

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

RECEIVED  
SECRETARY OF THE SENATE  
PUBLIC RECORDS

02 FEB 14 PM 3:

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

|   |  |                                  |                                   |
|---|--|----------------------------------|-----------------------------------|
| 1. Registrant Name<br><b>Barbour Griffith &amp; Rogers, Inc.</b>  |  |                                  |                                   |
| 2. Registrant Address <input type="checkbox"/> Check if different than previously reported<br>Address <b>1275 Pennsylvania Avenue, NW</b> <b>Tenth Floor</b><br>City <b>Washington</b> State/Zip (or Country) <b>DC 20004</b> |  |                                  |                                   |
| 3. Principal Place of Business (if different from line 2)<br>City _____ State/Zip (or Country) _____  |  |                                  |                                   |
| 4. Contact Name<br><b>Evan Rikhye</b>   |  | Telephone<br><b>202-333-4936</b> | 5. Senate ID #<br><b>5357-226</b> |
| 7. Client Name <input type="checkbox"/> Self<br><b>MassMutual Life Insurance Co.</b>  |  | 6. House ID #<br><b>31564022</b> |                                   |

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date \_\_\_\_\_

11. No Lobbyi

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

|   |  |
|---|--|
| <b>12. Lobbying Firms</b><br><br><b>INCOME</b> relating to lobbying activities for this reporting period was:<br><br>Less than \$10,000 <input type="checkbox"/><br><br>\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$60,000.00</u><br>Income (nearest \$20,000)<br><br>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | <b>13. Organizations</b><br><br><b>EXPENSES</b> relating to lobbying activities for this reporting period were:<br><br>Less than \$10,000 <input type="checkbox"/><br><br>\$10,000 or more <input type="checkbox"/> >> \$ _____<br>Expenses (nearest \$20,000)<br><br><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of<br><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition<br><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(c) of the Internal Revenue Code<br><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code |
|---|--|

Signature \_\_\_\_\_ Date 2/14/2002

Printed Name and Title **G.O. Griffith, Jr. - Chief Operating Officer**  
Filing #e76071e9-6dd6-47d1-ad2c-a213a90def44 - Page 1 of 14



Registrant Name: Barbour Griffith & Rogers, Inc.

Client Name: MassMutual Life Insurance Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

**H.R. 1088, Investor & Capital Market Fee Relief Act,**

**H.R. 2036, Social Security Number Privacy and Identify Theft Protection Act of 2001,**

**H.R. 583, To establish the Commission for the Comprehensive Study of Privacy Protection,**

17. House(s) of Congress and Federal agencies contacted

**House of Representatives**

**Senate**

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

| Name                  | Covered Official Position (if applicable) |
|-----------------------|---|
| <b>Barbour, Haley</b> |   |
| <b>Monroe, Loren</b>  |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date 2/14/2002

Printed Name and Title G.O. Griffith, Jr. - Chief Operating Officer



Registrant Name: Barbour Griffith & Rogers, Inc.

Client Name: MassMutual Life Insurance Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific Lobbying issues

**Health & Human Services regulations on the privacy of medical information**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**Department of Health & Human Services**

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

| Name                  | Covered Official Position (if applicable) |
|-----------------------|---|
| <b>Barbour, Haley</b> |   |
| <b>Monroe, Loren</b>  |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date 2/14/2002

[REDACTED]

Registrant Name: **Barbour Griffith & Rogers, Inc.**

Client Name: **MassMutual Life Insurance Co.**

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code **INS** (one per page)

16. Specific Lobbying issues

**H.R. 3210, Terrorism Risk Protection Act,**

**S. 1744, Terrorism Insurance Act ,**

**Legislation relating to an optional federal charter for life insurance companies**

**House consideration of the costs of multi-state regulation of life insurers**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

| Name                  | Covered Official Position (if applicable) |
|-----------------------|---|
| <b>Barbour, Haley</b> |   |
| <b>Monroe, Loren</b>  |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date **2/14/2002**

Printed Name and Title **G.O. Griffith, Jr. - Chief Operating Officer**

[REDACTED]



Registrant Name: **Barbour Griffith & Rogers, Inc.**

Client Name: **MassMutual Life Insurance Co.**

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code **RET** (one per page)

16. Specific Lobbying issues

**H.R. 1836, Economic Growth and Tax Reconciliation Act of 2001 ,  
H.R. 10, Comprehensive Retirement Security and Pension Reform Act,  
H.R. 742, Retirement Security and Savings Act of 2001 ,  
S. 1677, Independent Investment Adviser Act of 2001,**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**Department of Treasury  
Executive Office of the President  
House of Representatives  
US Senate**

18. Name of each individual who acted as a lobbyist in this issue area

| Name                  | Covered Official Position (if applicable) |
|-----------------------|---|
| <b>Barbour, Haley</b> |   |
| <b>Monroe, Loren</b>  |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date **2/14/2002**

Printed Name and Title **G.O. Griffith, Jr. - Chief Operating Officer**



Registrant Name: Barbour Griffith & Rogers, Inc.

Client Name: MassMutual Life Insurance Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

**H.R. 1836, Economic Growth and Tax Relief Reconciliation Act of 2001, Provisions related to life insurance changes**

**H.R. 661, S. 992, Life Insurance and Tax Simplification Act of 2001,**

**IRS Notice 2001-10 relating to taxation of split dollar life insurance policies**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**Department of Treasury**

**Executive Office of the President**

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

| Name                  | Covered Official Position (if applicable) |
|-----------------------|---|
| <b>Barbour, Haley</b> |   |
| <b>Monroe, Loren</b>  |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date 2/14/2002

[REDACTED]

Registrant Name: Barbour Griffith & Rogers, Inc.

Client Name: MassMutual Life Insurance Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

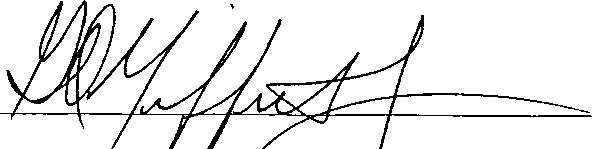
16. Specific Lobbying issues  
**H.R. 2341, Class Action Fairness Act of 2000,**

17. House(s) of Congress and Federal agencies contacted ☐ Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

| Name                  | Covered Official Position (if applicable) |
|-----------------------|---|
| <b>Barbour, Haley</b> |   |
| <b>Monroe, Loren</b>  |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |
|                       |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 2/14/2002

Printed Name and Title G.O. Griffith, Jr. - Chief Operating Officer  
Filing #e76071e9-6dd6-47d1-ad2c-a213a90def44 - Page 13 of 14

