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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name: The Trustees of Columbia University in the City of New York (commonly known as "Columbia University")			
2. Address: <input type="checkbox"/> Check if different than previously reported 535 116th Street, Room 301 Low Library, M.C. 4319			
3. Principal Place of Business (if different from line 2) City: New York State/Zip (or Country): NY 10027			
4. Contact Name: Alan J. Stone	Telephone: (212) 854-2401	E-mail (optional): astone@columbia.edu	5. Senate ID # 3858512
7. Client Name: <input type="checkbox"/> Self			6. House ID # 30887000

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date: _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ 860,000 Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature _____

Printed Name and Title: Alan J. Stone, Vice President for Public Affairs

LD-2 (REV. 6/98)

PAGE 1 of 15

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues
H. Con. Res. 290 Budget Resolution
S. Con. Res. 101 Budget Resolution
Conference Report 106 - 577

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. House of Representatives
U.S. Senate
White House Office of Management and Budget
White House Office of Science and Technology Policy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Net
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____
Printed Name and Title Alan J. Stone, Vice President for Public Affairs

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

HR 354: Collection of Information Antipiracy Act

HR 1858: The Consumer and Investors Access to Information Act of 1999

HR 4461 / S2536: Agricultural Appropriations Bill

Issues related to databases in the digital environment

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	None
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title Alan J. Stone, Vice President for Public Affairs

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15. General issue area code EDU (one per page)

16. Specific lobbying issues
HR 4578 / S2549: Labor / HHS / Education FY2001 appropriations
HR 4504 Higher Education Act Technical Amendments
S2100 / S2178 / HR 3831: BiHs to require colleges to disclose fire safety procedures
HR 1995: Elementary and Secondary Education Act Reauthorization

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate
U.S. House of Representatives
Department of Education
Office of Management and Budget
National Economic Council

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Net
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____
Printed Name and Title Alan J. Stone, Vice President for Public Affairs

Registrant Name Columbia University

Client Name Self

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15. General issue area code HCR (one per page) - continued

16. Specific lobbying issues

HR4577 / S2553: Labor / HHS / Education FY2001 appropriations

S2394 / HR 4239: Bills to stabilize indirect graduate medical education payments

S2018 / HR 3580: Bills to revise the update factor used in making payments to PPS hospitals under the Medicare program

S2299 / HR 3698: Bills to continue state Medicaid disproportionate share hospital allotments for FY2001 at FY2000 levels

HR 2420: Medical Information Protection and Research Enhancement Act

HR 2455: Consumer Health and Research Technology Protection Act

HR 2404: Personal Medical Information Protection Act

HR 4605: To protect Human Research Protection and Promotion Act

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate

U.S. House of Representatives

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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Client Name Self

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15. General issue area code HCR (one per page) - continued

16. Specific lobbying issues

HR 3659: The Human Research Protection and Promotion Act

SI344: Patients' Bill of Rights Plus

HR 2420: Medical Information Protection and Research Enhancement Act

HR 2455: Consumer Health and Research Technology Protection Act

HR 2404: Personal Medical Information Protection Act

HR 4605: To protect human subjects in research

HR 3659: The Human Research Protection and Promotion Act

S 1344: Patients' Bill of Rights Plus

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives

U.S. Senate

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
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		<input type="checkbox"/>
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Check if None

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Registrant Name Columbia University Client Name Self

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15. General issue area code HCR (one per page) - continued

16. Specific lobbying issues

HR 1414 / S288: Bills to amend the Internal Revenue Code of 1986 to exclude from income certain amounts received under the National Health Service Corps

S1010 / HR 1039 / HR 2488: Bills to provide a medical innovation tax credit for clinical testing research expenses attributable to academic medical centers

S881: Medical Information Privacy Act

S573 / HR 1057: Medical Information Privacy and Security Act

HR 448: Patient Protection Act

S300: Personnel Medical Information Access Act

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate

U.S. House of Representatives

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	None
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
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15. General issue area code HCR (one per page) - continued

16. Specific lobbying issues
 S578: Healthcare Personal Information Privacy and Security Act
 HR 290: Managed Care Improvement Act
 S2394 / HR 4239: The Teaching Hospital Preservation Act of 2000
 S951: Private Sector Research and Development Investment Act
 S1268 / HR 4431: 21st Century Research Laboratories Act
 S. Amdt. 3616: To provide that none of the funds made available under HR 4577 may be made available to any entity under the Public Health Service Act after September 1, 2001 unless a proposal to require a reasonable rate of return on intramural and extramural research is provided

