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| Clerk of the House of Representatives<br>Legislative Resource Center<br>B-106 Cannon Building<br>Washington, DC 20515 | Secretary of the Senate<br>Office of Public Records<br>232 Hart Building<br>Washington, DC 20510 |
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SECRETARY OF THE SENATE

03 AUG 14 PM 1:53

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) – All Filers Are Required to Complete This Page

|  |                                    |                   |                |
|--|------------------------------------|-------------------|----------------|
| 1. Registrant Name<br><b>Powell, Goldstein, Frazer &amp; Murphy, LLP</b>   |                                    |                   |                |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br><b>1001 Pennsylvania Avenue, N.W., 6<sup>th</sup> Floor, Washington, D.C. 20004</b> |                                    |                   |                |
| 3. Principal Place of Business (if different from line 2)<br>City: <u>N/A</u> State/Zip (or Country) _____   |                                    |                   |                |
| 4. Contact Name<br><b>Brett Kappel</b>   | Telephone<br><b>(202) 347-0066</b> | E-mail (optional) | 5. Senate ID # |
| 7. Client Name <input type="checkbox"/> Self<br>UBS O'Connor   | 6. House ID #                      |                   |                |

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  OR Year End (July 1-December 31)9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying A**INCOME OR EXPENSES** – Complete Either Line 12 OR Line 13

| 12. Lobbying Firms   | 13. Organizations   |
|--|---|
| <b>INCOME</b> relating to lobbying activities for this reporting period was:   | <b>EXPENSES</b> relating to lobbying activities for this reporting period were:                           |
| Less than \$10,000 <input type="checkbox"/>  | Less than \$10,000 <input type="checkbox"/>   |
| \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>\$20,000</u><br>Income (nearest \$20,000)   | \$10,000 or more <input type="checkbox"/> ⇨ \$ _____<br>Expenses (nearest \$20,000)                       |
| Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | <b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of |
|  | <input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition                          |
|  | <input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033 Internal Revenue Code      |
|  | <input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) Internal Revenue Code    |

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Powell, Goldstein, Frazer & Murphy, LLP Client Name UBS Warburg

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Appropriations  
Transportation

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
U.S. Senate  
Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) |
|----------------|---|
| Butler Derrick |   |
| Leo Coco       |   |
| Adam Ezring    |   |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/8/03

Printed Name and Title Leo Coco, Senior Policy Advisor

