

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

NORTHWESTERN MUTUAL LIFE INSURANCE CO

2. Address:

720 E. WISCONSIN AVE, MILWAUKEE, WI 53202

3. Principal place of business (if different from line 2):

4. Contact Name: MICHAEL L. YOUNGMAN

Telephone: 4146651891

E-mail (optional): michaelyoungman@northwesternmutual.com

Senate ID #: 29607-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 2,200,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CSP (one per page)

16. Specific lobbying issues:

S.1332 - Personal Data Privacy and Security Act of 2005 S.1336 - Consumer Identity Protection and Security Act S. 2509 I The National Insurance Act S. 3568 I Data Security Act of 2006 HR.29 - SPY ACT HR.687 - SPY BLOCK Act HR.744 - Internet Spyware (I-SPY) Prevention Act of 2005 H.R.1069 - Notification of Risk to Personal Data Act H.R.1080 - Information Protection and Security Act SMART Act (draft bill) HR.1227 - Genetic Information Nondiscrimination Act of 2005 H.R.1263 - Consumer Privacy Protection Act of 2005 HR.1745 - Social Security Number Privacy and Identity Theft Prevention Act of 2005 H.R.3140 - Consumer Data Security and Notification Act of 2005 H.R.3375 - Financial Data Security Act of 2005 (lobbied draft bill) H.R. 3639 I Life Insurance Fairness for Travelers Act H.R. 3997 I The Financial Data Protection Act H.R. 4127 I Data Accountability and Trust Act (DATA) H.R. 5318 I Cyber-Security Enhancement and Consumer Data Protection Act of 2006 H.R. 5637 I The Non-admitted and Reinsurance Reform Act of 2006 H.R. 6225- The National Insurance Act of 2006 S.29 - Social Security Number Misuse Prevention Act S.115 - Notification of Risk to Personal Data Act S.116 - Privacy Act of 2005 S.306 - Genetic Information Nondiscrimination Act of 2005 S.500 - Information Protection and Security Act S.751 - Notification of Risk to Personal Data Act S.768 - Comprehensive Identity Theft Prevention Act S.1004 - Enhanced Consumer Protection Against Spyware Act of 2005 S.1216 - Financial Privacy Breach Notification Act of 2005 S.1326 - Notification of Risk to Personal Data Act S.1332 - Personal Data Privacy and Security Act of 2005 S.1336 - Consumer Identity Protection and Security Act S. 2509 I The National Insurance Act S. 3568 I Data Security Act of 2006 See attachment.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BATES, DOUGLAS L.

Covered Official Position (if applicable): N/A

Name: RADKE, STEVEN M.

Covered Official Position (if applicable): N/A

Name: YOUNGMAN, MICHAEL L.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

S. 2509 | The National Insurance Act S. 2856 - Regulatory Relief Act S. 3568 | Data Security Act of 2006 H.R.1069 - Notification of Risk to Personal Data Act H.R.1080 - Information Protection and Security Act SMART Act (draft bill) H.R.1263 - Consumer Privacy Protection Act of 2005 HR.1745 - Social Security Number Privacy and Identity Theft Prevention Act of 2005 H.R.3140 - Consumer Data Security and Notification Act of 2005 H.R.3375 - Financial Data Security Act of 2005 (lobbied draft bill) H.R.3505 - Regulatory Relief Act H.R. 3639 | Life Insurance Fairness for Travelers Act H.R. 3997 | The Financial Data Protection Act H.R. 4127 | Data Accountability and Trust Act (DATA) H.R. 4618 | Compliance, Examinations and Inspections Restructuring Act of 2005; issues: SEC enforcement and regulation H.R. 5318 | Cyber-Security Enhancement and Consumer Data Protection Act of 2006 H.R. 5637 | The Non-admitted and Reinsurance Reform Act of 2006 H.R. 6225- The National Insurance Act of 2006 S.29 - Social Security Number Misuse Prevention Act S.115 - Notification of Risk to Personal Data Act S.116 - Privacy Act of 2005 S.467 - Terrorism Risk Insurance Extension Act of 2005 S.500 - Information Protection and Security Act S.751 - Notification of Risk to Personal Data Act S.768 - Comprehensive Identity Theft Prevention Act S.1216 - Financial Privacy Breach Notification Act of 2005 S.1326 - Notification of Risk to Personal Data Act S.1332 - Personal Data Privacy and Security Act of 2005 S.1336 - Consumer Identity Protection and Security Act See attachment.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BATES, DOUGLAS L.
Covered Official Position (if applicable): N/A
Name: RADKE, STEVEN M.
Covered Official Position (if applicable): N/A
Name: YOUNGMAN, MICHAEL L.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

