

SECRETARY OF THE SENATE

NORTHROP GRUMMAN

02 FEB 13 PM 2:50

Northrop Grumman Corporation
Washington Office
1000 Wilson Boulevard, Suite 2300
Arlington, Virginia 22209-2278
Telephone 703-875-8400

Senate ID#29551-12
House ID#31641000

TO: Clerk of the House of Representatives
Secretary of the Senate

FROM: Jim Meltsner
Manager, Legislative Affairs

SUBJECT: Northrop Grumman Acquisition of Newport News Shipbuilding

DATE: 11 February 2002

Attached please find the Northrop Grumman Form LD-2 covering the period July 1, 2001 to December 31, 2001. On November 30th 2001, Northrop Grumman Corporation acquired Newport News Shipbuilding (NNS). This report incorporates NNS lobbying activity and expenses from November 30, 2001 to December 31, 2001.

NNS activity prior to November, 30, 2001 is reflected in the NNS Termination Report covering the period July 1, 2001 to November 30, 2001.

Atch: Form LD-2



Recycled paper



Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Northrop Grumman Corporation			
2. Address ☐ Check if different than previously reported 1000 Wilson Blvd., Suite 2300 Arlington, VA 22209			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Mr. Jim Meltsner	Telephone 703-875-8546	E-mail (optional) jim_meltsner@mail.northgrum.com	5. Senate ID # 29552-12
7. Client Name ☒ Self			6. House ID # 31641001

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying /

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 ☐ \$10,000 or more ☐ ⇒ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 ☐ \$10,000 or more ☒ ⇒ \$ 4,350,000.00 Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of method. ☐ Method A. Reporting amounts using LDA definition ☐ Method B. Reporting amounts under section 6033(c) Internal Revenue Code ☒ Method C. Reporting amounts under section 162(c) Internal Revenue Code
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Signature _____

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations



Registrant Name Northrop Grumman Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

See page 3.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House, Senate, Department of Defense, White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	Corporate Vice President, Government Relations
Susan C. Lavrakas	Manager, Legislative Affairs
Mary Diane O'Toole	Manager, Legislative Affairs
Jerry D. Woods	Manager, Legislative Affairs
Diane Harper	Manager, Legislative Affairs
Stephen L. Sutton	Manager, Legislative Affairs
James R. Meltsner	Manager, Legislative Affairs
Douglas Ritter	Manager, Legislative Affairs
B. Parker Miller	Manager, Legislative Affairs
Marsha Kwalwasser	Director, State Relations
Steve Winchell	Congressional Liason Manager
Devora K. Griggs	Administrator, Congressional Website
Patrick A. Tucker (as of 11/30/2001)	
Carey D. Ruppert (as of 11/30/2001)	
William A. Syers (as of 11/30/2001)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

[REDACTED]

LOBBYING ISSUES: DEFENSE ATTACHMENT

16. Specific lobbying issues

In the Defense Authorization (H.R. 2586, S. 1416 and S. 1438) and Defense Appropriations Bills (H.R. 3338):

