

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

HEALTHCARE ASSN OF NEW YORK STATE

2. Address:

1 EMPIRE DRIVE, RENSSELAER, NY 12144

3. Principal place of business (if different from line 2):

4. Contact Name: STEVEN A. KROLL

Telephone: 5184317600

E-mail (optional): skroll@harys.org

Senate ID #: 17900-12

House ID #: 31271000

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 420,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

S.354/H.R.534 Medicaid Liability Reform S.473/H.R.215 Allied Health Professions Reinvestment Act of 2005 Act S.544 Patient Safety and Quality Improvement Act of 2005 S.681 Umbilical Cord Blood Stem Cell Research S.898/H.R.1812 Patient Navigator Outreach & Chronic Disease Prevention Act of 2005 S.933/H.R.2350 Rural Community Hospital Assistance Act S.1049 Covering Kids Act of 2005 S.1262/H.R.2234 Health Technology to Enhance Quality Act of 2005 & the 21st Century Health Information Act S.1355 & S.1262 Better Healthcare Through Information Technology Act S.1356/H.R.3617 Medicare Value Purchasing Act of 2005 S.1418 Wired for Health Care Quality Act S.1784 National Medical Error Disclosure & Compensation Act of 2005 S.1955 Health Insurance Marketplace Modernization & Affordability Act of 2005 S.3042 All-Hazards Public Health Emergency and Bioterrorism Preparedness and Response Act H.R.139 Health Improvement and Professionals Act of 2005 H.R.534 Rural Health Equity Act H.R.880 Rural Health Equity Act H.R.3173 Nurse Faculty Higher Education Act H.R.3373/S.1405 Preserving Patient Access to Inpatient Rehabilitation Hospitals Act H.R.3607 The Future of Health Care-Granting Access to Innovation in America Act H.R.4157 Health Information Technology Promotion Act of 2005/Hospital Pricing Transparency H.R.5647/S.3708 Labor-Health and Human Services Education Bill FFY 2007 Budget and Budget Resolutions 2007 Medicare Inpatient Hospital PPS Rules 2007 Medicare Inpatient Psychiatric Facility PPS Rules 2007 Medicare Inpatient Rehabilitation Facility PPS and "75% Rule", Rules 2007 Medicare Outpatient PPS Rules 2007 Medicare Skilled Nursing Facility PPS Rules 2007 Medicare Home Health Agency PPS Rules 2007 Medicare Physician Fee Schedule Update "Stark" and physician self-referral regulations Hospital pricing transparency Not-for-profit hospital tax-exempt status Medicaid regulations affecting states' Medicaid financing mechanisms Pay for Performance Health information technology Hospital charity care/financial aid Coverage for undocumented immigrants Associated health plans Coverage for the uninsured Health savings accounts and high-deductible health plans Emergency preparedness Immigration Medicare Wage Index Community Benefit Reporting Mental health parity Limited service hospitals HUD Federal Housing Assistance mortgage program for hospitals Defined benefit pension plans National Institute of Health funding Health care workforce recruiting and training funding Hospital infection reporting Medical error reporting; hospital performance measure reporting S.4 Health Technology to Enhance Quality Act of 2005 S.22 Medical Care Access Protection Act of 2006 S.23 Healthy Mothers and Healthy Babies Access S.338/H.R.985 bipartisan Medicaid Commission

17. House(s) of Congress and Federal agencies contacted:

Agency for Health Care Policy & Research
Centers For Medicare and Medicaid Services (CMS)
Executive Office of the President (EOP)
HOUSE OF REPRESENTATIVES
HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
Labor, Dept of (DOL)
Office of Management & Budget (OMB)
SENATE
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CHANG, JU MING
Covered Official Position (if applicable): N/A
Name: CICCONE, KATHLEEN
Covered Official Position (if applicable): N/A
Name: DAHILL, KEVIN
Covered Official Position (if applicable): N/A
Name: GOLD, JEFFREY
Covered Official Position (if applicable): N/A
Name: GRAHAM, MELANIE
Covered Official Position (if applicable): N/A
Name: HARWELL, STEPHEN
Covered Official Position (if applicable): N/A
Name: HEIGEL, FREDERICK
Covered Official Position (if applicable): N/A
Name: HENLEY, NICHOLAS
Covered Official Position (if applicable): N/A
Name: KROLL, STEVEN
Covered Official Position (if applicable): N/A
Name: LEBARRON, DEBORA

Registrant Name: HEALTHCARE ASSN OF NEW YORK STATE Client Name: Self

Covered Official Position (if applicable): N/A
Name: MAHAFFEY, MONICA
Covered Official Position (if applicable): N/A
Name: ROACH, KAREN
Covered Official Position (if applicable): N/A
Name: SISTO, DANIEL
Covered Official Position (if applicable): N/A
Name: SMITH, CHRISTOPHER
Covered Official Position (if applicable): N/A
Name: SWEENEY, RAYMOND
Covered Official Position (if applicable): N/A
Name: THERRIAULT, MARY
Covered Official Position (if applicable): N/A
Name: VAN METER, SUSAN
Covered Official Position (if applicable): N/A
Name: WAGNER, SUE ELLEN
Covered Official Position (if applicable): N/A
Name: ZINKE, ANASTASSIA
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2007

Printed Name and Title: STEVEN A. KROLL, VICE PRESIDENT, GOVERNMENTAL AFFA -