S.1332 - Personal Data Privacy and Security Act of 2005 S.1336 - Consumer Identity Protection and Security Act S. 2509 | The National Insurance Act S. 3568 | Data Security Act of 2006 H.R.1069 - Notification of Risk to Personal Data Act H.R.1080 - Information Protection and Security Act SMART Act (draft bill) HR.1227 - Genetic Information Nondiscrimination Act of 2005 H.R.1263 - Consumer Privacy Protection Act of 2005 HR.1745 - Social Security Number Privacy and Identity Theft Prevention Act of 2005 H.R.3140 - Consumer Data Security and Notification Act of 2005 H.R.3375 - Financial Data Security Act of 2005 (lobbied draft bill) H.R. 3639 | Life Insurance Fairness for Travelers Act H.R. 3997 | The Financial Data Protection Act H.R. 4127 | Data Accountability and Trust Act (DATA) H.R. 4618 | Compliance, Examinations and Inspections Restructuring Act of 2005; issues: SEC enforcement and regulation H.R. 5318 | Cyber-Security Enhancement and Consumer Data Protection Act of 2006 H.R. 6225- The National Insurance Act of 2006 H.R. 5637 | The Non-admitted and Reinsurance Reform Act of 2006 H. Res. 912 | Life Insurance Awareness Month S.29 - Social Security Number Misuse Prevention Act S.115 - Notification of Risk to Personal Data Act S.116 - Privacy Act of 2005 S.306 - Genetic Information Nondiscrimination Act of 2005 S.500 - Information Protection and Security Act S.751 - Notification of Risk to Personal Data Act S.768 - Comprehensive Identity Theft Prevention Act S.1216 - Financial Privacy Breach Notification Act of 2005 S.1326 - Notification of Risk to Personal Data Act See attachment.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BATES, DOUGLAS L.
Covered Official Position (if applicable): N/A
Name: RADKE, STEVEN M.
Covered Official Position (if applicable): N/A
Name: YOUNGMAN, MICHAEL L.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

S. 1953 | National Employee Savings and Trust Equity Guarantee Act S. 2244- Caregiver Assistance and Relief Effort (CARE) Act S. 2254 | Caregiver Assistance and Relief Effort Act S. 2281 | Aging with Respect and Dignity Act S. 2397 | Long Term Care Trust Account Act S. 2431 | Savings Competitiveness Act of 2006 S. 2457 | Small Business Health Insurance Relief Act Department of Labor proposed changes to Schedule C of Form 5500 Department of Labor proposed Reg. Section 2550.404a-3 (re: Safest Available Annuity) Department of Labor proposed Reg. Section 2550.404c-5 (re: Qualified Default Investment Alternatives) H.R. 4 - Pension Protection Act of 2006 H.R. 819 | Retirement Security for Life Act of 2005 H.R. 976 | Long Term Care Act of 2005 H.R. 1150 | To Amend the Internal Revenue Code of 1986 to provide a credit to individuals for certain long-term care expenses H.R. 1161 | Employer Retirement Savings Accounts H.R. 1162 | Retirement Savings Account Act H.R. 1163 | Lifetime Savings Account Act of 2005 H.R. 2682 | Long-Term Care and Retirement Security Act of 2005 H.R. 2830 | Pension Protection Act of 2005 H.R. 3254 | CARE Act H.R. 3912- Flexible Retirement Security for Life Act H.R. 4104 | Qualified Long Term Care Fairness Act H.R. 4220 | Long Term Care Tax Reduction Act of 2005 S. 381 -- Retirement Security for Life Act of 2005 S. 545 | Lifetime Savings Account Act of 2005 S. 546 | Retirement Savings Account Act S. 547 | Employer Retirement Savings Accounts S. 602 | Ronald Reagan Alzheimer's Breakthrough Act of 2005 S. 723 | SIMPLE Cafeteria Plan Act of 2005 S. 1225 | Access to Affordable Health Insurance Act S. 1244 -- Long-Term Care and Retirement Security Act of 2005 S. 1359 | Retirement Savings and Security Act of 2005 S. 1602- Improving Long-Term Care Choices Act S. 1706 | Long Term Care Act S. 1783 | The Pension Security and Transparency Act of 2005 S. 1932 | Deficit Reduction Act S. 1951 | CLASS Act See attachment.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
Labor, Dept of (DOL)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BATES, DOUGLAS L.
Covered Official Position (if applicable): N/A
Name: RADKE, STEVEN M.
Covered Official Position (if applicable): N/A
Name: YOUNGMAN, MICHAEL L.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

