

18912-12-2001-08092001080551

Clerk of the House of Representatives
Legislative Resource Center
E-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Required To Complete This Page

1. Registrant Name: HUMANA			
2. Address ☐ Check if different than previously reported 500 W MAIN ST			
City: LOUISVILLE		State: KY Zip: 40202 Country: USA	
3. Principal place of business (if different from line 2) City: State: KY Zip: Country: USA			
4. Contact Name: HEIDI S. MARGULIS	Telephone: 502-580-1854	Email: hmargulis@humana.com	5. Senate ID # 18912-0
7. Client Name:			6. House ID #

Type of Report 8. Year (YYYY) 2001 Midyear (Jan 1-Jun 30) ☐ or Year End (Jul 1-Dec 31) ☒ 52284cc:09. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐ --> Termination Date 11. No Lobbying Activity ☐**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: ☐ Less than \$10,000 (\$0.00 - \$9,999.99) ☐ \$10,000 or more Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	EXPENSES relating to lobbying activities for this reporting period were: ☐ Less than \$10,000 (\$0.00 - \$9,999.99) ☒ \$10,000 or more \$260,000
14. REPORTING METHOD. Check box to indicate ex accounting method. See instructions for description of op ☒ Method A. Reporting amounts using LDA definition ☐ Method B. Reporting amounts under section 6033(b) the Internal Revenue Code ☐ Method C. Reporting amounts under section 162(e) Internal Revenue Code	
Signature: Printed Name: HEIDI S. MARGULIS Title: SENIOR VICE PRESIDENT-GOVERNMENT RELATIONS	

https://opr.senate.gov/cgi-win/ss_rep_viewer.exe

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Registrant Name:
HUMANA

Client Name:

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code **BUD**

16. Specific lobbying issues (current and anticipated)

Issues related to TRICARE funding
Dept. of Defense Appropriations Act of 2002
H.R. 2216--Emergency Supplemental Act of 2001

17. House(s) of Congress and Federal agencies contacted. ☐ Check if none.**SENATE** **HOUSE OF REPRESENTATIVES**

18. Name of each individual who has acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
MCKENZIE, MARY	STAFF DIR. HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if none.Printed Name:
HEIDI S. MARGULISDate: 8/9/01
Title:
SENIOR VICE PRESIDENT-GOVERNMENT RELATIONS

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Registrant Name:

Client Name:

HUMANA

LOBBYING ACTIVITY

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15. General issue area code DEF

16. Specific lobbying issues (current and anticipated)

H.R.2586 and accompanying Senate bill--DoD Authorization Act, Full authorization of the military health care program: TRICARE

17. House(s) of Congress and Federal agencies contacted. Check if none.

SENATE

HOUSE OF REPRESENTATIVES

18. Name of each individual who has acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
MCKENZIE, MARY	STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. X Check if none.

Printed Name:

HEIDI S. MARGULIS

Date: 8/9/01

Title:

SENIOR VICE PRESIDENT-GOVERNMENT RELATIONS

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Registrant Name:

HUMANA

Client Name:

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15. General issue area code **HCR**

16. Specific lobbying issues (current and anticipated)

H.R.516, H.R.2315, S.283, S.1052--Patients Bill of Rights, all provisions.
H.R.1215--Medical Information Protection & Research Enhancement Act--all provisions.
HHS Privacy regulations--implementation & guidance; HIPAA issues
Dept. of Labor--ERISA Claims & SPD regulation: implementation issues

17. House(s) of Congress and Federal agencies contacted. ☐ Check if none.

SENATE	HOUSE OF REPRESENTATIVES	Dept of Health & Human Services (HHS)	Dept of Labor (DOL)
(HCF) CENTERS FOR MEDICARE AND MEDICAID SERVICES			

18. Name of each individual who has acted as a lobbyist in this issue area

Name	Current Official Position (if applicable)
MARGULIS, HEIDI S.	N/A
MCKENZIE, MARY	STAFF DIR. HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if none.

Printed Name:

HEIDI S. MARGULIS

Date: 8/9/01

Title:

SENIOR VICE PRESIDENT-GOVERNMENT RELATIONS

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Registrant Name:

HUMANA

Client Name:

LOBBYING ACTIVITY

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15. General issue area code MMM

16. Specific lobbying issues (current and anticipated)

H.R.1387, H.R.1400, S.10, S.215, S.357, S.358: Issues relating to Medicare prescription drug benefits & Medicare reform.
S.452, H.R.1993: Issues relating to Medicare reform.
HHS/CMS: Issues relating to administrative reform of the Medicare+Choice program.

17. House(s) of Congress and Federal agencies contacted. ☐ Check if none.

SENATE	HOUSE OF REPRESENTATIVES	Dept of Health & Human Services (HHS)	(HICFA) CENTERS FOR MEDICARE AND MEDICAID SERVICES
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18. Name of each individual who has acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
MARGULIS, HEIDI S.	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if none.

Printed Name:

HEIDI S. MARGULIS

Date: 8/9/01

Title:

SENIOR VICE PRESIDENT-GOVERNMENT RELATIONS

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15. General issue area code TAX

16. Specific lobbying issues (current and anticipated)

H.R.167: Flexible spending account rollover
H.R.1524: Medical savings accounts
Issues related to tax: preferred vehicles for health care spending

17. House(s) of Congress and Federal agencies contacted. Check if none.

SENATE

HOUSE OF REPRESENTATIVES

18. Name of each individual who has acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
MCKENZIE, MARY	STAFF DIR. HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. X Check if none.

Printed Name:

HEIDI S. MARGULIS

Date: 8/9/01

Title:

SENIOR VICE PRESIDENT-GOVERNMENT RELATIONS