

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**KOCH INDUSTRIES**

2. Address:

655 15TH STREET, NW SUITE 445, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

City: Wichita State/Zip(or Country): KS 67220

4. Contact Name: MATT SCHLAPP

Telephone: 2027371977

E-mail (optional): desiree.westby@kochind.com

Senate ID #: 21825-12

House ID #:

7. Client Name: ☒ Self

## TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 1,640,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only  
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: KOCH INDUSTRIES Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CDT (one per page)

16. Specific lobbying issues:

S. 577 - A bill to amend the Commodity Exchange Act to add a provision relating to reporting and recordkeeping for positions involving energy commodities. H.R. 594 - Prevent Unfair Manipulation of Prices Act of 2007

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President (EOP)

HOUSE OF REPRESENTATIVES

HOUSE OF REPRESENTATIVES

SENATE

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CHM (one per page)

16. Specific lobbying issues:

H.R. 2638/S. 1644 -Department of Homeland Security Appropriations Act, 2008 - chemical facility security issues H.R. 1591, S.965 - FY2007 Supplemental Appropriations bill - chemical facility security issues

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAGGETT, CATHERINE

Covered Official Position (if applicable): N/A

Name: HAWES, REBECCA

Covered Official Position (if applicable): N/A

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CSP (one per page)

16. Specific lobbying issues:

H.R. 6 - Renewable Fuels, Consumer Protection, and Energy Efficiency Act of 2007 S. 1263 - Petroleum Consumer Price Gouging Protection Act H.R. 1252 - Federal Price Gouging Prevention Act/S.94 Gasoline Consumer Anti-Price-Gouging Protection Act S. 357 - CAFE bill

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of  
Executive Office of the President (EOP)  
HOUSE OF REPRESENTATIVES  
HOUSE OF REPRESENTATIVES  
SENATE  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAGGETT, CATHERINE

Covered Official Position (if applicable): N/A

Name: HAWES, REBECCA

Covered Official Position (if applicable): N/A

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

Name: WESTBY, DESIREE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

H.R. 6 - Renewable Fuels, Consumer Protection, and Energy Efficiency Act of 2007 H.R. 2419 - Farm Bill Extension Act of 2007

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of  
HOUSE OF REPRESENTATIVES  
HOUSE OF REPRESENTATIVES  
SENATE  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAGGETT, CATHERINE

Covered Official Position (if applicable): N/A

Name: HAWES, REBECCA

Covered Official Position (if applicable): N/A

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

Name: WESTBY, DESIREE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

H.R. 2643 - Department of the Interior, Environment, and Related Agencies Appropriations Act, 2008 - Climate change issues EPA issue: EPA Policies Regarding New Owners S. 309 - Global Warming Pollution Reduction Act S. 280 - Global Warming bill (Climate Stewardship and Innovation Act of 2007) H.R. 906 - Global Change Research and Data Management Act of 2007 H.R. 2420 - International Climate Cooperation Re-engagement Act of 2007

17. House(s) of Congress and Federal agencies contacted:

Environmental Protection Agency (EPA)

HOUSE OF REPRESENTATIVES

HOUSE OF REPRESENTATIVES

SENATE

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAGGETT, CATHERINE

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Carried Interest: H.R. 2785, S. 1624, H.R. 2834 - To amend the Internal Revenue Code of 1986 to treat income received by partners for performing investment management services as ordinary income received for the performance of services. Superfund: S. 1179, H.R. 1887 - Superfund Equity and Megasite Remediation Act of 2007 Energy Tax Title, BioMass H.R. 6 - Renewable Fuels, Consumer Protection, and Energy Efficiency Act of 2007 H.R. 2 - Fair Minimum Wage Act of 2007 S. 1587 - Recycling Investment Saves Energy (RISE Act)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAWES, REBECCA

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRA (one per page)

16. Specific lobbying issues:

S. 184 - Surface Transportation and Rail Security Act of 2007 H.R. 2095 - Federal Railroad Safety Improvement Act of 2007 H.R. 2125 - Railroad Competition and Service Improvement Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAGGETT, CATHERINE

Covered Official Position (if applicable): N/A

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 14, 2007

Printed Name and Title: MATT SCHLAPP, EXECUTIVE DIRECTOR -



**Information Update Page:**

**Complete ONLY where registration information has changed.**

**LOBBYIST UPDATE**

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

**ISSUE UPDATE**

**24. General lobbying issues previously reported that NO LONGER pertain**

**AFFILIATED ORGANIZATIONS**

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

**FOREIGN ENTITIES**

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE      Date: Aug 14, 2007

Printed Name and Title: -