

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE
05 FEB 14 PM 5:08

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

| | | | |
|---|------------------------------------|-------------------|------------------------------------|
| 1. Registrant Name Cauthen Forbes & Williams | | | |
| 2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1275 Pennsylvania Ave., N.W. 10th Floor City Washington State/Zip (or Country) DC 20004 USA | | | |
| 3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____ | | | |
| 4. Contact Name Harvey E. Cauthen, Jr. | Telephone (334) 264-1155 | E-mail (optional) | 5. Senate ID # 284551-36 |
| 7. Client Name <input type="checkbox"/> Self Cauthen & Associates | | | 6. House ID # 36886-001 |

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report >> Termination Date _____

11. No Lobbying Activities

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| 12. Lobbying Firms | 13. Organizations |
|---|--|
| <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$320,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$ _____)</p> <p>14. REPORTING METHOD. Check box to indicate reporting method. See instructions for description of method.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6011 of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 6011 of the Internal Revenue Code</p> |

Signature _____ Date 11/17/2022

Printed Name and Title Zachary Williams - Partner P

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code BUD (one per page)

16. Specific Lobbying issues

17. House(s) of Congress and Federal agencies contacted

Check if None

**Department of Education
Department of the Interior
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--------------------------------|--|
| Cauthen, Jr., Harvey E. | |
| Forbes, Jeff | Minority Staff Director of Senate Finance Comm. |
| Williams, Zachary | |
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date 11/10/2009

Printed Name and Title Zachary Williams - Partner Pa

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

| Item | Description | Data |
|------|-----------------|---|
| 16 | Lobbying Issues | Labor, Education, HHS Appropriations FY '05, provisions relating to fundi post-secondary education Transportation Appropriations FY '05 Airport enhancement Campus roadway renovation Infrastructure Development H.R. 4567, Department of Homeland Security Appropriations FY '05 H.R. 4754, Commerce, State and Justice Appropriations FY '05 Provision relating to Homeland Security funding, and Port Security initiativ Economic development funding initiatives H.R. 4568, Department of Interior Appropriations FY '05 Department of Veterans Affairs, Housing & Urban Development Appropria FY '05 S. 2537, Department of Homeland Security Appropriations FY '05 |

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific Lobbying issues

Labor, HHS, Education appropriations FY '05, provisions relating to funding for post-secondary education.

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--------------------------------|--|
| Cauthen, Jr., Harvey E. | |
| Forbes, Jeff | Minority Staff Director of Senate Finance Comm. |
| Williams, Zachary | |
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title **Zachary Williams - Partner** _____ P:

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

- 15. General issue area code INS (one per page)
- 16. Specific Lobbying issues
Tax provisions relating to insurance securitization, reinsurance
H.R. 2, Jobs and Growth Reconciliation Tax Act of 2003
S. 2, Jobs and Growth Reconciliation Tax Act of 2003

- 17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--------------------------------|--|
| Cauthen, Jr., Harvey E. | |
| Forbes, Jeff | Minority Staff Director of Senate Finance Comm. |
| Stiers, William | |
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- 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 11/10/2009

Printed Name and Title Zachary Williams - Partner Pg

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code TAX (one per page)
- 16. Specific Lobbying issues
Tax provisions relating to insurance securitization, reinsurance
H.R. 2, Jobs and Growth Reconciliation Tax Act of 2003
S. 2, Jobs and Growth Reconciliation Tax Act of 2003

- 17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--------------------------------|--|
| Cauthen, Jr., Harvey E. | |
| Forbes, Jeff | Minority Staff Director of Senate Finance Comm. |
| Williams, Zachary | |
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- 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 4/10/2005

Printed Name and Title Zachary Williams - Partner _____ P:

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code TRA (one per page)

16. Specific Lobbying issues
Transportation Appropriations FY '05
Infrastructure Development
Campus Roadway Renovation

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--------------------------------|--|
| Cauthen, Jr., Harvey E. | |
| Forbes, Jeff | Minority Staff Director of Senate Finance Comm. |
| Williams, Zachary | |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 4/10/2005

Printed Name and Title Zachary Williams - Partner Pg

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

MMM

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

| Name | Address | Principal Place of Bu (city and state or co |
|------|---------|--|
| | | |

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

| Name | Address | Principal Place of Business (city and state or country) | Amount of contribution for lobbying activities |
|------|---------|--|---|
| | | | |

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant or affiliated organization



Signature  Date 4/10/2005

Printed Name and Title Zachary Williams - Partner P: