

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE
02 FEB -7 PM 5:23

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) – All Filers Are Required to Complete This Page

1. Registrant Name The Legislative Strategies Group			
2. Address <input type="checkbox"/> Check if different than previously reported 600 – 13th Street, NW, Suite 790, Washington, D.C. 20005			
3. Principal Place of Business (if different from line 2) City: N.A. State/Zip (or Country)			
4. Contact Name Katherine Merritt	Telephone (202) 628-6870	E-mail (optional)	5. Senate ID # 43651
7. Client Name <input type="checkbox"/> Self Novartis Corporation	6. House ID # 34137002		

9. **TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30) OR Year End (July 1-December 31)
10. Check if this filing amends a previously filed version of this report
11. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying Activities

INCOME OR EXPENSES – Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations ³
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>40,000</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of: <input type="checkbox"/> Method A. Reporting amounts using LDA definitions <input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code

Signature 
Printed Name and Title Larry E. Smith, President

February 7, 2002



Registrant Name The Legislative Strategies Group Client Name Novartis Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues
Health Care Issues

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Denise M. Henry	
Martin B. Gold	
Larry E. Smith	
G. David Mason	
Ronna Freiberg	
Sara Franko	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title Larry E. Smith, President



Registrant Name The Legislative Strategies Group Client Name Novartis Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues
Medical/Medicare device Reimbursement
Privacy of Health Information

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives **Health Care Financing Administration**
United States Senate **Department of Health and Human Services**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Denise M. Henry	
Martin B. Gold	
Larry E. Smith	
G. David Mason	
Ronna Freiberg	
Sara Franko	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title Larry E. Smith, President



Registrant Name The Legislative Strategies Group Client Name Novartis Corporation

Registrant Name The Legislative Strategies Group Client Name Novartis Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

Clinical, laboratory and medical issues

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Denise M. Henry	
Martin B. Gold	
Larry E. Smith	
G. David Mason	
Ronna Freiberg	
Sara Franko	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title Larry E. Smith, President



Registrant Name The Legislative Strategies Group Client Name Novartis Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code PHA (one per page)

16. Specific lobbying issues

Drug packaging, labeling and other pharmacy issues

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Denise M. Henry	
Martin B. Gold	
Larry E. Smith	
G. David Mason	
Ronna Freiberg	
Sara Franko	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Printed Name and Title Larry E. Smith, President February 7, 2002



Registrant Name The Legislative Strategies Group Client Name Novartis Corp.

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

G. David Mason

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of (city and state or

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the regi affiliated organization

Signature

Larry E. Smith

Date

February 7

Printed Name and Title

Larry E. Smith, President