

Clerk of the House of Representatives
Legislative Resource Center
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Washington, DC 20515

Secretary of the Senate
Office of Public Records
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Washington, DC 20510

SECRETARY OF THE SEN.
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Principal Financial Group			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1350 I Street, N.W. Suite 1030 City Washington State/Zip (or Country) DC 20005-3305 USA			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name Stuart J. Brahs		Telephone (202) 682-1280	E-mail (optional) brahs.stuart@principal.com
			5. Senate ID # 32178-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 31588000

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) **OR** Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report >> Termination Date _____

11. No Lobbyi

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000)	EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$1,010,000.00</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitic <input type="checkbox"/> Method B. Reporting amounts under section 6033 (the Internal Revenue Code) <input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature

Stuart J. Brahs

Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific Lobbying issues

- H.R. 1009, to repeal the prohibition on the payment of interest on demand deposits ,
- H.R. 1355, to merge the deposit insurance funds at the Federal Deposit Insurance Corporations.,
- H.R. 3703, consolidation GSE regulation,
- H.R. 4067, repeal prohibition of bank business checking interest,
- HR. 1009, to repeal the prohibition on payment of interest on demand deposits,
- HR. 1048, to facilitate transfers between intrest-bearing accounts and transactions accounts at depository ins small businesses.,
- HR. 2032, to amend the Truth in Lending Act to protect consumers from certain unreasonable practices of ci which result in higher fees or rates of interest for credit cardholders, and for other purposes,
- HR. 746, to amend the Federal Deposit Insurance Act to require periodic cost of living adjustments to the ma amount of deposit insurance available under such law, and for other purposes,

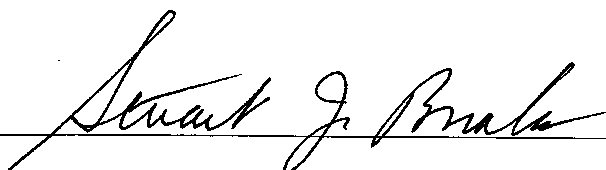
17. House(s) of Congress and Federal agencies contacted Check if None

FDIC
House of Representatives
Office of Thrift Supervision
Senate
Treasury Department

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

Item	Description	Data
16	Lobbying Issues	S. 524, to increase the number of interaccount transfers which may be made fi
16	Lobbying Issues	business accounts at depository institutions, to authorize the Board of Govern of the Federal Reserve System to pay interest on reserves, and for other purpc
16	Lobbying Issues	S.229, to amend federal banking law to permit the payment of interest on busi checking accounts in certain circumstances, and for other purposes, S.403, to prohibit implementation of "Know Your Customer" regulaitions by t Federal Banking Agencies.,



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific Lobbying issues

S. 420, an original bill to amend title II, US Code, and for other purposes; Judiciary,

17. House(s) of Congress and Federal agencies contacted
House of Representatives
Senate

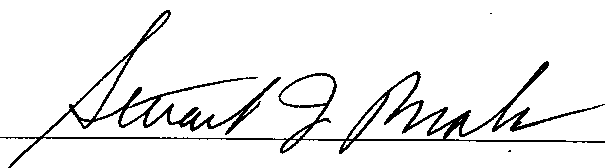
Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CIV (one per page)

16. Specific Lobbying issues

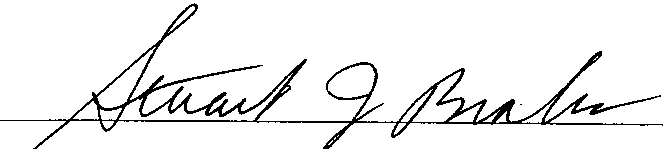
**HR. 583, to establish the Commission for the Comprehensive Study of Privacy Protection.,
S. 19, a bill to protect Civil Rights of all Americans and for other purposes,**

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)

16. Specific Lobbying issues

H.R. 1410, the foster innovation and technological advancement in the development of the Internet and elect commerce, and to assist the states in simplifying their sales and use taxes,

HR. 237, to protect the privacy of consumers who use the Internet,

HR. 718, to protect individuals, families and Internet service providers from unsolicited and unwanted elect S. 288, a bill to extend the moratorium enacted by the Internet Tax Freedom Act through 2006, and to assist simplifying their sales and use taxes,

