

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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FOR FILING  
03 FEB 14

## LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration  1. Effective Date of Registration 9/17/2002  
2. House Identification Number \_\_\_\_\_ Senate Identification Number \_\_\_\_\_

### REGISTRANT

3. Registrant Name **Washington Council Ernst & Young**  
Address **1150 17th Street, NW** Suite **601**  
City **Washington** State **DC** Zip **20036** USA  
4. Principal place of business (if different from line 3)  
City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_  
5. Telephone number and contact name Contact E-Mail (optional)  
**202-293-7474** **Nick Giordano** **nick.giordano@wc.ey.com**  
6. General description of registrant's business or activities  
**Legislative and regulatory consulting practice, division of Ernst & Young LLP.**

### CLIENT

*A lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should be labeled "Self" and proceed to line 10.*  Self

7. Client Name **Investment Company Institute**  
Address **1401 H Street, NW** Suite **601**  
City **Washington** State **DC** Zip **20036** USA  
8. Principal place of business (if different from line 7)  
City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_  
9. General description of client's business or activities  
**National association of American investment company industry**

### LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for this client, state the executive and/or legislative position(s) in which the person served.

Name	Covered Official Position (if applicable)
<b>Lauren Bazel</b>	
<b>John Doney</b>	
<b>LaBrenda Garrett-Nelson</b>	

**Gary Gasper**

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Form LD-1 (Rev. 06/98)

Registrant Name: Washington Council Ernst & YoungClient Name: Investment Company Institute

Item	Description	Data
10a 10b	Lobbyist Name Covered Official Postion	Thomas Giles Health Counsel, Energy and Commerce Committee
10a 10b	Lobbyist Name Covered Official Postion	Nick Giordano
10a 10b	Lobbyist Name Covered Official Postion	Francis Grab Tax & Trade Counsel, Office of Rep. Robert Matsui
10a 10b	Lobbyist Name Covered Official Postion	Cathy Koch
10a 10b	Lobbyist Name Covered Official Postion	Dave Koshgarian Chief of Staff, Office of Congressman Ben Cardin
10a 10b	Lobbyist Name Covered Official Postion	Marty McGuinness Associate to Pete Sessions, House Cmte on Rules
10a 10b	Lobbyist Name Covered Official Postion	Richard Meltzer
10a 10b	Lobbyist Name Covered Official Postion	Phil Moseley
10a 10b	Lobbyist Name Covered Official Postion	John Porter
10a 10b	Lobbyist Name Covered Official Postion	Susan Relland
10a 10b	Lobbyist Name Covered Official Postion	Bob Rozen
10a 10b	Lobbyist Name Covered Official Postion	Donna Steele-Flynn
10a 10b	Lobbyist Name Covered Official Postion	Tim Urban



Registrant Name: Washington Council Ernst & Young

Client Name: Investment Company Institute

**LOBBYING ISSUES**

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

**RET, TAX**

12. Specific lobbying issues (current and anticipated)

**Retirement security legislation, bankruptcy proposals**

**AFFILIATED ORGANIZATIONS**

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or major part plans, supervises, or controls the registrant's lobbying activities?

No. Go to line 14.

Yes. Complete the rest of this section for each entity matching 1 criteria above, then proceed to line 14.

Name	Address	Principal Place of Busir (city and state or count

**FOREIGN ENTITIES**

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances, or subsidizes activities of the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

No. Sign and date the registration.

Yes. Complete the rest of this section for each entity matching criteria above, the sign and date the registration.

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities

Signature

*Frank ...*

Date 11/7/2002

Printed Name and Title

**Nick Giordano - Partner**

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