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| Clerk of the House of Representatives<br>Legislative Resource Center<br>B-106 Cannon Building<br>Washington, DC 20515 | Secretary of the Senate<br>Office of Public Records<br>232 Hart Building<br>Washington, DC 20510 |
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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

|   |                                    |   |                                   |
|---|------------------------------------|---|-----------------------------------|
| 1. Registrant Name<br><b>Stephens Group, Inc.</b>   |                                    |   |                                   |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br><b>111 Center Street, Suite 2500</b>         |                                    |   |                                   |
| 3. Principal Place of Business (if different from line 2)<br><b>Little Rock</b> <b>AR 72201</b><br>City: State/zip (or Country) |                                    |   |                                   |
| 4. Contact Name<br><b>Robert L. Schulte</b>   | Telephone<br><b>(501) 377-2218</b> | E-mail (optional)<br><b>bschulte@stephens.com</b> | 5. Senate ID #<br><b>36674-12</b> |
| 7. Client Name <input checked="" type="checkbox"/> Self   |                                    |   | 6. House ID #<br><b>30966000</b>  |

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  OR Year End (July 1-Dec


9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇔ Termination Date \_\_\_\_\_

11. No Lobbyin

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| 12. Lobbying Firms   | 13. Organizations   |
|--|---|
| INCOME relating to lobbying activities for this reporting period was:  | EXPENSES relating to lobbying activities for this reporting period were:                                  |
| Less than \$10,000 <input type="checkbox"/>  | Less than \$10,000 <input type="checkbox"/>   |
| \$10,000 or more <input type="checkbox"/> ⇔ \$ _____<br>Income (nearest \$20,000)  | \$10,000 or more <input checked="" type="checkbox"/> ⇔ \$ <u>80,000.00</u><br>Expenses (nearest \$20,000) |
| Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | 14. REPORTING METHOD. Check box to indicate ex accounting method. See instructions for description of     |
|  | <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definiti                        |
|  | <input type="checkbox"/> Method B. Reporting amounts under section 6033i Internal Revenue Code            |
|  | <input type="checkbox"/> Method C. Reporting amounts under section 162(e Internal Revenue Code            |

Signature  Date 2-10-07

Printed Name and Title Robert L. Schulte, Vice President

LD-2 (REV. 4/03)

PAGE 1 of

Registrant Name Stephens Group, Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

H. R. 1896; Subchapter S Modernization Act of 2003

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name         | Covered Official Position (if applicable) |
|--------------|---|
| Frank Thomas |   |
|              |   |
|              |   |
|              |   |
|              |   |
|              |   |
|              |   |
|              |   |
|              |   |
|              |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2-12-04

Printed Name and Title Robert L. Schulte, Vice President

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Registrant Name Stephens Group, Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Impact of Global Settlement on regional investment banking firms.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name         | Covered Official Position (if applicable) |
|--------------|---|
| Frank Thomas |   |
|              |   |
|              |   |
|              |   |
|              |   |
|              |   |
|              |   |
|              |   |
|              |   |
|              |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2-12-04

Printed Name and Title Robert L. Schulte, Vice President

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