S. 1244 -- Long-Term Care and Retirement Security Act of 2005. S. 1293 -- To permit the consolidation of life insurance companies with other companies. S. 1783 | The Pension Security and Transparency Act of 2005 S. 1921 | Savings for Working Families Act of 2005 S. 2020 | Tax Relief Act of 2005 S. 2254 | Caregiver Assistance and Relief Effort Act S. 2281 | Aging with Respect and Dignity Act S. 2397 | Long Term Care Trust Account Act S. 2457 | Small Business Health Insurance Relief Act Internal Revenue Service Proposed Regulations on Nonqualified Deferred Compensation under Internal Revenue Code Section 409A Internal Revenue Notice 2006-95 - Guidance Concerning Use of 2001 CSO Tables under Section 7702 Internal Revenue Notice 2003-51 - Treatment of Certain Partial Exchanges H.R.25 - to promote freedom, fairness, and economic opportunity by repealing the income tax and other taxes, abolishing the Internal Revenue Service, and enacting a national sales tax to be administered primarily by the States. H.R.51 - to amend the Internal Revenue Code of 1986 to increase the dollar limitation on employer-provided group term life insurance that can be excluded from the gross income of the employee. H.R. 819 | Retirement Security for Life Act of 2005. H.R.1040 | to amend the Internal Revenue Code of 1986 to provide taxpayers a flat tax alternative to the current income tax system. H.R. 1150 | To Amend the Internal Revenue Code of 1986 to provide a credit to individuals for certain long-term care expenses. H.R. 1417 | To permanently extend the Subpart F exemption for active financing. H.R. 2251 | COLI Best Practices Act of 2005 H.R. 2682 | Long-Term Care and Retirement Security Act of 2005 H.R. 2830 | Pension Protection Act of 2005 H.R. 3019 | To permit the consolidation of life insurance companies with other companies. H.R. 3254 | CARE Act H.R. 4104 | Qualified Long Term Care Fairness Act H.R. 4297 | Tax Relief Extension Reconciliation Act of 2005 H.R. 4707 | Simplified USA Tax Act of 2006 S.25 | to promote freedom, fairness, and economic opportunity by repealing the income tax and other taxes, abolishing the Internal Revenue Service, and enacting a national sales tax to be administered primarily by the States. S. 381 -- Retirement Security for Life Act of 2005 S. 602 | Ronald Reagan Alzheimer's Breakthrough Act of 2005. S. 723 | SIMPLE Cafeteria Plan Act of 2005. S. 812 | to amend the Internal Revenue Code of 1986 to impose a flat tax only on individual taxable earned income and business taxable income, and for other purposes. S. 993 - to amend the Internal Revenue Code of 1986 to impose an excise tax on amounts received under certain insurance policies in which certain exempt organizations hold an interest. S.1099 | to repeal the current Internal Revenue Code and replace it with a flat tax, thereby guaranteeing economic growth and greater fairness for all Americans. S. 1159 -- To permanently extend the Subpart F exemption for active financing. See attachment.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Internal Revenue Service (IRS)

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BATES, DOUGLAS L.

Covered Official Position (if applicable): N/A

Name: RADKE, STEVEN M.

Covered Official Position (if applicable): N/A

Name: SCHLUTER, KATHLEEN H.

Covered Official Position (if applicable): N/A

Name: YOUNGMAN, MICHAEL L.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 14, 2007

Printed Name and Title: MICHAEL YOUNGMAN, VICE PRESIDENT - GOVERNMENT RELA -