

RECEIVED  
SECRETARY OF  
PUBLIC RECORDS

02 AUG 22

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
---	--

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <b>Susan J. White &amp; Associates, Inc.</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>1020 North Fairfax Street, Suite 202</b>			
3. Principal Place of Business (if different from line 2) City <b>Alexandria</b> State/Zip (or Country) <b>VA 22314</b>			
4. Contact Name <b>Susan J. White</b>	Telephone <b>703 683-2573</b>	E-mail (optional)	5. Senate ID # <b>41181-</b>
7. Client Name <input type="checkbox"/> Self <b>National Association of Government Defined Contribution Administrators</b>			6. House ID # <b>324010</b>

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-December 31) 9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report  ⇔ Termination Date \_\_\_\_\_11. No Lobbying **INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇔ \$ <u>40,000</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇔ \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of methods.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA defined accounting method.</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6013(b)(3) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e)(2)(B) Internal Revenue Code</p>
--	--

Signature \_\_\_\_\_

Printed Name and Title Susan J. White, President



Registrant Name Susan J. White & Associates, Inc.

Client Name National Association of Governmental Employees Defined Contribution Admin

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

1. Regulations and guidance for governmental 457 plans (pen by the IRS/Department of the Treasury
2. Accounting reform affecting governmentnal plans, especial HR 3763, the Oxley-Sarbanes Act of 2002
3. Retirement advice, diversification in holdings, penalty-issues in HR 3762, the Pension Security Act of 2002 and the Natioanl Employee Savings and Trust Equity Guarantee

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
White House  
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Susan J. White</u>	<u>None</u>
.....	.....
.....	.....
.....	.....
.....	.....
.....	.....
.....	.....
.....	.....

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/13/02

Printed Name and Title Susan J. White, President



Registrant Name Susan J. White & Associates, Inc.

Client Name National Association of Governmental Employees Defined Contribution Administration

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- 1. Guidance and regulations after EGTRRA affecting governmental pension plans under section 457
- 2. Accounting reform legislation impacting governmental 457 and others especially HR 3763, the Oxley-Sarbanes Act of 2002
- 3. Retirement advice, diversification in holdings, penalty-free issues affecting governmental plans in HR 3762, the Pension Act of 2002 and S. 1971, the National Employee Savings and Equity Guarantee Act

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
White House  
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Susan J. White</u>	<u>None</u>
.....	.....
.....	.....
.....	.....
.....	.....
.....	.....
.....	.....

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Susan J. White* Date 8/13/02  
Printed Name and Title Susan J. White, President