S. 512, a bill to foster innovation and technological advancement in the development of the Internet and elect commerce, and to assist the States in simplifying their sales and use taxes,

S. 589, to make permanent the moratorium on the imposition of taxes on the Internet,

S. 630, to prohibit senders of unsolicited commercial e-mail from disguising the source of their messages, to g consumers the choice to cease receiving a sender's unsolicited commercial e- mail messages, and for other pu

17. House(s) of Congress and Federal agencies contacted Check if None

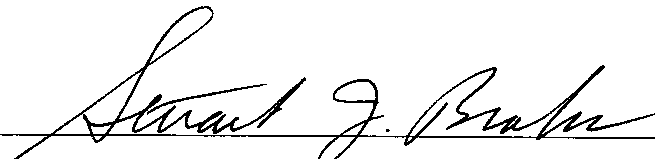
House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart J.	
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: **Principal Financial Group**

Client Name: **Self**

Item	Description	Data
16	Lobbying Issues	S. 777, to permanently extend the moratorium enacted by the Internet Tax Freedom Act and for other purposes,
16	Lobbying Issues	S.761, Electronic Signatures final bill,



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific Lobbying issues

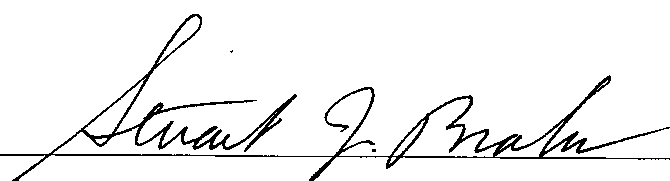
HR. 220, to amend title II of the Social Security Act and the Internal Revenue Code of 1986 to protect the in confidentiality of Social Security account numbers issued under such title,
H. Res. 159 , expressing the sense of the House that machine-readable privacy polices and the Platform for P Preferences Project specification, commonly known as the P3P specification are important tools in protecting of Internet users,
H.R 4380, Administration's financial privacy proposal,
H.R. 1478, to protect the privacy of the individuals with respect to the Social Security number and other per: information, and for other purposes,
H.R. 2135, to protect consumer privacy,
H.R. 2136 , to protect the confidentiality of information acquired from the public for statistical purposes,
H.R. 4585, medical privacy,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart J.	
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

Item	Description	Data
16	Lobbying Issues	H.R.1057, Medical Information Privacy and Security Act,
16	Lobbying Issues	HR. 1051, to amend the Home Ownership and Equity Protection Act of 1994 other sections of the Truth in Lending ,
16	Lobbying Issues	HR. 1052, to amend the Truth in Lending Act to enhance consumer disclosure regarding credit card terms and charges,
16	Lobbying Issues	HR. 1058, to amend the Truth Lending Act to prohibit the distribution of any check or other negotiable instrument as part of credit, to limit the liability of consumers in conjunction with such solicitations. ,
16	Lobbying Issues	HR. 1059, HR. 1059, to require insured depository institutions to make afford transaction accounts available to their customers, and for other purposes,
16	Lobbying Issues	HR. 2036, to amend the Social Security Act to enhance privacy protections for individual to prevent fraudulent misuse of the Social Security account number
16	Lobbying Issues	HR. 347, to require the Federal Trade Commission to prescribe regulations to protect the privacy of personal information collected from and about individu on the Internet,
16	Lobbying Issues	HR. 583, to establish the Commission for the Comprehensive Study of Privac Protection,
16	Lobbying Issues	S 324, to amend the Gramm-Leach-Bliley Act, to prohibit the sale and purcha the social security number of an individual by financial institutions,
16	Lobbying Issues	S. 1014, to amend the Social Security Act of enhance privacy protections for individuals to prevent fraudulent misuse of the Social Security account numb
16	Lobbying Issues	S. 1055, to require the consent of an individual prior to the sale and marketin such individual's personally identifiable information.,
16	Lobbying Issues	S. 30, to strengthen control by consumers over use and disclosure of their pers financial and health information by financial institutions.,
16	Lobbying Issues	S. 451, to establish civil and criminal penalties for the sale or purchase of a so security number,
16	Lobbying Issues	S. 536, to amend the Gramm-Leach-Baily Act to provide for a limitation on sharing of marketing and behavioral profiling information and for other purp
16	Lobbying Issues	S. 851, to establish a commission to conduct a study of government privacy practices, and for other purposes,
16	Lobbying Issues	S.2513, Administration's financial privacy proposal,
16	Lobbying Issues	S.450, to amend the Gramm-Leach-Bliley Act to provide for enhanced protect of nonpublic personal information,



