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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name
Brown-Forman Corporation

2. Address ☐ Check if different than previously reported
P. O. Box 1080

3. Principal Place of Business (if different from line 2)
City: Louisville State/Zip (or Country) Kentucky 40201-1080

4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Mark H. Smith	(502) 774-7152	Mark_H_Smith@b-f.com	7213-12

7. Client Name ☒ Self	6. House ID #
	32516000

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☐ **OR** Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☐ ⇨ $ _____
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than $10,000 ☐

$10,000 or more ☒ ⇨ $ 250,000.00
Expenses (nearest $20,000)

14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8)of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Mark H. Smith February 12, 2001

Printed Name and Title Mark H. Smith, AVP, Director of Government Relations and Public Policy

LD-2 (REV. 6/98)

Registrant Name Brown-Forman Corporation Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific lobbying issues

Made contracts in support of legislation to clarify that wines made from organically grown grapes may continue to be labeled as made from such grapes when sulfur dioxide is added during the wine-making process.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mark H. Smith		☐
		☐
		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Signature Mark H. Smith Date February 12, 2001

Printed Name and Title Mark H. Smith, AVP, Director of Government Relations and Public Policy

Form LD-2 (Rev.6/98) Page 2 of 5

Registrant Name Brown-Forman Corporation Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Monitor House and Senate efforts to create a replacement tax for foreign sales corporation tax. Made contracts regarding possible introduction of legislation affecting spirits taxes.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
		☐
		☐
		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Date February 12, 2001

Printed Name and Title Mark H. Smith, AVP, Director of Government Relations and Public Policy

Form LD-2 (Rev 6/08) Page 3 of 5

Registrant Name Brown-Forman Corporation Client Name

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Sought administration assistance regarding proposed Taiwanese and Chinese labeling standards for whiskey. Made contracts concerning Thailand and India safety fuel oil in distilled spirits.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Department of State
USTR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
		☐
		☐
		☐
		☐
		☐
		☐
		☐
		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Mark H. Smith Date February 12, 2001

Printed Name and Title Mark H. Smith, AVP, Director of Government Relations and Public Policy

Form LD-2 (Rev 6/98)

pg 4 of 5

Registrant Name Brown-Forman Corporation Client Name

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature Date February 12, 2001

Printed Name and Title Mark H. Smith, AVP, Director of Government Relations and Public Policy

Form LD-2 (Rev. 6/98)