

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
---	--

SECRETARY OF THE

02 AUG 13 AM

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Humana Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 500 West Main Street			
3. Principal Place of Business (if different from line 2) City: Louisville State/Zip (or Country) KY 40202			
4. Contact Name Heidi Margulis	Telephone (502) 580-1854	E-mail (optional) hmargulis@humana.com	5. Senate ID # 189
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 3228

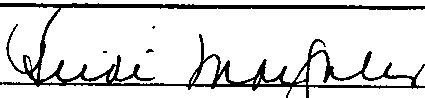
**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec
9. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐ ⇒ Termination Date \_\_\_\_\_

11. No Lobbying

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

<b>12. Lobbying Firms</b>  <b>INCOME</b> relating to lobbying activities for this reporting period was:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ <small>Income (nearest \$20,000)</small>  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>13. Organizations</b>  <b>EXPENSES</b> relating to lobbying activities for this reporting period were:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input type="checkbox"/> ⇒ \$ <u>\$280,000.00</u> <small>Expenses (nearest \$20,000)</small>  <b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of: <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA defined <input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code
--	---

Signature



Printed Name and Title

Heidi Margulis, SVP-Government Relations



Registrant Name Humana Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the :  
engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code  
information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

Issues related to TRICARE & military health care funding  
National Defense Authorization Act—HR4546/S2514

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives  
U.S. Senate  
Department of Defense—Health Affairs & TRICARE Management Activity

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi Margulis Date 8/7/02  
Printed Name and Title HEIDI MARGULIS, SVP- GOVERNMENT RELATIONS



Registrant Name Humana Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Patients Bill of Rights--HR2563, S1052  
 HIPAA Privacy Rules--S2201  
 ERISA Claims & SPD Rules  
 Mental Health Parity--HR3061, S543  
 Genetic Non-Discrimination--HR602/S318  
 Association Health Plans--HR1774  
 Insurance for the Uninsured--HR3009 (Trade Promotion Authority) S2679

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives  
 U.S. Senate  
 Department of Health & Human Services  
 Department of Labor  
 Office of Management & Budget  
 White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature Heidi Margulis Date 8/7/02

Printed Name and Title Heidi Margulis, SVP-Government Relations

✓

Registrant Name Humana Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

Patients Bill of Rights--HR2563, S1052  
 HIPAA Privacy Rules--S2201  
 ERISA Claims & SPD Rules  
 Mental Health Parity--HR3061, S543  
 Genetic Non-Discrimination--HR602/S318  
 Association Health Plans--HR1774  
 Insurance for the Uninsured--HR3009 (Trade Promotion Authority) S2679  
 Class Action Lawsuit Reform--HR2341/S1712

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives  
 U.S. Senate  
 Department of Health & Human Services  
 Department of Labor  
 Office of Management & Budget  
 White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_

Date 8/7/02

Printed Name and Title Heidi Margulis, SVP-Government Relations





Registrant Name Humana Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Items relating to Medicare reimbursement & prescription drug coverage--HR4954, S5019, S.2625  
Items related to Medicare regulatory relief--HR3448 & Secretary's Advisory Committee on Regulatory Reform

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives  
U.S. Senate  
Department of Health & Human Services  
Department of Labor  
Office of Management & Budget  
White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature Heidi Margulis Date 8/7/02

Printed Name and Title Heidi Margulis, SVP-Government Relations



Registrant Name Humana Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Rollover of Flexible Spending Accounts funds--HR167, HR4804  
Medical Savings Accounts--HR1524  
Regulatory issues related to Health Reimbursement Arrangements

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives  
U.S. Senate  
Department of Treasury  
Office of Management & Budget

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi Margulis Date 8/7/02

Printed Name and Title Heidi Margulis, SVP-Government Relations



Registrant Name Humana Inc. Client Name \_\_\_\_\_

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

N/A

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

N/A

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

N/A

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu (city and state or co
N/A		

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

N/A

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
N/A			

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registra  
affiliated organization

N/A

Signature

*Heidi Margulis*

Date 8/7/02 N/A