- a) B-2A, B-2A MSIP, B-2 Capability enhancements, Multi-Platform-Radar Technology Inset Program (MP-RTIP), Joint STARS, Global Hawk, Broad Area Maritime Surveillance (BAMS), E-2C Radar Modernization Program (RMP), EA-6B, UCAV-N, VTUAV, Fire Shield, QF Supersonic Platform (QSP)/ Section 845, F/A-18E/F, Joint Strike Fighter, NASA Next Generation Launch Vehicle, BQM-74 Aerial Target, MSTAR (BAT P3I), Brilliant Anti-Tank Munition (BAT), Advanced SEAL Delivery System (ASDS), ALQ-135 Band 1.5, LITENING II+, Classified Special Programs, Foliage Penetrating (FOPEN) radar demo, Intercooled Recuperated Engine (ICR) for DD-21/DDG-51, APN-241 for Air National Guard C-130, SPQ-9B SSTx (P3I), Naval F-35 Network (Tactical Exploitation System, TES - related), Integrated Family of Test Equipment (IFT TPS-70SS-for Counternarcotics, ALQ-131 & ALQ-184, DIRCM for ANG C-130, CAT RADCC SYS-2, Generic Acoustic Simulation System (GASS), Wideband Infrared Countermeasure (IRCM), High Performance Waveform Generator, Unmanned Surface Vehicle (USV), Littoral Surveillance System (LSS) (TES-related), Remote Ordnance Neutralization System (RON), Tactical Unmanned Ground Vehicle (TUGV), Mobile Reprogrammable Threat Emitter System (MRES), CECOM's Installed System Test Facility, Air Force Integrated Demonstrations Applications Laboratory (IDAL), F-22, Joint Strike Fighter, SBIRS-High, SBIRS-Low, ALQ-97 Decoy Integration on F-15, National Missile Defense (NMD) Launchers, National Missile Defense Technology Competition, Electromagnetic Aircraft Launch System (EMALS), Aerial Common Sensor (ACS), NNSN Electric Drive, and SPQ-9B for DDG-51, CG 47 Cruiser Conversion, High Temperature Superconducting Propulsion Motor, National Shipbuilding Research Program, Multifunction Multi-band Multi-beam Communications Antenna System (M3CAS), Completed Contract Method of Accounting, LPD 17, DD 21, LHD 8, T-AKE, General Dynamics proposed purchase Newport News Shipbuilding (NNS), Northrop Grumman bid for NNS, Submarines for Egypt, Taiwan Ship transfers/purchases, Joint Engineering Data Management Information & Control System (JEDMICS), Reliability & Maintainability Information System (REMIS), Extending Littoral Battlespace (ELB), Joint Battlespace Infosphere (JBI-DT), Government Computer-Based Patient Records (GCPR), Guard Health Net Pilot, Pacific Medical Testbed, NASA Space Rocket Operations Contract (NSROC), Mechanization of Contract Administration Service (MOCAS), Laser Eye Protection (LEP), Restraint of FFRDCs, Prompt Payment for Service Sector, AN/APR 39A (V)2, AN/ALR 67 (V)2, START, SOCOM Small Boat Receivers, AN/ALR 67 MSTRS, AN/SLY-2 (V)-AIEWS, PLAID, Vacuum Electronics Research Initiative, AWAC AN/PVS-17 (SOCOM), Army Night Vision (Goggles), AN/PVS-17 (Marines), ANVIS (Army), AN/PVS-17 Fusion Goggle, ENVG Infantry Goggle, Gyro Stabilized Platform, ADS, Towed Array, CAVES, 3C GPS Upgrade for RINU, Ind. Preparedness/MANTECH, Army HH-60L Medvac "Q" (LLS), 47 Machine Controls Upgrade, MCAD, PICT (LAS), Electronic Maintenance System, TAD Modernization (MCE) Sustainment, Lightweight Laser Designator/Range Finder, MELIOS AN/PVS-17 6, WSN-7B Replacement for WSN-2MK Gyro, AN/BPS 15 Integration w/Sub TIDS, Advanced Phase Vapor Technology, Solid State Heat Capacity Laser (SSHCL), OBOGS F-16, OBOGS A-10 and OBOGS Upgrade/LOX for Navy aircraft, Virginia Class submarine (CVNX)

In the FY01 Supplemental (H.R. 2216 – PL 107-20):

Global Hawk, Naval Fires Network (NFN), and ISRBM

[REDACTED]

Registrant Name Northrop Grumman Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific lobbying issues

Truthfulness, Responsibility and Accountability in Contracting (TRAC) Act (S. 1152 and H.R. 721)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House, Senate, Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	Corporate Vice President, Government Relations
Susan C. Lavrakas	Manager, Legislative Affairs
Mary Diane O'Toole	Manager, Legislative Affairs
Jerry D. Woods	Manager, Legislative Affairs
Diane Harper	Manager, Legislative Affairs
Stephen L. Sutton	Manager, Legislative Affairs
James R. Meltsner	Manager, Legislative Affairs
Douglas Ritter	Manager, Legislative Affairs
B. Parker Miller	Manager, Legislative Affairs
Marsha Kwalwasser	Director, State Relations
Devora K. Griggs	Administrator, Congressional Website

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

[REDACTED]

Registrant Name Northrop Grumman Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MAR (one per page)

16. Specific lobbying issues

Any and all legislation pertaining to the Jones Act, Title XI Loan Guarantees, and shipbuilding subsidies.
DoD Authorization Bill; Commerce, Justice, State and the Judiciary Appropriations Bills (S. 1215 and H.R. 25

