

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

CASPIAN ALLIANCE, INC.

2. Address:

5847 SAN FELIPE, SUITE 3275, HOUSTON, TX 77057

3. Principal place of business (if different from line 2):

Country: _____ City: _____ State/Zip(or Country): _____

4. Contact Name: BRIAN ETTINGER

Telephone: 713 783 7783

E-mail (optional): ettingerlaw@yahoo.com

Senate ID #: 304816-12

House ID #: 37761000

7. Client Name: Self

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 85,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions only

Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: CASPIAN ALLIANCE, INC. Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Briefed members of Congress on upcoming President of Azerbaijan's visit to Washington to meet with President Bush this past Spring. Focused on energy issues including the opening of the BCI oil line. The Azerbaijan Embassy set up meetings for the President of Azerbaijan with members of Congress. We set up the President of Azerbaijan's meeting with Neil Cavuto show. Senator Brownback introduced Silk Road II Bill, co-sponsored by Senator Kyl and Senator Hutchison. This Bill is currently in the Senate Foreign Relations Committee. Certain House members are considering introducing it in the House.

17. House(s) of Congress and Federal agencies contacted:

Informed Staffers of Energy Committee and International Relations Committee and certain Members of Congress keeping them informed of energy projects in the Caspian Region and opportunities available for American companies. Briefed these members on the Silk Road II Bill filed in the Senate.

US HOUSE OF REPRESENTATIVES

US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTINGER, BRIAN

Covered Official Position (if applicable):

Name: POLLAND, GARY

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CASPIAN ALLIANCE, INC. Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FUE (one per page)

16. Specific lobbying issues:

Briefed members of Congress on upcoming President of Azerbaijan's visit to Washington to meet with President Bush this past Spring. Focused on energy issues including the opening of the BCJ oil line. The Azerbaijan Embassy set up meetings for the President of Azerbaijan with members of Congress. We set up the President of Azerbaijan's meeting with Neil Cavuto show. Senator Brownback introduced Silk Road II Bill, co-sponsored by Senator Kyl and Senator Hutchison. This Bill is currently in the Senate Foreign Relations Committee. Certain House members are considering introducing it in the House.

17. House(s) of Congress and Federal agencies contacted:

Informed Staffers of Energy Committee and International Relations Committee and certain Members of Congress keeping them informed of energy projects in the Caspian Region and opportunities available for American companies. Briefed these members on the Silk Road II Bill filed in the Senate.

US HOUSE OF REPRESENTATIVES

US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTINGER, BRIAN

Covered Official Position (if applicable):

Name: POLLAND, GARY

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CASPIAN ALLIANCE, INC. Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

Silk Road II Bill introduced by Senators Brownback, Hutchison and Kyl. Worked closely with their staff regarding the Bill's importance to the Caspian Region. Briefed members of Congress and their Staff on this Bill. We contacted Congresswoman Ileana Ros-Lehtinen, Ted Poe, Mike McCaul, Joe Pitts, and Dan Burton. In the Senate, we contacted the Senators and their staff for Lugar, Biden, Coleman, Vornivich, and Graham.

17. House(s) of Congress and Federal agencies contacted:

See above.

US HOUSE OF REPRESENTATIVES

US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTINGER, BRIAN

Covered Official Position (if applicable):

Name: POLLAND, GARY

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 07, 2006

Printed Name and Title: Brian Ettinger, Legal Counsel -