

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
---	--

SECRETARY OF THE SENATE

00 AUG 14 PM 12:28

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>The Duberstein Group, Inc.</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>Suite 500 Washington 2100 Pennsylvania Avenue, NW DC 20037 USA</b>			
3. Principal Place of Business (if different from line 2) City State/Zip (or Country)			
4. Contact Name <b>John W. Angus, III</b>	Telephone <b>202-728-1100</b>	E-mail (optional)	5. Senate ID # <b>12675-241</b>
7. Client Name <input type="checkbox"/> Self <b>American Water Works Company, Inc.</b>	6. House ID # <b>31811022</b>		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying Activity

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$20,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>
---	---

Signature \_\_\_\_\_ Date 08/14/2000

Printed Name and Title John W. Angus, III - Senior Vice President & GC Page 1 of 3

Registrant Name: The Duberstein Group, Inc.

Client Name: American Water Works Company, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

**H.R.3309, To amend the Internal Revenue Code of 1986 to modify the private activity bond rules, Takeover of private companies, eminent domain, privatization of utilities**

17. House(s) of Congress and Federal agencies contacted  
House of Representatives

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Angus, III, John W.		No
Berman, Michael S.		No
Champlin, Steven M.		No
Duberstein, Kenneth M.		No
Gandy, Henry M.		No
Meyer, Daniel		No

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date 08/14/2000

Printed Name and Title John W. Angus, III - Senior Vice President & GC Page 2 of 3

Registrant Name: The Duberstein Group, Inc.

Client Name: American Water Works Company, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code UTI (one per page)

16. Specific Lobbying issues

**H.R.1492, To amend the Safe Drinking Water Act to provide for parity between private entities and public entities with respect to civil actions against the entities that arise from the ownership., Civil action against watersystems**

**H.R.4635, Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2004, Water infrastructure funding, municipal water funding**

**H.R.1674, To amend the Safe Drinking Water Act with respect to civil actions against public waters systems that are in compliance with national drinking water regulations promulgated by the Administrator., Civil action against watersystems**

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Now
<u>Angus, III, John W.</u>		<u>No</u>
<u>Berman, Michael S.</u>		<u>No</u>
<u>Champlin, Steven M.</u>		<u>No</u>
<u>Duberstein, Kenneth M.</u>		<u>No</u>
<u>Gandy, Henry M.</u>		<u>No</u>
<u>Meyer, Daniel</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

Date 08/14/2000

Printed Name and Title

John W. Angus, III - Senior Vice President & GC

Page 3 of 3