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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <b>Philip S. Smith &amp; Associates, Inc.</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>611 Pennsylvania Ave., S.E., Suite 340 Washington, D.C. 20003</b>			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name <b>Mr. Philip S. Smith</b>		Telephone <b>(202)543-1444</b>	5. Senate ID # <b>48257-</b>
7. Client Name <input checked="" type="checkbox"/> Self		6. House ID # <b>3448-0003</b>	

**TYPE OF REPORT** 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbying Activity

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ <small>Income (nearest \$20,000)</small>	\$10,000 or more <input checked="" type="checkbox"/> ⇨ <u>\$ 60,000</u> <small>Expenses (nearest \$20,000)</small>
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options. <input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions only <input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Philip S. Smith 2/14/2001  
Printed Name and Title Mr. Philip S. Smith, President

LD-2 (REV. 6/98)

PAGE 1 OF 12

Registrant Name Philip S. Smith & Assoc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

Department of Defense Authorization Act, FY 2001, (H.R. 4205, S. 2549, S. 2550, All titles)

16. Specific lobbying issues

Department of Defense Authorization Act, FY 2001, (H.R. 4205, S. 2549, S. 2550, All titles) (especially regarding implementation and Congressional oversight issues), (H. Res. 503).

S. 3205, Counterterrorism, to Enhance the Capability of the United States to deter, prevent, thwart, and respond to international acts of terrorism against U.S. nationals and interests.

H.R. 4392 (H. Res. 506, H. Res. 626, H.R. 5630, S. 2507, All titles), To authorize appropriations for FY 2001 for intelligence and intelligence-related activities of the U.S.

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Department of Defense  
U.S. Central Intelligence Agency  
U.S. Department of State  
et al.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Philip S. Smith	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Philip S. Smith* Date 2/14/2001  
Printed Name and Title Philip S. Smith President

Registrant Name Philip S. Smith & Assoc. Client Name Self

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

Urging the U.S. Congress to deny Normalized Trade Relations (NTR) to the regime in Laos due to its horrific human rights record, the disappearance of Hmong-Americans as well systemic corruption and violations of international law and norms of behavior. H.R. 434 (H. Res. 250, H. Res. 488, H. Res. 489, S. 1387, S. Con. Res. 112), African Growth and Opportunity Act (all titles); (implementation and Congressional oversight issues).

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- U.S. Department of Commerce
- U.S. Trade Representative
- U.S. Department of Justice
- U.S. Department of Defense
- USAID, VOA, et al.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mr. Philip S. Smith	N/A	<input type="checkbox"/>
Ms. Chia Kue	N/A	<input type="checkbox"/>
Ms. Lisa Kue	N/A	<input type="checkbox"/>
Mr. Philip J. Smith	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

9. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Philip S. Smith* Date 2/14/2001  
Printed Name and Title Philip S. Smith, President

Registrant Name Philip S. Smith & Assoc Client Name Self

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15. General issue area code VET (one per page)

16. Specific lobbying issues

- Lao/Hmong Veterans Naturalization Act (H.R. 371/S.890: PL-106-207), (Congressional oversight and implementation issues).
- H.R. 5212, Veterans' Oral History Project (PL 106-380).
- Educating Members of Congress and policymakers about the historic contributions of the Hmong and Lao veterans of the U.S. Secret Army who served with the United States in "Special Guerilla Units" (SGUs) during the Vietnam War.
- H.R. 5234, to Amend the Hmong Veterans Naturalization Act: Legislation making technical corrections to H.R. 371/S.890 (PL-106-207) to assist Lao and Hmong widows whose husbands were killed in Laos while serving with U.S. Special Forces.

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives  
U.S. Senate  
Department of Veterans Affairs  
U.S. Department of State  
White House  
U.S. Department of Justice  
Immigration and Naturalization Service (INS), USIA, VOA, AID, et al.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Philip S. Smith	N/A	<input type="checkbox"/>
Ms. Chia Kue	N/A	<input type="checkbox"/>
Ms. Lisa Kue	N/A	<input type="checkbox"/>
Mr. Philip J. Smith	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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Printed Name and Title Philip S. Smith, President

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15. General issue area code IMM (one per page)

16. Specific lobbying issues

H.R. 371, the Hmong Veterans Naturalization Act (PL-106-207) to expedite the naturalization of aliens who served with special guerilla units in Laos. (implementation and Congressional oversight).

Legislation making a technical correction to H.R.371/S.890 (PL-106-207) to expedite the naturalization of widows of aliens who served with special guerilla units in Laos (the Hmong and Laotian Veterans' Widows Act), (H.R. 5234; PL-106-415).

Bipartisan Congressional letters and statements regarding the forced repatriation of Lao vets

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. House of Representatives
- U.S. Senate, The White House
- U.S. Department of State
- U.S. Department of Justice
- Immigration and Naturalization Service (INS)
- U.S. Department of Defense
- Central Intelligence Agency
- USAID, VOA, et al.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Philip S. Smith	N/A	<input type="checkbox"/>
Ms. Chia Kue	N/A	<input type="checkbox"/>
Ms. Lisa Kue	N/A	<input type="checkbox"/>
Mr. Philip J. Smith	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

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Printed Name and Title Philip S. Smith, President

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5. General issue area code FOR (one per page)

6. Specific lobbying issues

- Urging an end to the war between Ethiopia and Eritrea, H.Con. Res. 46(implementation).
- H.R. 1152, Silk Road Strategy Act, regarding Central Asia and the Caucasus (implementation).
- Expressing concern about issues regarding the development and security of Central Asia-- including the nations of Uzbekistan, Turkmenistan, Kyrgistan, Kazakhstan and Afghanistan.
- Expressing concern about the situation in South Asia and urging peaceful efforts between India and Pakistan toward the resolution of problems and conflict.

7. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- U.S. Department of Defense
- Central Intelligence Agency
- U.S. Department of Justice
- USIA, VOA, et al.

8. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mr. Philip S. Smith		<input type="checkbox"/>
Ms. Chia Kue		<input type="checkbox"/>
Ms. Lisa Kue		<input type="checkbox"/>
Mr. Philip J. Smith		<input type="checkbox"/>
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		<input type="checkbox"/>
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15. General issue area code FOR (one per page)

16. Specific lobbying issues

H. Con. Res. 414, Relating to the reestablishment of representative government in Afghanistan.

S. Con. Res. 150, Relating to the reestablishment of representative government in Afghanistan.

Urging policymakers to develop efforts to help end the conflict in Afghanistan and assist the Afghan people with humanitarian assistance and the restoration of civil society.

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- U.S. Department of Defense
- U.S. Department of Justice
- Central Intelligence Agency
- VOA, USAID, et al.

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Mr. Philip S. Smith	N/A	<input type="checkbox"/>
Ms. Chia Kue	N/A	<input type="checkbox"/>
Mr. Philip J. Smith	N/A	<input type="checkbox"/>
Ms. Lisa Kue	N/A	<input type="checkbox"/>
Ms. Homa Naderi	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Philip S. Smith* Date 2/14/2002  
Printed Name and Title Mr. Philip S. Smith, President

Registrant Name Philip S. Smith & Assoc. Client Name Self

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5. General issue area code FOR (one per page)

6. Specific lobbying issues

Urging policymakers to support institution-building in Afghanistan to assist in restoring peace, human rights and prosperity--particularly the re-establishment of a "Loya Jirga," or Grand Assembly, to Afghanistan.

Expressing concern about increased violations of womens rights, minority rights and overall human rights in Afghanistan; and seeking to develop a bipartisan Congressional coalition to give voice to such concerns through various Congressional letters, statements and legislative actions.

7. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Department of State  
U.S. Department of Defense  
U.S. Department of Justice  
U.S. Central Intelligence Agency  
USAID, VOA, et al.

8. Name of each individual who acted as a lobbyist in this issue area

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Ms. Lisa Kue	N/A	<input type="checkbox"/>
Ms. Homa Naderi	N/A	<input type="checkbox"/>
Mr. Philip J. Smith	N/A	<input type="checkbox"/>
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15. General issue area code FOR (one per page)

16. Specific lobbying issues

Expressing concern about increased terrorism and narcotics production in Afghanistan and South Asia.

Urging Congress to reestablish an office for a "Special Envoy" on Afghanistan and Afghanistan-related issues (an "At Large" Special Envoy for Afghanistan) to help boost U.S. efforts to address concerns in Afghanistan, the region and internationally.

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- U.S. Department of Defense
- U.S. Central Intelligence Agency
- U.S. Department of Justice
- USAID, VOA, et al.

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Mr. Philip J. Smith	N/A	<input type="checkbox"/>
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5. General issue area code FOR (one per page)

6. Specific lobbying issues:

S. Con. Res. 122, Recognizing the 60th anniversary of the United States nonrecognition policy of the Soviet takeover of Estonia, Latvia and Lithuania and calling for positive steps to promote a peaceful and democratic future for the Baltic region.

H.J. Res. 100 (S.J. Res. 48), Calling on the President to issue a proclamation recognizing the 25th anniversary of the Helsinki Final Act.

S. Con. Res. 91, Congratulating the Republic of Lithuania on the tenth anniversary of the reestablishment of its independence from the rule of the former Soviet Union (implementation).

7. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
U.S. Senate, The White House  
U.S. Department of State  
VOA, USAID, et al.

8. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Philip S. Smith	N/A	<input type="checkbox"/>
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5. General issue area code FOR (one per page)

6. Specific lobbying issues

Urging the U.S. Congress to deny Normal Trade Relations (NTR) to the regime in Laos because of its horrific violations of human rights and basic human freedoms, including the Lao regime's role in the brutal arrest and crackdown against Lao students as well as the disappearance of Hmong-Americans.

Various bipartisan Congressional letters and statements regarding the situation in Laos and the plight of the Lao and Hmong people currently suffering under the Lao Communist regime.

7. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Department of State  
U.S. Department of Defense  
Central Intelligence Agency  
U.S. Department of Justice  
USIA, USAID, VOA, et al.

8. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mr. Philip S. Smith	NA	<input type="checkbox"/>
Ms. Chia Kue	NA	<input type="checkbox"/>
Ms. Lisa Kue	NA	<input type="checkbox"/>
Mr. Philip J. Smith	NA	<input type="checkbox"/>
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Signature *Philip S. Smith* Date 2/14/2001  
Printed Name and Title Philip S. Smith, President

Registrant Name Philip S. Smith & Assoc. Client Name Self

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5. General issue area code FOR (one per page)

6. Specific lobbying issues

Urging the U.S. Senate, with the support of a bipartisan coalition in the U.S. House, to oppose the appointment of a U.S. Ambassador nominee to Laos.

H.Res. 169, Expressing the sense of the House of Representatives with respect to democracy, free elections, and human rights in Laos (implementation and oversight issues).

7. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives  
U.S. Department of State  
USIA, VOA, et al  
The White House

8. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mr. Philip S. Smith	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
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Printed Name and Title Philip S. Smith, President