Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

BECRETARY OF THE SENAI

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# **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1.	Registrant Name  Duke Energy Corporation		
2.	Registrant Address	NC 28202-1904 USA	
3.	Principal Place of Business (if different from line 2)		
	City State/Zip (or Country)		
4.	Contact Name Telephone E-ma Donald Hatley (704) 382-8190	ail (optional)	5. Senate ID # 12744-12
7.	Client Name 🛮 Self		6. House ID # 30901000
0.	Check if this filing amends a previously filed version of the Check if this is a Termination Report □ >> Termination	on Date	
0.	Check if this filing amends a previously filed version of the	on Dateor Line 12 <b>OR</b> Line 13	. ,
0. II	Check if this filing amends a previously filed version of the Check if this is a Termination Report   NCOME OR EXPENSES - Complete Either	on Dateor Line 12 <b>OR</b> Line 13	11. No Lobby
O.  IN pe	Check if this filing amends a previously filed version of the Check if this is a Termination Report   NCOME OR EXPENSES - Complete Either  12. Lobbying Firms  ICOME relating to lobbying activities for this reporting	on Date  The Line 12 OR Line 13  13. C  EXPENSES relating to lobby	11. No Lobby
IN pe	Check if this filing amends a previously filed version of the Check if this is a Termination Report   >> Termination NCOME OR EXPENSES - Complete Either  12. Lobbying Firms  ICOME relating to lobbying activities for this reporting riod was:	is report  on Date  or Line 12 OR Line 13  13. C  EXPENSES relating to lobby period were:  Less than \$10,000  \$10,000 or more \$\times >> \$	11. No Lobby  Organizations  ying activities for this rep  \$1,100,000.00  Expenses (nearest \$20
IN pe	Check if this filing amends a previously filed version of the Check if this is a Termination Report   >> Termination NCOME OR EXPENSES - Complete Either 12. Lobbying Firms  ICOME relating to lobbying activities for this reporting riod was:  ess than \$10,000	is report  on Date  or Line 12 OR Line 13  13. C  EXPENSES relating to lobby period were:  Less than \$10,000	11. No Lobby  Organizations  ying activities for this rep  \$\frac{\$1,100,000.00}{\text{Expenses (nearest \$20)}}\$  OD. Check box to indicate
IN pe Le \$1	Check if this filing amends a previously filed version of the Check if this is a Termination Report   >> Termination NCOME OR EXPENSES - Complete Either 12. Lobbying Firms  ICOME relating to lobbying activities for this reporting riod was:  Sess than \$10,000	is report  on Date  or Line 12 OR Line 13  13. C  EXPENSES relating to lobby period were:  Less than \$10,000  \$10,000 or more \$\infty\$ >> \$  14. REPORTING METHO	11. No Lobby  Organizations  ying activities for this rep  \$1,100,000.00  Expenses (nearest \$20  OD. Check box to indicate actions for description of
IN pe Le \$1	Check if this filing amends a previously filed version of the Check if this is a Termination Report   >> Termination NCOME OR EXPENSES - Complete Either 12. Lobbying Firms  ICOME relating to lobbying activities for this reporting riod was:  ess than \$10,000	is report  on Date  The Line 12 OR Line 13  13. C  EXPENSES relating to lobby period were:  Less than \$10,000  \$10,000 or more \$\times\$ >> \$  14. REPORTING METHOR accounting method. See instructions and the method B. Reporting	11. No Lobby  Organizations  ying activities for this rep  \$\frac{\$1,100,000.00}{\text{Expenses (nearest \$20)}}\$  OD. Check box to indicate actions for description of mounts using LDA definite.

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Reg	istrant Name:	<b>Duke Energy Corporation</b>	
Clie	nt Name:	Duke Energy Corporation	
enga	aged in lobbyin		y to reflect the general issue areas in which the registrant ing period. Using a separate page for each code, provide d.
15.	General issue	area code ACC (one per page)	
16.	H.R.3763, Co	rporate and Auditing Accountability, I	Responsibility, and Transparency Act of 2002, Entire Bil vestor Protection Act of 2002, Entire Bill
17.	House(s) of C <b>House of Rep</b>	ongress and Federal agencies contacted	☐ Check if None
18.	Name of each	individual who acted as a lobbyist in this	issue area  Covered Official Position (if applicable)
	Marshall, Be	verly	
	<u> </u>		
			•
19.	Interest of eac	h foreign entity in the specific issues liste	d on line 16 above 🛮 🗷 Check if None
Siar	nature		Date 8/14/2002