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15. General issue area code FIN (one per page)

16. Specific Lobbying issues

H.R.1267, to amend the internal revenue code of 1986 to provide tax treatment for foreign investment through states regulated investment company comparable ,

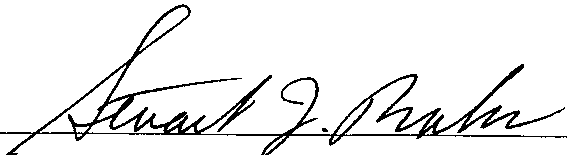
17. House(s) of Congress and Federal agencies contacted Check if None

**Department of Treasury
House of Representatives
Office of Thrift Supervision
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific Lobbying issues

H.R. 1332, to amend title 35, U.S. Code, to provide for improvements in the quality of patents on certain inv
H.R. 1333, to amend title 35, U.S. Code, to provide for improvements in the quality of patents on certain inve
HR. 4049, to establish the Commission for the Comprehensive Study of Privacy Protection.,
S. 17, to amend the Federal Election Campaign Act of 1971 to provide bipartisan campaign reform,
S. 27, to amend the Federal Election Campaign Act of 1971 to provide bipartisan campaign reform,
S. 93, to amend the Federal Election Campaign Act of 1971 to require disclosure of certain disbursements ma
electioneering communications, and for other purposes,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific Lobbying issues

H.R. 390, to require the establishment of regional consumer price indices to compute cost-of-living increases programs for Social Security and Medicare and other medical benefits under titles II and XVIII of the Social Security Act;
H.R. 792, to amend the public health service act of 1974, and the Internal Revenue Code of 1986 to require treatment of minor child's congenitama, infection, tumor, or other condition;
H.R. 1194, to amend the Employee Retirement Income Security Act of 1974, public health service act, and the Internal Revenue Code of 1986 to provide parity with respect to substance abuse treatment benefits under group health insurance coverage;
H.R. 1215, to ensure confidentiality with respect to medical records and health care-related information, and other purposes;
H.R. 1312, to amend the Family and Medical Leave Act of 1993 to permit leave after the death of a spouse for surviving spouses and widowers with minor children;

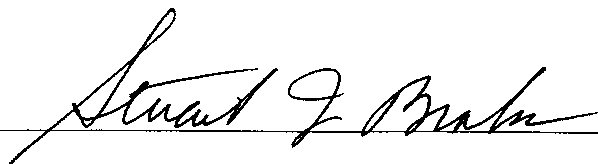
17. House(s) of Congress and Federal agencies contacted Check if None

Department of Health & Human Services
HCFA
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

