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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Verner, Liipfert, Bernhard, McPherson & Hand			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 901 15th Street, N.W. Suite 700 City Washington State/Zip (or Country) DC 20005			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name John H. Zentay	Telephone (202) 371-6000	E-mail (optional) john.zentay@piperrudnick.com	5. Senate ID # 39974-710
7. Client Name <input type="checkbox"/> Self Investment Company Institute			6. House ID # 30883056

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☐ OR Year End (July 1-De

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☒ >> Termination Date 9/30/2002

11. No Lobby

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$80,000.00</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of: <ul style="list-style-type: none"> <input type="checkbox"/> Method A. Reporting amounts using LDA defined <input type="checkbox"/> Method B. Reporting amounts under section 603 of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162 of the Internal Revenue Code

Signature _____ Date 2/14/2003

Printed Name and Title John H. Zentay - Shareholder P:

Registrant Name: Verner, Liipfert, Bernhard, McPherson & Hand

Client Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

Mutual fund fees.

Financial privacy legislation and proposals.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Eskin, Andrew D.	
Hand, Lloyd N.	
Minor, William H.	
Regan, Karen	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 2/14/2003

Printed Name and Title John H. Zentay - Shareholder P:

Registrant Name: Verner, Liipfert, Bernhard, McPherson & Hand

Client Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

**H.R.2269, Retirement Security Advice Act of 2001,
H.R.3669, Employee Retirement Savings Bill of Rights,
H.R.3762, Pension Security Act of 2002,
H.R.4931, Retirement Savings Security Act of 2002,
H.R.5553, Protecting America's Savings Act of 2002,
S.1677, Independent Investment Advice Act of 2001,
S.1971, National Employee Savings and Trust Equity Guarantee Act,
S.1992, Protecting America's Pensions Act of 2002,
S.2733, Secure Retirement for America Act,**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Eskin, Andrew D.	
Hand, Lloyd N.	
Merrigan, John A.	
Migdail, Evan M.	
Minor, William H.	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 2/14/2003

Printed Name and Title John H. Zentay - Shareholder P:

Registrant Name: Verner, Liipfert, Bernhard, McPherson & HandClient Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

H.R.168, To amend the Internal Revenue Code of 1986 to allow individuals an exclusion from gross income amounts of capital gains distributions from regulated investment companies.,

17. House(s) of Congress and Federal agencies contacted


☐ Check if None**House of Representatives****Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Eskin, Andrew D.	
Hand, Lloyd N.	
Merrigan, John A.	
Migdail, Evan M.	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature  Date 2/14/2003

Printed Name and Title John H. Zentay - Shareholder P: