

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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REC
SECRETARY

02 AUG 2

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Risk and Insurance Management Society, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 655 Third Avenue, New York, NY 10017			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Joanne P. Waldman	Telephone (212) 655-6046	E-mail (optional) jwaldman@rims.org	5. Senate ID # 3336
7. Client Name <input checked="" type="checkbox"/> Self self			6. House ID # 3041

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-Dec9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>40,000.00</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of:
	<input type="checkbox"/> Method A. Reporting amounts using LDA defined
	<input checked="" type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code

Signature _____

Printed Name and Title _____

Registrant Name and Insurance Management Society, I Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code information as requested. Attach additional page(s) as needed.**

15. General issue area code LBR (one per page)

16. Specific lobbying issues

Public Law 104-191, HIPAA Medical Privacy Regulation, all provisions relating to disclosing medical information in the course of workers' compensation and other employment-related claims processes
RIMS-OSHA Alliance, April 14, 2002 signing of agreement to work together to accomplish outreach and communicatio goals
OSHA National Advisory Committee on Ergonomics, request to serve on committee

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Department of Labor
Occupational Safety and Health Administration
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joanne P. Waldman	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name and Insurance Management Society, I Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

H.R. 2341, Class Action Fairness Act of 2001, all provisions relating to interstate class action lawsuit reform
S. 1712, Class Action Fairness Act of 2001, all provisions relating to interstate class action lawsuit reform

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joanne P. Waldman	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name and Insurance Management Society, I Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

H.R. 3766, Insurance Industry Modernization and Consumer Protection Act
June 4, 2002 testimony before House Subcommittee on Capital Markets, Insurance, and Government Sponsored Enterprises (Committee on Financial Services) on the issue of insurance regulation and competition for the 21st century
Other proposed legislation in the Senate calling for the creation of an optional federal insurance charter

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joanne P. Waldman	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name and Insurance Management Society, I Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code information as requested.** Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

H.R. 3210, Terrorism Risk Protection Act, all provisions relating to a temporary federal reinsurance mechanism
February 27, 2002 testimony before House Subcommittee on Oversight and Investigations (Committee on Financial Services) on the issue of how much are Americans at risk until Congress passes terrorism insurance legislation
S.2600, Terrorism Risk Insurance Act of 2002, all provisions relating to a temporary federal reinsurance mechanism

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Department of Treasury
General Accounting Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joanne P. Waldman	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

Registrant Name and Insurance Management Society, I Client Name self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

HCR _____ TAX _____

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bus (city and state or cot

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer owns, or controls, or is affiliated** with the registra affiliated organization

Signature Joanne P. Waldman Date 8/13/02

Printed Name and Title Joanne P. Waldman, Government Affairs Manager