Item	Description	Data
16	Lobbying Issues	H.R. 2005, to amend the Internal Revenue Code of 1986, the Employee Retirement Income Security Act of 1974, and the Public Health Service Act to extend the period for health care continuation coverage from 18 months to 5 years, to permit a further extension of,
16	Lobbying Issues	H.R.1181, to amend the Internal Revenue Code of 1986 to provide incentives for private health coverage for the previously uninsured, and for other purposes,
16	Lobbying Issues	H.R.1304, Physician Collective Bargaining,
16	Lobbying Issues	H.R.16, to provide a program of national health insurance and for other purposes,
16	Lobbying Issues	H.R.2457, to prohibit health insurance and employment discrimination against individuals and their family members on the basis of predictive genetic information or genetic services.,
16	Lobbying Issues	H.R.4585, Medical Privacy,
16	Lobbying Issues	HR 1809, to amend the Employee Retirement Income Security Act of 1974, the Public Health Service Act, and the Internal Revenue Code of 1986 to require that group and individual health insurance coverage and group health plans provide comprehensive coverage of cancer screening,
16	Lobbying Issues	HR 967, to amend the Public Health Service Act of 1974, and Internal Revenue Code of 1986 to require group and individual health insurance coverage for individuals participating in approved cancer clinical trials,
16	Lobbying Issues	HR. 1078, to amend title XVIII of the Social Security Act, the Employee Retirement Income Security Act of 1974, the Public Health Service Act, and the Internal Revenue Code of 1986,
16	Lobbying Issues	HR. 1111, to require equitable coverage of prescription contraceptive drugs and devices, and contraceptive services under health plans,
16	Lobbying Issues	HR. 1331, to amend the Internal Revenue Code of 1986 to allow individuals a refundable credit against income tax for the purchase of private health insurance,
16	Lobbying Issues	HR. 162, To amend the Public Health Service Act, Employee Retirement Income Security Act of 1974, and the Internal Revenue Code of 1986,
16	Lobbying Issues	HR. 1663, to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and the Internal Revenue Code of 1986 to extend the basic period for health care continuation from 18 months to 5 years,
16	Lobbying Issues	HR. 1774, to amend title I of the Employee Retirement Income Security Act of 1974 to improve access and choice for entrepreneurs with small businesses with respect to medical care for their employees,
16	Lobbying Issues	HR. 1809, to amend the Employee Retirement Income Security Act of 1974, the Public Health Service Act, and the Internal Revenue Code of 1986 ,
16	Lobbying Issues	HR. 195, to amend the vaccine injury compensation portion of Public Health Service Act to permit a petition for compensation to be submitted within 48 months of the first symptoms of injury,
16	Lobbying Issues	HR. 2315, to protect consumers in managed care plans in other health care coverage.,
16	Lobbying Issues	HR. 2563, to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974 and the Revenue Code of 1986.,
16	Lobbying Issues	HR. 526, to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and the internal Revenue Code of 1986 to protect consumers in managed care plans and other health coverage,
16	Lobbying Issues	HR. 536, to amend the Public Health Service Act and Employee Retirement Income Security Act 1974,
16	Lobbying Issues	HR. 580, to amend title XXVII of the Public Health Service Act and title I of the Employee Retirement Income Security Act of 1974 to require that group and individual health insurance coverage and group health plans provide comprehensive coverage ,
16	Lobbying Issues	HR. 602, to prohibit discrimination on the basis of genetic information with respect to health insurance.,
16	Lobbying Issues	S. 104, to require equitable coverage of prescription drugs and devices and contraceptive services under health plans,



Registrant Name: Principal Financial Group

Client Name: Self

Item	Description	Data
16	Lobbying Issues	S. 1052, a bill to amend the Public Health Service Act and the Employee Retirement Income Security Act of 1974,
16	Lobbying Issues	S. 208, to reduce to health care costs and promote improved health care by providing supplemental grants for additional preventive health services for women,
16	Lobbying Issues	S. 24, to provide improved access to health care, enhance informed individual choices regarding health care services, lower health care costs through the use appropriate providers, improved the quality of health care, improve access to long-term care and for ,
16	Lobbying Issues	S. 318, to prohibit discrimination on the basis of genetic information with resp to health insurance,
16	Lobbying Issues	S. 382, to prohibit discrimination on the basis of genetic information with resp to health insurance,
16	Lobbying Issues	S. 543, A bill to provide for equa coverage of mental health benefits with resp to health insurance coverage,
16	Lobbying Issues	S. 543,, to provide for equal coverage of mental health benefits with respect to health insurance coverage unless comparable limitations are imposed on medic and surgical benefits,
16	Lobbying Issues	S. 569, entitled the "Health Care Access Improvement Act",
16	Lobbying Issues	S. 575, to entitle the "Hospital Length of Stay Act of 2001",
16	Lobbying Issues	S. 576, to require insurance coverage for certain reconstructive surgery,
16	Lobbying Issues	S. 577, to limit the administrative expenses and profits of managed care entiti not more than 15 percent of premium revenues,
16	Lobbying Issues	S. 595, to amend the public health service act, employee retirement income sur act of 1974, and the internal revenue code of 1986 to provide for nondiscriminatory coverage for substance abuse treatment services under priv group and individual health coverag,
16	Lobbying Issues	S. 6, to amend the public health service act the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to protect consum in managed care plans and other health coverage; to Health, Education, Labor Pensions.,
16	Lobbying Issues	S. 651, to provide for the establishment of an assistance program for health insurance consumers,
16	Lobbying Issues	S. 683, to amend the Internal Revenue Code of 1986 to allow individuals a refundable credit against income tax for the purchase of private health insuranc and to establish state health insurance safety-net programs,
16	Lobbying Issues	S. 782, to amend title III of the Americans with Disabilities Act of 1990 to requ as a precondition to commencing a civil action with respect to a place of public accommodation or a commercial facility,
16	Lobbying Issues	S. 823, to assure access under group health plans and health insurance coverag covered emergency medical services,
16	Lobbying Issues	S. 836, to amend part C of title XI of the Social Security Act to provide for coordination of implementation of administrative simplification standard for health care information,
16	Lobbying Issues	S. 840, to amend title 11, United States Code, to provide for health care and employee benefits and for other purposes,
16	Lobbying Issues	S. 868, to amend the Employee Retirement Income Security Act of 1974, Public Health Service Act, and the internal Revenue Code of 1986 ,
16	Lobbying Issues	S. 872, to amend the Public Health Service Act, the Employee Retirement Inco Security Act of 1974, and the Internal Revenue Code of 1986 to protect consum in managed care plans and other health coverage,
16	Lobbying Issues	s. 889, to protect consumers in managed care plans and in other health coverag
16	Lobbying Issues	S.1322, a bill to prohibit health insurance and employment discrimination agai individuals and thier family members on the basis of predictive genetic informat or genetic services.,





Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HOU (one per page)

16. Specific Lobbying issues

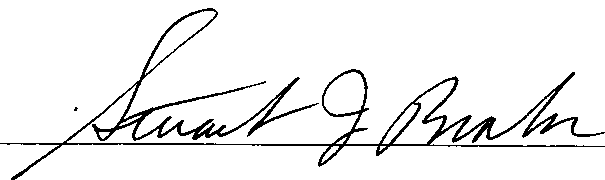
- HR. 1051, to amend the Home Ownership and Equity Protection Act of 1994 and other sections of the Truth
- HR. 1052, to amend the Truth in Lending Act to enhance consumer disclosures regarding credit card terms a
- HR. 1053, To amend the Equal Credit Opportunity Act and the Home Mortgage Disclosure Act of 1975 to re
- disparate impact of predatory lending on minorities, and for other purposes,
- HR. 1629, to increase the morgage loan limits under the National Housing Act for multifamily housing morta
- insurance.,

17. House(s) of Congress and Federal agencies contacted Check if None
Department of Housing & Urban Development
House of Representatives
OFHEO
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific Lobbying issues

HR. 1755, to amend the Internal Revenue Code of 1986 to prevent the use of reinsurance with foreign person domestic nonlife insurance companies to evade United States income taxation,

HR. 909, to amend the Internal Revenue Code of 1986 to permit the consolidation of life insurance companies,

S. 795, to amend the Internal Revenue Code of 1986 to permit the consolidation of life insurance companies v companies,

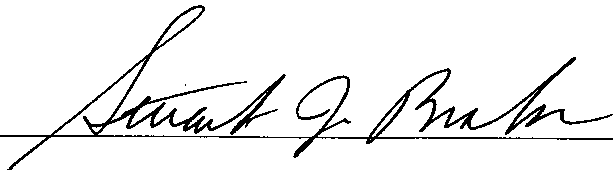
S. 992, to amend the internal revenue code of 1986 to repeal the provision taxing policy holder dividends of r insurance companies and the repeal the policyholders surplus account provisions,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific Lobbying issues

HR. 1362, to amend the Fair Labor Standards Act of 1938 to prohibit discrimination in the payment of wages of sex, race or national origin, and for other purposes,

HR. 665, to amend the Fair Labor Standards Act of 1938 to provide for an increase the federal minimum wage,

S. 489, to amend the Family and Medical Leave Act of 1993 to clarify the law, and for other purposes,

S. 624, to amend the Fair Labor Standards Act of 1938 ,

S. 684, to amend the Fair Labor Standards Act of 1938 to prohibit discrimination in the payment of wages of sex, race, or national origin, and for other purposes,

17. House(s) of Congress and Federal agencies contacted Check if None

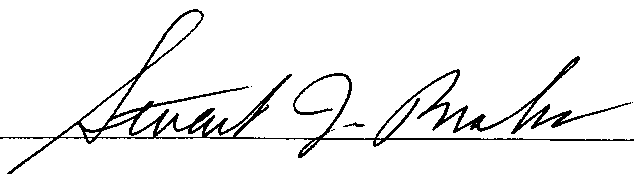
House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart J.	
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific Lobbying issues

H.R.4680, to amend title XVIII of the Social Security Act to provide voluntary program prescription drug co under the Medicare Program, to modernize the Medicare Program, and for other purposes.,