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House, Senate, U.S. Maritime Administration (MARAD)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	Corporate Vice President, Government Relations
Susan C. Lavrakas	Manager, Legislative Affairs
Mary Diane O'Toole	Manager, Legislative Affairs
Jerry D. Woods	Manager, Legislative Affairs
Diane Harper	Manager, Legislative Affairs
Stephen L. Sutton	Manager, Legislative Affairs
James R. Meltsner	Manager, Legislative Affairs
Douglas Ritter	Manager, Legislative Affairs
B. Parker Miller	Manager, Legislative Affairs
Marsha Kwalwasser	Director, State Relations
Devora K. Griggs	Administrator, Congressional Website
Patrick A. Tucker (as of 11/30/01)	
Carey D. Ruppert (as of 11/30/01)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations



Registrant Name Northrop Grumman Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code POS (one per page)

16. Specific lobbying issues

Postal Reform and 9/11 funding for US Postal Service in Defense Appropriations Bill (H.R. 3338)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House, Senate, U.S. Postal Service

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	Corporate Vice President, Government Relations
Susan C. Lavrakas	Manager, Legislative Affairs
Mary Diane O'Toole	Manager, Legislative Affairs
Jerry D. Woods	Manager, Legislative Affairs
Diane Harper	Manager, Legislative Affairs
Stephen L. Sutton	Manager, Legislative Affairs
James R. Meltsner	Manager, Legislative Affairs
Douglas Ritter	Manager, Legislative Affairs
B. Parker Miller	Manager, Legislative Affairs
Marsha Kwalwasser	Director, State Relations
Devora K. Griggs	Administrator, Congressional Website

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations



Registrant Name Northrop Grumman Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

R&E Tax Credit Extension (S. 41 and H.R. 41), Foreign Sales Corporation (PL 106-519), Completed Contract Method of Accounting (S. 666 and H.R. 2189), and Tax Relief Package (H.R. 1836 - P.L. 107-16)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Dept. of Treasury, USTR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	Corporate Vice President, Government Relations
Susan C. Lavrakas	Manager, Legislative Affairs
Mary Diane O'Toole	Manager, Legislative Affairs
Jerry D. Woods	Manager, Legislative Affairs
Diane Harper	Manager, Legislative Affairs
Stephen L. Sutton	Manager, Legislative Affairs
James R. Meltsner	Manager, Legislative Affairs
Douglas Ritter	Manager, Legislative Affairs
B. Parker Miller	Manager, Legislative Affairs
Marsha Kwalwasser	Director, State Relations
Devora K. Griggs	Administrator, Congressional Website

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations



Registrant Name Northrop Grumman Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

H.R. 2299, S. 1178 Transportation Appropriations bill:
Service Life Extension Program for ASR-9/Mode-S SLEP, Coast Guard HU-25 Mods,
Integrated Deepwater System (USCG), ETVS/DVRS/RDVS, and
Voice Recorder Replacement Program (VRRP)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, FAA, Dept. of Transportation, U.S. Coast Guard

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	Corporate Vice President, Government Relations
Susan C. Lavrakas	Manager, Legislative Affairs
Mary Diane O'Toole	Manager, Legislative Affairs
Jerry D. Woods	Manager, Legislative Affairs
Diane Harper	Manager, Legislative Affairs
Stephen L. Sutton	Manager, Legislative Affairs
James R. Meltsner	Manager, Legislative Affairs
Douglas Ritter	Manager, Legislative Affairs
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Steve Winchell	Congressional Liason Manager
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

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Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations



Registrant Name Northrop Grumman Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

EXIM Bank Reauthorization and Funding (S. 1372 and H.R. 2517), and Foreign Operations Appropriations Bill

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House, Senate, EXIM Bank

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	Corporate Vice President, Government Relations
Susan C. Lavrakas	Manager, Legislative Affairs
Mary Diane O'Toole	Manager, Legislative Affairs
Jerry D. Woods	Manager, Legislative Affairs
Diane Harper	Manager, Legislative Affairs
Stephen L. Sutton	Manager, Legislative Affairs
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Marsha Kwalwasser	Director, State Relations
Steve Winchell	Congressional Liaison Manager
Devora K. Griggs	Administrator, Congressional Website

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date February 11, 2002

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations



Registrant Name Northrop Grumman Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

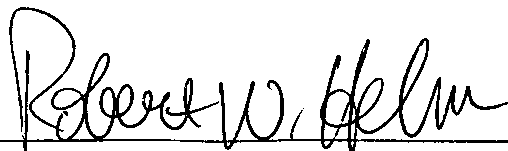
FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	O P C

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant affiliated organization

Signature



Date February 11, 2002

