

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

AMERICAN INSURANCE ASSN

2. Address:

1130 CONNECTICUT AVE NW #1000, WASHINGTON, DC 20036

3. Principal place of business (if different from line 2):

4. Contact Name: LEIGH ANN PUSEY

Telephone: 202-828-7138

E-mail (optional): lpusey@aiadc.org

Senate ID #: 2662-12

House ID #: 31093000

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2001 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 1,520,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AGR (one per page)

16. Specific lobbying issues:

H.R. 1249 To ensure that crop losses resulting from plant viruses and other plant diseases are covered by crop insurance and the noninsured crop assistance program. H.R. 2330 To make appropriations for Agriculture, Rural Development, Food and Drug Administration, and Related Agencies programs for the fiscal year ending 2002.

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HERMAN, ANGELA

Covered Official Position (if applicable): DIRECTOR, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BNK (one per page)

16. Specific lobbying issues:

H.R.333 Bankruptcy Abuse Prevention and Consumer Protection Act of 2001 S.220 The Bankruptcy Reform Act of 2001 S.420 Bankruptcy Reform Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ARLINGTON, JOHN

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

Name: NGUYEN, NATALIE

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CAW/ (one per page)

16. Specific lobbying issues:

Climate Change (no bill number)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ARLINGTON, JOHN

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: COM (one per page)

16. Specific lobbying issues:

H.R.718 "Unsolicited Commercial Electric Mail Act of 2001" S. 630 "Controlling the Assault of Non-Solicited Pornography and Marketing Act of 2001"

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: PUSEY, LEIGH ANN

Covered Official Position (if applicable): SENIOR VICE PRESIDENT, FEDERAL AFFAIRS

Name: SAVERCOOL, JOHN

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

Name: SHELK, MELISSA W.

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

H. Res. 110 A resolution providing that it shall not be in order in the House of Representatives to consider certain funding measures for the United States Patent and Trademark Office; to the Committee on Rules. H.R. 614 Copyright Technical Corrections Act of 2001. H.R. 740 Patent and Trademark Office Reauthorization Act. H.R. 1332 Business Method Patent Improvement Act of 2001. H.R. 1333 Patent Improvement Act of 2001. H.R. 1866 To amend title 35, United States Code, to clarify the basis for granting requests for reexamination of patents. H.R. 1886 To amend title 35, United States Code, to provide for appeals by third parties in certain patent reexamination proceedings. H.R. 2047 Patent and Trademark Office Authorization Act of 2002. H.R. 2415 To amend title 35, United States Code, to direct the Director of the Patent and Trademark Office to adjust fees charged by the Office so that the fees collected in any fiscal year will equal, to the greatest extent practicable, the amount appropriated to the Office for that fiscal year.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HERMAN, ANGELA

Covered Official Position (if applicable): DIRECTOR, FEDERAL AFFAIRS

Name: NGUYEN, NATALIE

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

H.R. 324 - Recycle America's Land Act of 2001 H.R. 2064 - Brownfields Redevelopment Incentives Act S.23 - New Urban Agenda Act of 2001 S. 350 - Brownfields Revitalization and Environmental Restoration Act S. 1078 - Brownfields Economic Development Act S. 1079 - Brownfields Site Redevelopment Assistance Act of 2001

17. House(s) of Congress and Federal agencies contacted:

Environmental Protection Agency (EPA)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ARLINGTON, JOHN

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

S. 30 - Financial Information Privacy Protection Act of 2001 S. 324 - Social Security Number Privacy Act of 2001 S. 450 - Financial Institution Privacy Protection Act of 2001 S. 451 - Social Security Number Protection Act of 2001 S. 536 - Freedom From Behavioral Profiling Act of 2000 S. 848 - Social Security Number Misuse Prevention Act of 2001 S. 1014 - Social Security Number Privacy and Identity Theft Prevention Act of 2001 S. 1055 - Privacy Act of 2001 H.R. 1543 - Civil Rights and Employee Investigation Clarification Act H.R. 2036 - Social Security Number Privacy and Identity Theft Prevention Act of 2001 H.R. 2135 - Consumer Privacy Protection Act H.R. 2730 - National Consumer Privacy Act

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ARLINGTON, JOHN

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

Name: NGUYEN, NATALIE

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

Name: PUSEY, LEIGH ANN

Covered Official Position (if applicable): SENIOR VICE PRESIDENT, FEDERAL AFFAIRS

Name: SHELK, MELISSA W.

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

H.R. 220 - Identity Theft Protection Act of 2001 H.R. 583 - Privacy Commission Act H.R. 1408 - Financial Services Antifraud Network Act of 2001 H.R. 2730 - National Consumer Privacy Act S. 848 - Social Security Number Misuse Prevention Act of 2001 S. 851 - Citizens' Privacy Commission Act of 2001 S. 1014 - Social Security Number Privacy and Identity Theft Prevention Act of 2001

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: NGUYEN, NATALIE

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

Name: PUSEY, LEIGH ANN

Covered Official Position (if applicable): SENIOR VICE PRESIDENT, FEDERAL AFFAIRS

Name: SHELK, MELISSA W.

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

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15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

H.R. 526 - Bipartisan Patient Protection Act of 2001 H.R. 2315 - Patients' Bill of Rights Act of 2001 S. 872 - Bipartisan Patient Protection Act S.889 - Bipartisan Patients' Bill of Rights Act of 2001

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: SHELK, MELISSA W.