HR. 121, to amend the XVIII of Social Security Act to provide for coverage under Medicare Program of oral treat low blood calcium levels or elevated parathyroid hormone levels for patients with end stage renal disea: Ways & Means and Energy &,

HR. 1255, to amend title XVIII of the social security act and the employee retirement income security act of 1 improve access to health insurance and Medicare benefits for individuals ages 55 to 65, to amend the interna code of 1986 ,

HR. 148, to amend title XVIII of the Soc. Sec. Act to prevent sudden disruption of Medicare beneficiary enrc Medicare Choice Plans,

HR. 344, to amend titles II and XVIII of Social Security Act to eliminate the 5-month waiting period ,

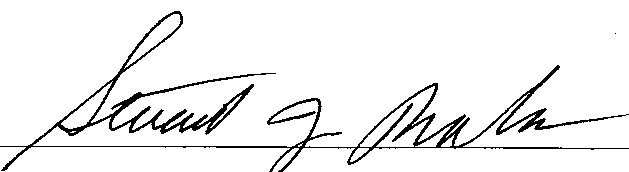
17. House(s) of Congress and Federal agencies contacted Check if None

**Department of Health & Human Services/HCFA
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

Item	Description	Data
16	Lobbying Issues	HR. 18, to amend title XVIII of the Social Security Act to establish additional provisions to combat waste, fraud and abuse within the Medicare program and other purposes,
16	Lobbying Issues	Hr. 569, to amend the Social Security Act to waive the 24-month waiting period for Medicare coverage of certain disabled individuals who have no health insurance coverage,
16	Lobbying Issues	S. 125, to provide substantial reductions in the price of prescription drugs for Medicare beneficiaries,
16	Lobbying Issues	S. 357, to amend the Social Security Act to preserve and improve Medicare program,
16	Lobbying Issues	S. 358, to amend the Social Security Act to establish a Medicare prescription drug and supplemental benefit program and for other purposes,
16	Lobbying Issues	S.2758, a bill to amend title XVIII of the Social Security Act to provide coverage of outpatient prescription drug under medicare program.,
16	Lobbying Issues	S.2836, A bill to amend title XVIII of the Social Security Act to provide medicare beneficiaries with access to affordable outpatient perscription drugs.,



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code PHA (one per page)

16. Specific Lobbying issues

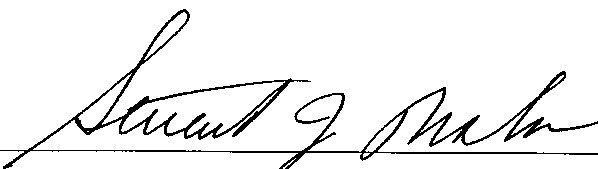
HR. 1574, to provide for prices of pharmaceutical products that are fair to the producer and the consumer, purposes.,

17. House(s) of Congress and Federal agencies contacted Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RES (one per page)

16. Specific Lobbying issues

HR. 1105, to amend the Real Estate Settlement Procedures Act of 1974 to provide for homeowners to recover damages from mortgage escrow servicers for failures by such servicers,

HR. 2033, to amend the Internal Revenue Code of 1986 to provide a credit to promote home ownership among low-income individuals,

S. 607, to amend the National Housing Act to require partial rebates of FHA mortgage insurance premiums to mortgagors upon payment of their FHA-insured mortgages,

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives

HUD

Senate

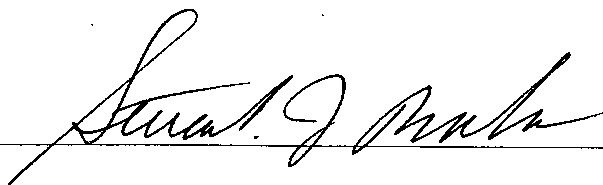
18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature



Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

H.R. 1, to amend the Internal Revenue Code of 1986 to allow certain coins to be acquired by individual retir accounts and other individually directed pension plan accounts,

H.R. 1368, to amend the Internal Revenue Code of 1986 to remove the requirement of a mandatory beginning distributions, from individual retirement plans,

H.R. 1603, to amend the Internal Revenue Code of 1986 to grant relief to participants in multi-employer plan certain section 415 limits on retirement plans,

H.R. 2269, to amend title 1 of the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to promote the provision of retirement investment advice to workers managing their retirement income