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Regi	istrant Name:	<b>Duke Energy Corporation</b>					
Clie	ient Name: Duke Energy Corporation						
enga info	LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.						
	General issue	· · · · · · · · · · · · · · · · · · ·					
16.	H.R.1256, Clean Smokestacks Act of 2001, Entire Bill H.R.1335, Clean Power Plant Act of 2001, Entire Bill H.R.1474, American Wetland Restoration Act, Entire Bill H.R.2323, National Electricity and Environmental Technology Act, Entire Bill H.R.25, Acid Rain Control Act, Entire Bill H.R.472, Endangered Species Act Amendments of 2000, Entire Bill S.1008, Climate Change Strategy and Technology Innovation Act of 2001, Entire Bill S.1131, Clean Power Plant and Modernization Act of 2001, Entire Bill S.1294, Climate Change Risk Management Act of 2001, Entire Bill S.1716, Global Climate Change Act of 2001, Entire Bill S.1781, Emission Reductions Incentive Act of 2001, Entire Bill						
17.	Department	al Protection Agency	☐ Check if None				
18.	Name of each	individual who acted as a lobbyist in this					
	Name		Covered Official Position (if applicable)				
	Marshall, Be	verly					
	Mitchell, Dav	rid					
		,					
19.	Interest of eac	th foreign entity in the specific issues listed	i on line 16 above 🛛 Check if None				
Sign	ature		Date _ 8/14/2002				

Registrant Name: **Duke Energy Corporation** 

Client Name: <u>Duke Energy Corporation</u>

Item	Description	Data
16 16 16 16	Lobbying Issues Lobbying Issues Lobbying Issues Lobbying Issues	S.556, Clean Power Act of 2001, Entire Bill S.588, Acid Rain Control Act, Entire Bill S.60, National Electricity and Environmental Technology Act, Entire Bill S.769, International Carbon Conservation Act, Entire Bill

Reg	istrant Name:	Duke Energy Corporation	
Clie	nt Name:	Duke Energy Corporation	
LOP enga info 15.	BBYING ACT aged in lobbyin rmation as requ General issue Specific Lobb H.R.1045, En H.R.1468, En H.R.1647, En H.R.1664, En H.R.1679, El H.R.1832, H H.R.1874, To generation a H.R.1941, El	TIVITY. Select as many codes as necessary ag on behalf of the client during the reporting tested. Attach additional page(s) as needed. area code ENG (one per page) bying issues nergy Self-Sufficiency Act for the 21st Ceablic Utility Holding Company Act of 200 nergy Price and Economic Stability Act of ectricity Emergency Relief Act, Entire Binergency Power Production and Consum ectricity Supply Assurance Act of 2001, Ity droelectric Licensing and Incentives Act	entury, Entire Bill P1, Entire Bill f 2001, Entire Bill ill ner Protection Act of 2001, Entire Bill Entire Bill t of 2001, Entire Bill State experiencing a power emergency to operate any y, and for other purposes., Entire Bill re Bill
17.	Department	rgy Regulatory Commission	☐ Check if None
18.	Name of each	individual who acted as a lobbyist in this is	ssue area
	Name		Covered Official Position (if applicable)
	Haywood, M	lichael	
	Henry, Way	ne	
	Marshall, Be		
	Prenda, Bria	n	
19.	Interest of eac	ch foreign entity in the specific issues listed	on line 16 above 🔀 Check if None
Sior	nafure		Date 8/14/2002

Registrant Name: <u>Duke Energy Corporation</u>

Client Name:

**Duke Energy Corporation** 

Item	Description	Data
16	Lobbying Issues	H.R.1974, Western States Energy Consumer Protection Act of 2001, Entire E
16	Lobbying Issues	H.R.2079, To amend the Internal Revenue Code of 1986 to impose a windfall profits tax on electric generating facilities having excess profits., Entire Bill
16	Lobbying Issues	H.R.2080, To amend the Internal Revenue Code of 1986 to deny accelerated
10	Lobbying Issues	depreciation for electric generating facilities having excess profits in order to
		prevent taxpayers operating such facilities from having both excess profits an
		incentives., Entire Bill
16	Lobbying Issues	H.R.2141, Department of Defense Energy Reduction Act of 2001, Entire Bill
16	Lobbying Issues	H.R.2184, Preserving Our World's Energy and Resources Act of 2001, Entire
16	Lobbying Issues	H.R.2274, Electricity Gouging Relief Act, Entire Bill
16	Lobbying Issues	H.R.2311, Energy and Water Development Appropriations Act, 2002, Entire
16	Lobbying Issues	H.R.238, To amend the Department of Energy Authorization Act to authorize
		Secretary of Energy to impose interim limitations on the cost of electric energ
		protect consumers from unjust and unreasonable prices in the electric energy
		market., Entire Bill
16	Lobbying Issues	H.R.264, To require the Federal Energy Regulatory Commission to return to
		cost-based regulation of wholesale interstate sales of electricity, and for other
		purposes., Entire Bill
16	Lobbying Issues	H.R.268, California Electricity Consumers Relief Act of 2001, Entire Bill
16	Lobbying Issues	H.R.2983, Price-Anderson Reauthorization Act of 2001, Entire Bill
16	Lobbying Issues	H.R.3061, Departments of Labor, Health and Human Services, and Education
		Related Agencies Appropriations Act, 2002, Entire Bill
16	Lobbying Issues	H.R.312, National Electricity Reliability Act, Entire Bill
16	Lobbying Issues	H.R.3210, Terrorism Risk Protection Act, Entire Bill
16	Lobbying Issues	H.R.3382, Nuclear Security Act of 2001, Entire Bill
16	Lobbying Issues	H.R.3406, Electric Supply and Transmission Act, Entire Bill
16	Lobbying Issues	H.R.381, Ratepayer Protection Act, Entire Bill
16	Lobbying Issues	H.R.3970, Truth and Accountability in Accounting Act of 2002, Entire Bill
16	Lobbying Issues	H.R.4, SAFE Act of 2001Securing America's Future Energy Act of 2001, Ent Bill
16	Lobbying Issues	H.R.4038, Market Oversight Consolidation and OTC Derivatives Regulation Entire Bill
16	Lobbying Issues	H.R.443, POWER Act, Entire Bill
16	Lobbying Issues	H.R.4826, Truth in Electricity Trading Act of 2002, Entire Bill
16	Lobbying Issues	H.R.4827, Truth in Electricity Revenue Reporting Act of 2002, Entire Bill
16	Lobbying Issues	H.R.704, Energy Time Adjustment Authorization Act, Entire Bill
16	Lobbying Issues	H.R.954, Home Energy Generation Act, Entire Bill
16	Lobbying Issues	H.R.971, To require that payment be guaranteed whenever any supplier of el
		energy is required to sell electric energy to a purchaser under the emergency
		authority of section 202(c) of the Federal Power Act, and for other purposes.,
		Entire Bill
16	Lobbying Issues	H.R.979, To authorize the President and the Governor of a State to suspend
		certain environmental and siting requirements applicable to fossil fuel fired
		electric power plants to alleviate an electric power shortage that may present
		threat to public health and sa, Entire Bill
16	Lobbying Issues	H.RES.165, Providing for the consideration of the bill (H.R. 1468) to stabilize
		dysfunctional wholesale power market in the Western United States, and for
1.0		purposes, Entire Resolution
16	Lobbying Issues	S.1068, Electricity Gouging Relief Act of 2001, Entire Bill
16 16	Lobbying Issues	S.1171, Energy and Water Development Appropriations Act, 2002, Entire Bil
16	Lobbying Issues Lobbying Issues	S.1698, A bill to suspend temporarily the duty on iprodione., Entire Bill
16	Lobbying Issues  Lobbying Issues	S.172, Electric Reliability Act, Entire Bill S.173, Consumer Utilities Turnback (CUT) Truct Fund Act of 2001, Entire B
16	Lobbying Issues  Lobbying Issues	S.173, Consumer Utilities Turnback (CUT) Trust Fund Act of 2001, Entire B S.1746, Nuclear Security Act of 2001, Entire Bill
16	Lobbying Issues  Lobbying Issues	S.1740, Nuclear Security Act of 2001, Entire Bill S.1748, Terrorism Risk Insurance Act of 2001, Entire Bill
16	Lobbying Issues	S.1746, Energy Policy Act of 2002, Entire Bill
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Registrant Name: <u>Duke Energy Corporation</u>

Client Name: **Duke Energy Corporation** 

Item	Description	Data
16	Lobbying Issues	S.1951, A bill to provide regulatory oversight over energy trading markets, a other purposes., Entire Bill
16	Lobbying Issues	S.206, Public Utility Holding Company Act of 2001, Entire Bill
16	Lobbying Issues	S.221, State Electricity Reserve Fund Act of 2001, Entire Bill
16	Lobbying Issues	S.242, Department of Energy University Nuclear Science and Engineering Ac Entire Bill
16	Lobbying Issues	S.26, A bill to amend the Department of Energy Authorization Act to authori Secretary of Energy to impose interim limitations on the cost of electric energy protect consumers from unjust and unreasonable prices in the electric energy market., Entire Bill
16	Lobbying Issues	S.286, Small Business Assistance Act of 2001, Entire Bill
16	Lobbying Issues	S.287, A bill to direct the Federal Energy Regulatory Commission to impose cost-of-service based rates on sales by public utilities of electric energy at wholesale in the western energy market., Smith Amendment No. 12
16	Lobbying Issues	S.388, National Energy Security Act of 2001, Entire Bill
16	Lobbying Issues	S.408, Small Business Electricity Emergency Relief Act of 2001, Entire Bill
16	Lobbying Issues	S.420, Bankruptcy Reform Act of 2001, Wyden Amendment No. 78
16	Lobbying Issues	S.472, Nuclear Energy Electricity Supply Assurance Act of 2001, Entire Bill
16	Lobbying Issues	S.552, Transition to Competition in Electic Industry Act, Entire Bill
16	Lobbying Issues	S.597, Comprehensive and Balanced Energy Policy Act of 2001, Entire Bill
16	Lobbying Issues	S.764, A bill to direct the Federal Energy Regulatory Commission to impose j and reasonable load-differentiated demand rates or cost-of-service based rate sales by public utilities of electric energy at wholesale in the western energy market, and for othe, Entire Bill
16	Lobbying Issues	S.80, California Electricity Consumers Relief Act of 2001, Entire Bill
16	Lobbying Issues	S.919, A bill to require the Secretary of Energy to study the feasibility of developing commercial nuclear energy production facilities at existing Depart of Energy sites., Entire Bill
16	Lobbying Issues	S.933, Combined Heat and Power Advancement Act of 2001, Entire Bill
16	Lobbying Issues	S.RES.87, A resolution expressing the sense of the Senate that there should be established a joint committee of the Senate and House of Representatives to investigate the rapidly increasing energy prices across the country and to determine what is causing the incre, Entire Resolution

