

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

DUBERSTEIN GROUP

2. Address:

2100 PENNSYLVANIA AVE NW #500, WASHINGTON, DC 20037

3. Principal place of business (if different from line 2):

4. Contact Name: MICHAEL S. BERMAN

Telephone: 2027281100

E-mail (optional): mberman@dubersteingroup.com

Senate ID #: 12675-140

House ID #:

7. Client Name: ☐ Self

GOLDMAN SACHS & CO

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Income (nearest \$20,000): 40,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Expenses (nearest \$20,000): _____

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

Energy trading issues - HR 4473, CFTC Reauthorization Act of 2005; S 2642, Oil and Gas Traders Oversight Act of 2006; S 1566, Commodity Exchange Reauthorization Act of 2005

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERMAN, MICHAEL

Covered Official Position (if applicable): N/A

Name: CHAMPLIN, STEVEN

Covered Official Position (if applicable): N/A

Name: DUBERSTEIN, KENNETH

Covered Official Position (if applicable): N/A

Name: GANDY, HENRY

Covered Official Position (if applicable): N/A

Name: MEYER, DANIEL

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

Corporate issues, including executive compensation and corporate governance - Legislative proposals concerning these issues

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERMAN, MICHAEL

Covered Official Position (if applicable): N/A

Name: CHAMPLIN, STEVEN

Covered Official Position (if applicable): N/A

Name: DUBERSTEIN, KENNETH

Covered Official Position (if applicable): N/A

Name: GANDY, HENRY

Covered Official Position (if applicable): N/A

Name: MEYER, DANIEL

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

Pension reform, ERISA reform, investment advice - HR 4, Pension Protection Act of 2006; HR 2830, Pension Protection Act of 2005; S 1783, Pension Security and Transparency Act of 2005

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERMAN, MICHAEL

Covered Official Position (if applicable): N/A

Name: CHAMPLIN, STEVEN

Covered Official Position (if applicable): N/A

Name: DUBERSTEIN, KENNETH

Covered Official Position (if applicable): N/A

Name: GANDY, HENRY

Covered Official Position (if applicable): N/A

Name: MEYER, DANIEL

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Tax extenders - HR 6111, Tax Relief and Health Care Act of 2006; HR 4, Pension Protection Act of 2006; HR 5970, Estate Tax and Extension of Tax Relief Act of 2006

17. House(s) of Congress and Federal agencies contacted:
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERMAN, MICHAEL

Covered Official Position (if applicable): N/A

Name: CHAMPLIN, STEVEN

Covered Official Position (if applicable): N/A

Name: DUBERSTEIN, KENNETH

Covered Official Position (if applicable): N/A

Name: GANDY, HENRY

Covered Official Position (if applicable): N/A

Name: MEYER, DANIEL

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

Trade issues, including China - Executive action concerning these issues

17. House(s) of Congress and Federal agencies contacted:
Treasury, Dept of
U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERMAN, MICHAEL
Covered Official Position (if applicable): N/A
Name: CHAMPLIN, STEVEN
Covered Official Position (if applicable): N/A
Name: DUBERSTEIN, KENNETH
Covered Official Position (if applicable): N/A
Name: GANDY, HENRY
Covered Official Position (if applicable): N/A
Name: MEYER, DANIEL
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Jan 25, 2007

Printed Name and Title: MICHAEL S. BERMAN, PRESIDENT -