H.R. 4546, permit persons over 50 to make IRA catch-up payments,

H.R. 4747, ERISA reform,

H.R. 4748, ERISA reform,

17. House(s) of Congress and Federal agencies contacted

Check if None

Department of Labor

Department of Treasury

House of Representatives

PBGC

Senate

Social Security Administration

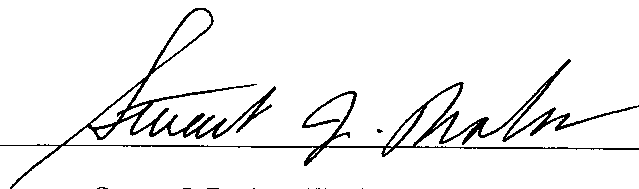
18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	
Fredrickson, Debi	
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature



Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

Item	Description	Data
16	Lobbying Issues	H.R. 4749, ERISA reform,
16	Lobbying Issues	H.R.4837, Retirement security and pension reform,
16	Lobbying Issues	H.R.4857, Social Security privacy protection,
16	Lobbying Issues	HR. 1104, to amend title I of the Employee Retirement Income Security Act o 1974,
16	Lobbying Issues	HR. 14, to establish a bipartisan commission on Social Security,
16	Lobbying Issues	HR. 166, to strengthen and protect Social Security,
16	Lobbying Issues	HR. 1774, to amend title I of the Employee Retirement Income Security Act o 1974 to improve access and choice for entrepreneurs with small businesses wi respect to medical care for their employees,
16	Lobbying Issues	HR. 210, to amend title II of the Social Security Act to provide that an individ entitlement ,
16	Lobbying Issues	HR. 219, to amend title II of the Social Security Act to ensure the integrity of Social Security trust funds by requiring the Managing Trustee ,
16	Lobbying Issues	HR. 3081, Includes provisions of HR1102,
16	Lobbying Issues	HR. 465, to amend the Internal Revenue Code of 1986,
16	Lobbying Issues	HR. 739, to update the supplemental security income program, and to increa incentives for working, saving, and pursuing an education,
16	Lobbying Issues	HR. 849, to provide for each American the opportunity to provide his or her retirement through S.A.F.E. account and for other purposes,
16	Lobbying Issues	HR4843, Includes provisions of HR1102,
16	Lobbying Issues	S. 1025, to provide for savings for working families,
16	Lobbying Issues	S. 693, to amend the social Security Act of provide additional safeguards for beneficiaries with representative payees under the Old-Age, Survivors, and Disability Insurance program or the Supplemental Security Income programs
16	Lobbying Issues	S. 806, to guarantee the right of individuals to receive full Social Security ben under title II of the Social Security Act with an accurate annual cost of living adjustment,
16	Lobbying Issues	S. 858, to amend title I of the Employee Retirement Income Security Act of 19 improve access and choice for entrepreneurs with small business with respect medical care for their employees,
16	Lobbying Issues	S.2853, Pre-retirement pension distributions,



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

H.R. 165, to amend the Internal Revenue Code of 1986 to adjust the exclusion amount of the gain from the sale of principal residence for inflation,

HR. 1552, to extend the moratorium enacted by the Internet Tax Freedom Act through 2006, and for other purposes,

HR. 1908, to amend the Internal Revenue Code of 1986 to clarify the exemption from tax for small property insurance companies,

HR. 198, to prohibit United States voluntary and assessed contributions to the tax or fee on United States per capita contributions to develop or promote proposals for such taxes or fees.,

HR. 2082, to amend the Internal Revenue Code of 1986 to provide tax incentives to encourage small business and for other purposes,

HR. 3, to amend the Internal Revenue Code of 1986 to reduce individual income tax rates,

HR. 831, to amend the Internal Revenue Code of 1986 ,

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives

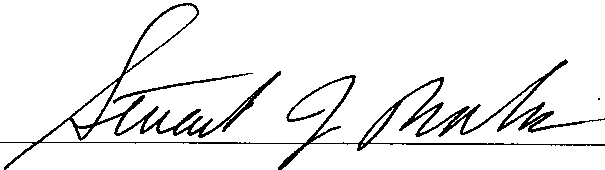
Senate

Treasury Department

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti R.	
Brahs, Stuart J.	
Fredrickson, Debi	
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

