

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

FINANCIAL SERVICES ROUNDTABLE

2. Address:

1001 PENNSYLVANIA AVENUE, NW, SUITE 500 SOUTH, WASHINGTON, DC 20004

3. Principal place of business (if different from line 2):

4. Contact Name: JONATHAN SMITH

Telephone: 202-289-4322

E-mail (optional): jonathan@fsround.org

Senate ID #: 5290-12

House ID #: 30821000

7. Client Name: Self

TYPE OF REPORT

8. Year 2003 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 2,020,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions only

Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

Senate Bill Nos. - S. 30, 143, 220, 660, 274, 676, 1125, 1475, 1712, 1839, 1510, 180, 2673, 2600, 2778, 2740, 420, 1971, 1992, S.J. Res 6, 1508, 1928, 1857, 1550, 1125 House Bill Nos. - HR. 33, 1000, 2896, 1115, 1776, 2622, 1760, 758, 522, 1474, 111, 2420, 1375, 2179, 556, 718, 974, 1009, 1017, 1088, 1293, 1357, 1409, 1836, 2052, 2269, 2314, 2781, 3424, 3162, 3210, 3068, 3211, 2730, 5118, 4931, 3818, 206, 488, 2590, 2500, 5121, 3717, 2341, 1866, 1886, 3762, 5025, 333, 253, 833, 2575, 3108 Executive and Federal Agency Actions: 1) Class Action Reform 2) Privacy 3) Tax Cuts and Retirement Savings 4) Functional Regulation 5) Bankruptcy Reform 6) Technology Related Legislation 7) Global Financial Issues 8) Nonprime Lending 9) Securities Market Reform 10) Insurance Reform 11) GSE reform 12) Anti-Money Laundering 13) Economic Stimulus 14) Deposit Insurance Reform 15) SEC Fee Reduction 16) Anti-Fraud Network 17) Terrorism Reinsurance 18) Corporate Governance Issues 19) Trade Promotion Authority 20) Real Estate Brokerage 21) Patent Issues 22) Postal Reform 23) Asbestos 24) Student Loans 25) Flood Insurance reauthorization 26) RESPA Reform 27) Uniform National Financial Standards 28) Check 21 29) Basel II 30) OCC Preemption 31) FACT ACT Regulations

17. House(s) of Congress and Federal agencies contacted:

Bureau of Economic Analysis
Commerce, Dept of (DOC)
Congressional Budget Office (CBO)
Executive Office of the President
Federal Bureau of Investigation (FBI)
Federal Communications Commission (FCC)
Federal Deposit Insurance Commission (FDIC)
Federal Housing Finance Board (FHFB)
Federal Reserve System
Federal Trade Commission (FTC)
General Accounting Office (GAO)
HOUSE OF REPRESENTATIVES
Housing & Urban Development, Dept of (HUD)
Nat'l Credit Union Administration (NCUA)
Office of Management & Budget (OMB)
Office of Thrift Supervision (OTS)
Office of the Comptroller of the Currency (OCC)
Office of the Vice President of the United States
Patent & Trademark Office (PTO)
Postal Rate Commission
President of the U.S.
SENATE
Securities & Exchange Commission (SEC)
Treasury, Dept of
U.S. International Trade Commission (ITC)
U.S. Postal Service (USPS)
Vice President of the U.S.
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY
Covered Official Position (if applicable): N/A
Name: BARTLETT, STEVE
Covered Official Position (if applicable): N/A
Name: BECCIA, JOHN
Covered Official Position (if applicable): N/A
Name: CLARKE, JANICE
Covered Official Position (if applicable): N/A
Name: ENNIS, KATE
Covered Official Position (if applicable): N/A
Name: IRVING E. DANIELS, JR.
Covered Official Position (if applicable): N/A
Name: KOPPERUD, JOEL

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

Covered Official Position (if applicable): N/A

Name: LEONARD, PAUL

Covered Official Position (if applicable): N/A

Name: MCGREEVY, LISA S.

Covered Official Position (if applicable): N/A

Name: SMITH, JONATHAN

Covered Official Position (if applicable): N/A

Name: STEVENS, KATIE

Covered Official Position (if applicable): N/A

Name: TALBOTT, SCOTT E.

Covered Official Position (if applicable): N/A

Name: WHITING, RICHARD M.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2004

Printed Name and Title: STEVE BARTLETT - PRESIDENT AND CEO