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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name NEW YORK BANKERS ASSOCIATION			
2. Address <input type="checkbox"/> Check if different than previously reported 99 PARK AVENUE, 4TH FLOOR, NEW YORK, NY 10016-1502			
3. Principal Place of Business (if different from line 2) City: _____ State/zip (or Country) _____			
4. Contact Name Roberta Kotkin	Telephone (212) 297-1684	E-mail (optional) rkotkin@nyba.com	5. Senate ID # 29163-00012
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 31432000

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇔ Termination Date _____

11. No Lobbyin

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇔ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> ⇔ \$ <u>40,000.00</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of
	<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162(c) Internal Revenue Code

Signature _____ Date _____

Printed Name and Title

LD-2 (REV. 4/03)

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Registrant Name NEW YORK BANKERS ASSOCIATION Client Name _____

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Self

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

Deposit Insurance Reform (H.R.522, S.229, S.913); Regulations implementing the FACT Act; Bankruptcy Reform (H.R.975, H.R.1529, S.1920); Budget & Tax Issues (H.R.2); Real Estate Brokerage & Management (H.R.111, S.98, H.R.2989, Treasury, Transportation & Independent Agencies Appropriations bills); Flood Insurance (H.R.253, S.1768, S.2238); Small Business Administration legislation (S.141, H.R.923, S.1375, H.R.3915, H.R.4062); Expanded MMDA Accounts & Interest on Corporate Checking Legislation (H.R.859, H.R.758, S.1967); Regulations implementing Check 21; Internet Gambling Legislation (H.R.2143); Class Action and Tort Reform Legislation (H.R.1115, S.274); Regulatory Burden Relief Legislation and Regulation (H.R.1375); Bank Capital Regulations and Legislation (H.R.2043); Mutual Funds Legislation (H.R.2420) and Regulations; Pension Legislation (H.R.1533) and Regulations; FHA Legislation

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate	U.S. Treasury Department
U.S. House of Representatives	Office of Thrift Supervision
Federal Reserve Board	Office of the Comptroller of the Currency
Federal Deposit Insurance Corporation	
Internal Revenue Service	

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael P. Smith	
Roberta Kotkin	
William J. Bosies	
Karen Jannetty	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Roberta Kotkin* Date 8/10/04

New York Bankers Association
2004 Federal Midyear (January 1 – June 30) Lobbying Report

Continuation Sheet

16. Specific lobbying issues (continued from Form)

(H.R. 1985); Regulations implementing the USA PATRIOT Act; Regulations implementing the Sarbanes-Oxley Act; Regulations with regard to High Cost Home Lending; Regulations on bank and trust taxes; Community Reinvestment Act Regulations; Credit Union Membership and Small Business Lending Regulations; Privacy Regulations and Legislation, including the “do not call” list; Credit Union Legislation (H.R. 3579); Legislation regulating government-sponsored enterprises (H.R. 2575); Tax Legislation (H.R. 2896, S. 1637); Anti-SPAM Legislation (S. 877); Housing Legislation (S. 811, H.R. 1276, H.R. 3755); OCC Preemption Legislation (H.R. 4236&4237; S.J. Res. 31&32) and Regulation; Legislation on identity theft (H.R. 1731, S. 153); Terrorism Risk Insurance Legislation; FCA Regulations; paperwork burden relief regulations; Deposit Insurance Regulations.

17. House(s) of Congress and Federal agencies contacted (continued from Form)

Securities & Exchange Commission
Small Business Administration
National Credit Union Administration
Department of Housing and Urban Development
Architectural and Transportation Barriers
Compliance Board (Access Board)
Farm Credit Administration