Item	Description	Data
16	Lobbying Issues	HR. 776, to amend the Internal Revenue Code of 1986 to exempt the deductio
16	Lobbying Issues	charitable contributions from the phaseout of itemized deductions,
16	Lobbying Issues	S. 29, to amend the Internal Revenue Code of 1986 to allow a deductions for 1
16	Lobbying Issues	percent of the health insurance costs of self employed individuals,
16	Lobbying Issues	S. 590, to amend the Internal Revenue Code of 1986 ,
16	Lobbying Issues	S. 777, to permanently extend the moratorium enacted by the Internet Tax
16	Lobbying Issues	Freedom Act through 2006, and for other purposes,
16	Lobbying Issues	S. 896, original bill to provide for reconciliation pursuant to section 103 of the
16	Lobbying Issues	concurrent resolution on the budget for fiscal year 2002 (H. Con. Res. 83); frc
16	Lobbying Issues	the finance committee,
16	Lobbying Issues	S.288, a bill to extend to extend the moratorium enacted by the Internet Tax
16	Lobbying Issues	Freedom Act through 2006, and encourage States to simplify their sales and u
16	Lobbying Issues	taxes.,
16	Lobbying Issues	S.835, To amend the Internal Revenue Code of 1986 with respect to the treatn
		of effectively connected investment income of insurance companies,



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code TEC (one per page)

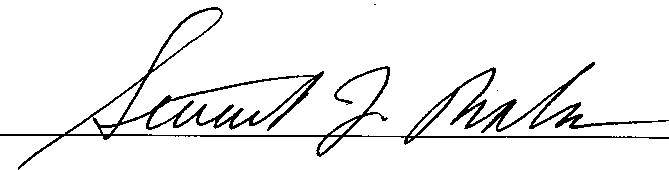
16. Specific Lobbying issues
S.2448, a bill to enhance the protections of the Internet and the critical infrastructure of the United States, a purposes.,

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart J.	
Riddle, R. Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific Lobbying issues

**H.J. Res. 50; disapproving the extension of the waiver authority contained in section 402© of the Trade Act of respect to the People's Republic of China,
H.R. 1446, to provide Trade Negotiating Authority,
H.R. 1467, to withdraw nondiscriminatory treatment (normal trade relations treatment) for the People's Rep China,
H.R. 2149, to extend trade authorities procedures with respect to reciprocal trade agreements,
H.R. 4444, China PNTR, Foreign Trade
HR 1988, to amend US Trade laws to address more effectively import crises,
S. 1104, to establish objectives for negotiating and procedures for, implementing certain trade agreements,
S. 136, to amend the Ominbus Trade and Competitiveness Act of 1988 to extend trade negotiating and trade implementing authority,**

17. House(s) of Congress and Federal agencies contacted Check if None
**Commerce Department
House of Representatives
Senate
Treasury Department
USTR**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart J.	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/2/01



Registrant Name: Principal Financial Group

Client Name: Self

Item	Description	Data
16	Lobbying Issues	S. 137, to authorize negotiation of free trade agreements with countries of the Americas, and for other purposes,
16	Lobbying Issues	S. 138, to authorize negotiation for the accession of Chile to the North American Free Trade Agreement, and for other purposes,
16	Lobbying Issues	S. 140, to authorize negotiation for accession of United Kingdom to the North American Free Trade Agreement, and for other purposes,
16	Lobbying Issues	S. 586, to authorize negotiation for the accession of Chile to the North American Free Trade Agreement, to provide for fast track consideration, and for other purposes,
16	Lobbying Issues	S. 599, to amend the Omnibus Trade and Competitiveness Act of 1988 to establish permanent trade negotiating and trade agreement implementing authority,
16	Lobbying Issues	S. 943, to authorize the negotiation of a Free Trade Agreement with New Zealand and to provide for expedited congressional consideration of such an agreement,
16	Lobbying Issues	S. 944, to authorize the negotiation of a Free Trade Agreement with the Republic of Korea and to provide for expedited congressional consideration of such an agreement,
16	Lobbying Issues	S.2277, China PNTR, Foreign Trade
16	Lobbying Issues	S.525, to expand trade benefits to certain Andean countries, and for other purposes,
16	Lobbying Issues	S.935, To authorize the negotiation of a Free Trade Agreement with the commonwealth of Australia and to provide for expedited congressional consideration of such an agreement,