Reg	istrant Name:	<u>Duke Ener</u>	gy Corporati	ion	
Clie	nt Name:	Duke Ener	gy Corporati	lon	
enga	iged in lobbying	g on behalf o	of the client du	des as necessary aring the reporting age(s) as needed	y to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provide l.
15.	General issue	атеа code	INS	(one per page)	
16.	H.R.3210, Te	rrorism Ris		Act, Entire Bil t of 2002, Entir	
17.	House(s) of Co House of Rep Senate	-		cies contacted	☐ Check if None
18.	Name of each	individual w	vho acted as a	lobbyist in this	issue area  Covered Official Position (if applicable)
	Marshall, Be	verly			
		·			
19.	Interest of eac	h foreign en	tity in the spec	cific issues listed	d on line 16 above 🛮 🗷 Check if None
Sigr	nature				Date 8/14/2002

Printed Name and Title	Donaid Hatley - Senior	vice Fresident Governin	lental Atlairs	Paş
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Reg	istrant Name:	Duke Energy Corporation					
Clie	nt Name:	Name: Duke Energy Corporation					
enga	LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.						
	S.1103, Railr	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	l <b>.</b>				
	House of Rep Senate	Congress and Federal agencies contacted oresentatives  individual who acted as a lobbyist in this	☐ Check if None				
	Name		Covered Official Position (if applicable)				
	Marshall, Be	verly					
	<del>.</del>						
10	Turk C	1.6					
19.	interest of eac	ch foreign entity in the specific issues listed					
Sigr	ature		Date 8/14/2002				

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Reg	istrant Name:	<b>Duke Energy Corporation</b>	
Clie	nt Name:	<b>Duke Energy Corporation</b>	
enga	iged in lobbyin		ary to reflect the general issue areas in which the registrant rting period. Using a separate page for each code, provide ded.
15.	General issue	area code TAX (one per pag	ge)
16.	H.R.1601, Ru H.R.1702, Nu H.R.1802, To electricity., E S.389, Nation S.794, Rural	ectric Power Industry Tax Moderniz Iral Electric Tax Equity Act, Entire F Iclear Decommissioning Funds Clarif I amend the Internal Revenue Code o	sill ication Act, Entire Bill f 1986 to modify the depreciation of property used in the g re Bill
17.	House(s) of C House of Rep Senate	ongress and Federal agencies contacted presentatives	☐ Check if None
18.	Name of each	individual who acted as a lobbyist in th	is issue area  Covered Official Position (if applicable)
	Haywood, M	ichael	
	Henry, Wayı	ne	
	Marshall, Be	verly	
•			
19.	Interest of eac	h foreign entity in the specific issues lis	sted on line 16 above 🛮 🗷 Check if None
Sign	nature		Date 8/14/2002

Reg	istrant Name:	<b>Duke Energy Corporation</b>				
Clie	Client Name: Duke Energy Corporation					
enga	iged in lobbyin		to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide			
	H.R.2749, Pi H.R.3090, Ec H.R.3609, To H.R.459, Pip	oying issues eline Safety Act of 2001, Entire Bill peline Safety Act of 2001, Entire Bill conomic Security and Recovery Act of 20				
17.	Department	Congress and Federal agencies contacted of Transportation gy Regulatory Commission oresentatives	☐ Check if None			
18.	Name of each	individual who acted as a lobbyist in this i	ssue area			
	Name		Covered Official Position (if applicable)			
	Marshall, Be	verly				
	Prenda, Bria	n				
19.	Interest of eac	ch foreign entity in the specific issues listed	on line 16 above			
Sion	ature		Date 8/14/2002			

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