17. House(s) of Congress and Federal agencies contacted Check if None
 U.S. Senate
 U.S. House of Representatives
 Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>

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Registrant Name Columbia University Client Name Self

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15. General issue area code HCR (one per page) - continued

16. Specific lobbying issues
HR 1941: Health Information Privacy Act

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate
U.S. House of Representatives
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	None
<u>Ellen S. Smith</u>	<u>Assistant Vice President and Director of Federal Relations</u>	<input type="checkbox"/>
<u>Susan Long</u>	<u>Government Relations Associate</u>	<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

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15. General issue area code LEB (one per page)

16. Specific lobbying issues
HR 3983: Helping to Improve Technology Education and Achievement Act
S2045: The American Competitiveness in the 21st Century Act
Issues related to H-1B visas and CIPRIS

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	None
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____
Printed Name and Title Alan J. Stone, Vice President for Public Affairs

Registrant Name Columbia University Client Name Self

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15. General issue area code MM (one per page)

16. Specific lobbying issues
HR 3983: Helping to Improve Technology Education and Achievement Act
S 2045: The American Competitiveness in the 21st Century Act
Issues related to H-1B visas and CIPRIS

17. House(s) of Congress and Federal agencies contacted Check if None
U.S. Senate
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

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15. General issue area code MMM (one per page)

16. Specific lobbying issues

S2394 / HR 4239: Teaching Hospital Preservation Act

S2018 / HR 3580: Bills to revise the update factor used in making payments to PPS hospitals under the Medicare program

General issues related to graduate medical education

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	No.
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
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15. General issue area code SCI (one per page) - continued

16. Specific lobbying issues
 HR 4461 / S2536: Department of Agriculture FY2001 appropriations
 HR 4960: Commerce, State, Justice FY2001 appropriation
 HR 4576 / S2593: Department of Defense FY2001 appropriations
 HR 4733: Energy and Water Development FY2001 appropriations
 HR 4811 / S2522: Foreign Operations FY2001 appropriations
 HR 4578: Department of Interior FY2001 appropriations
 HR 4577 / S2553: Labor / HHS / Education FY2001 appropriations
 HR 4635: VA / HUD / IA FY2001 appropriations

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. Senate National Science Foundation
 U.S. House of Representatives NASA
 Department of Agriculture
 Department of Energy
 Department of Commerce
 White House Office of Science and Technology Policy
 Department of Health and Human Service

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Ne-
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

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 Printed Name and Title Alan J. Stone, Vice President for Public Affairs

Registrant Name Columbia University

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page) - continued

16. Specific lobbying issues

HR 4991 / HR 4500: NSF reauthorization

HR 2086: The Networking and Information Technology Research and Development Act

S296: Federal Research Investment Act

HR 354: The Collection of Information Antipiracy Act

HR 1858: The Consumer and Investor Access to Information Act

SI268: A bill to amend the Public Health Service Act to provide support for the modernization and construction of biomedical and Behavioral research facility

HR 1654: NASA reauthorization -- General Issues Related to Science

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate

U.S. House of Representatives

National Science Foundation

NASA

Office of Management and Budget

White House Office of Science and Technology Policy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title Alan J. Stone, Vice President for Public Affairs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

- HR 1102 / HR 4843: Bills to provide for retirement security and pension reform
- S211 / HR 1014: Bills to make permanent the exclusion for employer provided educational assistance
- HR 7: The Education Savings and School Excellence Act of 2000
- S473 / S471: Bills to make all interest paid on student loans tax deductible
- S1086 / HR 1311: IRA Charitable Rollover Incentive Act
- HR 588: Pre-paid tuition plans -- Senate
- HR 1414 / S288: National Health Service Corporation tax exclusion
- S1010 / HR 1039: Medical Innovation tax credit

17. House(s) of Congress and Federal agencies contacted Check if None
 U.S. Senate
 U.S. House of Representatives
 Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Ellen S. Smith	Assistant Vice President and Director of Federal Relations	<input type="checkbox"/>
Susan Long	Government Relations Associate	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature *Alan J. Stone* Date 8/10/00
 Printed Name and Title Alan J. Stone, Vice President for Public Affairs