

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

PEABODY INVESTMENTS

2. Address:

701 Market St., St. Louis , MO 63101

3. Principal place of business (if different from line 2):

4. Contact Name: FREDERICK D. PALMER

Telephone: 3143427624

E-mail (optional): fpalmer@peabodyenergy.com

Senate ID #: 297990-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 960,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: PEABODY INVESTMENTS Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CAW/ (one per page)

16. Specific lobbying issues:

S 2724- Clean Air Planning Act of 2006 Monitor all legislative issues related to coal utilization, climate change, energy policy, clean air, coal act, coal bed methane, and power plants. HR 759- Climate Stewardship Act of 2005 S 342- Climate Stewardship Act of 2005 S 131- Clear Skies Act of 2005 S 150- Clean Power Act of 2005

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of
Environmental Protection Agency (EPA)
HOUSE OF REPRESENTATIVES
Interior, Dept of (DOI)
SENATE
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LEAHY, CHRISTOPHER

Covered Official Position (if applicable): POLICY COORDINATOR, HOUSE ENERGY&COMMERCE

Name: PALMER, FREDERICK

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: PEABODY INVESTMENTS Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

S 2884- To facilitate and expedite direct refunds to coal producers and exporters of the excise tax unconstitutionally imposed on coal exported from the United States HR 3771- To allow certain coal exporters to directly claim a refund of the excise tax unconstitutionally imposed on coal exported by such exporters. S 3623- Coal-to-Liquid Fuel Promotion Energy Act of 2006 S 3325- Coal-to-Liquid Fuel Promotion Act of 2006 HR 5653- Investment in Energy Independence Act of 2006 HR 2830- Pension Protection Act of 2005 S 2616- Surface Mining Control and Reclamation Act Amendments of 2006. H 6- Energy Policy Act of 2005 S 10- Energy Policy Act of 2005 Monitor the implementation of 109-58. Monitor all legislative issues related to coal utilization, climate change, energy policy, clean air, coal act, coal bed methane, and power plants. HR 5097- To facilitate and expedite direct refunds to coal producers and exporters of the excise tax unconstitutionally imposed on coal exported from the United States

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of
Environmental Protection Agency (EPA)
HOUSE OF REPRESENTATIVES
Interior, Dept of (DOI)
SENATE
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LEAHY, CHRISTOPHER

Covered Official Position (if applicable): POLICY COORDINATOR, HOUSE ENERGY&COMMERCE

Name: PALMER, FREDERICK

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: PEABODY INVESTMENTS Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

HR 6111- Tax Relief and Health Care Act of 2006. HR 3771- To allow certain exporters to directly claim a refund of the excise tax unconstitutionally imposed on coal exported by such exporters. S 2748- Enhanced Energy Security Tax Incentives Act of 2006 Monitor all legislative issues related to coal utilization, climate change, energy policy, clean air, coal act, coal bed methane, and power plants. HR 5970- Estate Tax and Extension of Tax Relief Act of 2006 S 3626- Estate Tax Relief and Reform Act of 2006 HR 5453- To amend the Internal Revenue Code of 1986 to extend the excise tax credits for certain liquid fuel derived from coal.

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of
Environmental Protection Agency (EPA)
HOUSE OF REPRESENTATIVES
Interior, Dept of (DOI)
SENATE
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LEAHY, CHRISTOPHER

Covered Official Position (if applicable): POLICY COORDINATOR, HOUSE ENERGY&COMMERCE

Name: PALMER, FREDERICK

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 14, 2007

Printed Name and Title: FREDERICK D. PALMER, SENIOR VICE PRESIDENT GOVERNMENT AFFAIRS