, all provisions
H.R.4182, The Workers Economic Opportunity Act, all provisions

S.2323, The Workers Economic Opportunity Act

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Treasury Department
Department of Labor
Pension Benefit Guaranty Corporation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mark J. Ugoretz	President	<input type="checkbox"/>
Janice M. Gregory	Vice President	<input type="checkbox"/>
Nicholas S. Curabba	Legislative Representative	<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

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