

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

SECRETARY OF THE SENATE

02 JUN -4 PM 12:47

Check if this is an Amended Registration ☐1. Effective Date of
RegistrationMay 7, 20022. House Identification
NumberSenate Identification
Number**REGISTRANT**3. Registrant Name **Covington & Burling**Address **1201 Pennsylvania Avenue, N.W.**City **Washington**State **DC**Zip **20044**

4. Principal place of business (if different from line 3)

City

State/Zip (or Country)

5. Telephone number and contact name

(202) 662-5415Contact **John C. Dugan**

Email (optional)

6. General description of registrant's business or activities **law firm****CLIENT**

A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10. ☐ Self

7. Client Name **SG Cowen Securities Corporation**Address **1221 Avenue of the Americas**City **New York**State **New York**Zip **10020**

8. Principal place of business (if different from line 7)

City

State/Zip (or Country)

9. General description of client's business or activities **Securities firm****LOBBYISTS**

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified in line 7. If any person listed in this section has served as a "covered executive branch official" "covered legislative branch official" within two years of first acting as a lobbyist for the client, indicate the executive and/or legislative position(s) in which the person served

Name	Covered Official Position (if applicable)
John C. Dugan	Partner
Gerard J. Waldron	Partner

Registrant Name Covington & BurlingClient Name SG Cowen Securities Corporat**LOBBYING ISSUES**

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse of Form LD-1, page 1.

FIN

12. Specific lobbying issues (current and anticipated) **Hearing on broker-dealer regulation.**

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period **and** in the whole or major part plans, supervises or controls the registrant's lobbying activities?

☒ No ⇒ Go to line 14.

☐ Yes ↓ Complete the rest of this section for each entity matching the criteria above and then proceed to line 14.

Name	Address	Principal Place of Business (city and state or country)
.....
.....
.....

FOREIGN ENTITIES

14. Is there any foreign entity that:

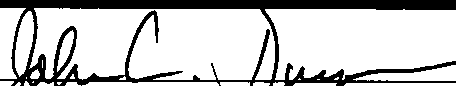
- a) holds at least 20% equitable ownership in the client or any organization identified on line 13;
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, subsidizes activities of the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct outcome of the lobbying activity?

☐ No ⇒ Sign and date the registration.

☒ Yes ↓ Complete the rest of this section for each entity matching the criteria above and then sign and date the registration..

Name	Address	Principal place of Business (city and state or country)	Amount of contribution for lobbying activities	Overseas per
Societe	1221 Avenue of the	Paris, France	0	..
Generale	Americas			

Signature


Date **June 3, 2002**

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Printed Name and Title **John C. Dugan**