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

Federal Optional Charter for Insurance (no bill number) Catastrophe Reinsurance (no bill number) H.R. 1428 - Two Floods and You Are Out of the Taxpayers' Pocket Act of 2001 H.R. 1551 - Repetitive Flood Loss Reduction Act of 2001 H.R. 2091 - National Flood Insurance Program Fairness H.R. 2384 - Low-Income Families Flood Insurance Access Act S. 318 - Genetic Nondiscrimination in Health Insurance and Employment Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ARLINGTON, JOHN

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

Name: HERMAN, ANGELA

Covered Official Position (if applicable): DIRECTOR, FEDERAL AFFAIRS

Name: NGUYEN, NATALIE

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

Name: PUSEY, LEIGH ANN

Covered Official Position (if applicable): SENIOR VICE PRESIDENT, FEDERAL AFFAIRS

Name: SHELK, MELISSA W.

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LAW (one per page)

16. Specific lobbying issues:

H.R. 284 - To protect the civil rights of victims of gender-motivated violence and promote public safety, health, and regulate activities affecting interstate.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HERMAN, ANGELA

Covered Official Position (if applicable): DIRECTOR, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

S.J. Res. 6 - (no title but relating to Congressional disapproval of the ergonomics rule submitted by the U.S. Department of Labor) H. Res. 79 - (same as S.J. Res. 6 - House companion bill) S. 449 - To ensure the timely payment of benefits to eligible persons under the Radiation Exposure Compensation Act. S. 598 - To provide for the reissuance of a rule relating to ergonomics. S. 898 - A bill to make technical amendments to the Radiation Exposure Compensation Act (42 U.S.C. 2210 note), provide compensation to certain claimants under such Act, and for other purposes; to the Committee on the Judiciary. H.R. 996 - To ensure the timely payment of benefits to eligible persons under the Radiation Exposure Compensation Act. (42U.S.C. 2210 note). H.R. 1132 - To ensure the timely payment of benefits to eligible persons under the Radiation Exposure Compensation Act. (42U.S.C. 2210 note). H.R. 1241 - (Same as S.598) H.R. 1865 - A bill to make technical amendments to the Radiation Exposure Compensation Act (42 U.S.C. 2210 note), provide compensation to certain claimants under such Act, and for other purposes.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HERMAN, ANGELA

Covered Official Position (if applicable): DIRECTOR, FEDERAL AFFAIRS

Name: SAVERCOOL, JOHN

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MED (one per page)

16. Specific lobbying issues:

H.R. 1639 Common Sense Medical Malpractice Reform Act of 2001 H.R. 2103 - Medical Malpractice Rx Act H.R. 2315 - CARE Act of 2001

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HERMAN, ANGELA

Covered Official Position (if applicable): DIRECTOR, FEDERAL AFFAIRS

Name: SHELK, MELISSA W.

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

H.R. 237 - Consumer Internet Privacy Enhancement Act H.R. 347 - Consumer Online Privacy and Disclosure Act of 2001 H.R. 718 - Unsolicited Commercial Electronic Mail Act of 2001 H.R. 1017 - Anti-Spamming Act of 2001 S. 630 -

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Office of the Comptroller of the Currency (OCC)

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: NGUYEN, NATALIE

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

Name: PUSEY, LEIGH ANN

Covered Official Position (if applicable): SENIOR VICE PRESIDENT, FEDERAL AFFAIRS

Name: SAVERCOOL, JOHN

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SMB (one per page)

16. Specific lobbying issues:

H.R. 1805/S. 865 - Small Business Liability Reform Act of 2001

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: SHELK, MELISSA W.

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

H.R. 1357/S. 676 - A bill to make permanent the active financial services provision of Subpart F of the Internal Revenue Code. H.R. 909/S. 795 - To amend the Internal Revenue Code of 1986 to permit the consolidation of life insurance companies with other companies. H.R. 1514 - Structured Settlement Protection Act. H.R. 1789/S.797 - To amend the Internal Revenue Code of 1986 to provide equitable treatment for associations which prepare for or mitigate the effects of natural disasters.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: SHELK, MELISSA W.

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TOR (one per page)

16. Specific lobbying issues:

Asbestos Litigation (no bill number) H.R. 2341 - Class Action Fairness Act of 2001

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Justice, Dept of (DOJ)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ARLINGTON, JOHN

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

Name: SHELK, MELISSA W.

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: AMERICAN INSURANCE ASSN Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

Basel Convention (no bill number) H.R. 2149 - Trade Promotion Authority Act of 2001 H.J. Res. 55 - (no title, but relating to Normal Trade Relations for Vietnam) H.J. Res. 50 - (relating to Normal Trade Relations for China).

17. House(s) of Congress and Federal agencies contacted:

Environmental Protection Agency (EPA)

HOUSE OF REPRESENTATIVES

SENATE

State, Dept of (DOS)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ARLINGTON, JOHN

Covered Official Position (if applicable): ASSISTANT VICE PRESIDENT, FEDERAL AFFAIRS

Name: SAVERCOOL, JOHN

Covered Official Position (if applicable): VICE PRESIDENT, FEDERAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 09, 2001

Printed Name and Title: LEIGH ANN PUSEY - SENIOR VICE PRESIDENT, FEDERAL AFFAIRS