Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

Secretary of the Senate Received: Aug 08, 2006

LOBBYING REPORT

Method A. Reporting amounts using LDA definitions only

Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page 1. Registrant Name: RICCHETTI INCORPORATED 1001 G STREET NW SUITE 600 EAST, WASHINGTON, DC 20001 3. Principal place of business (if different from line 2): Country City: SAME State/Zip(or Country): 4. Contact Name: JAMES HEIMBACH Telephone: 202-879-9367 E-mail (optional): jay@ricchettiinc.com Senate ID #: 62778-517 House ID #: 35395022 7. Client Name: Self ASSOCIATION FOR ADVANCED LIFE UNDERWRITING TYPE OF REPORT 8. Year 2006 Midyear (January 1 - June 30): X OR Year End (July 1 - December 31): 9. Check if this filing amends a previously filed version of this report: 10. Check if this is a Termination Report: => Termination Date: 11. No Lobbying Activity: 🔲 INCOME OR EXPENSES Complete Either Line 12 OR Line 13 12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000: \$10,000 or more: X => Income (nearest \$20,000): 120,000.00 Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). 13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000: \$10,000 or more: => Expenses (nearest \$20,000); 14. Reporting Method. Check box to indicate expense accounting method. See instructions for description of options.

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Registrant Name: RICCHETTI INCORPORATED Client Name: ASSOCIATION FOR ADVANCED LIFE UNDERWRITING

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: INS (one per page)
- 16. Specific lobbying issues:

Issues relating to restrictions to corporate owned life insurance and investor owned life insurance contracts. HR 2251, the COLI Best Practices Act of 2005 HR 4, the Pension Protection Act of 2006

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ALBEE, LUKE
Covered Official Position (if applicable): CHIEF OF STAFF TO SENATOR PATRICK LEAHY (VT)
Name: RICCHETTI, JEFF
Covered Official Position (if applicable):
Name: RICCHETTI, STEVE
Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Registrant Name: RICCHETTI INCORPORATED Client Name: ASSOCIATION FOR ADVANCED LIFE UNDERWRITING

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: TAX (one per page)
- 16. Specific lobbying issues:

Issues pertaining to estate taxes, including proposed repeals and reforms of the estate tax. HR 8, Death Tax Permanency Act Issues relating to the tax treatment of life insurance policies. Issues relating to regulation of non-qualifies deferred compensation.

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ALBEE, LUKE
Covered Official Position (if applicable): CHIEF OF STAFF TO SENATOR PATRICK LEAHY (VT)
Name: RICCHETTI, JEFF
Covered Official Position (if applicable):
Name: RICCHETTI, STEVE
Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Signature: ON FILE Date: Aug 08, 2006

Printed Name and Title: James Heimbach, Vice President -