Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 SECRETARY OF THE

02 AUG 13 AM

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

 Address	viously reported		
3. Principal Place of Business (if different from	n line 2)		
City: Louisville	State/2	Zip (or Country) KY 40202	
l. Contact Name	Telephone	E-mail (optional)	5. Senate ID#
Heidi Margulis	(502) 580-1854	hmargulis@humana.com	189
. Client Name 🗹 Self			6. House iD#
			3228
). Check if this is a Termination Rep	oort 🔾 🗢 Termination	Date1	1. No Lobbyin
Check if this filing amends a previous Check if this is a Termination RepINCOME OR EXPENSE	oort □ ⇔ Termination	Date1 Line 12 OR Line 13	
O. Check if this is a Termination Representation Re	oort □ ⇒ Termination S - Complete Either	Date1	tions
O. Check if this is a Termination Representation Re	oort □ ⇒ Termination S - Complete Either	Line 12 OR Line 13 13. Organizat	tions
O. Check if this is a Termination Representation Re	oort □ ⇒ Termination S - Complete Either	Line 12 OR Line 13 13. Organizate EXPENSES relating to lobbying active	tions
O. Check if this is a Termination Replacement of the Income of Expense 12. Lobbying File Income relating to lobbying activities than \$10,000 \textsquare.	oort □ ⇒ Termination S - Complete Either	Line 12 OR Line 13 13. Organizate EXPENSES relating to lobbying active period were: Less than \$10,000	tions ities for this rej \$280,000.00
INCOME OR EXPENSE 12. Lobbying File Company of the	oort □ ⇒ Termination S - Complete Either	Line 12 OR Line 13 13. Organizate EXPENSES relating to lobbying active period were: Less than \$10,000	tions ities for this re \$280,000.00 nses (nearest \$20,000.00)
12. Lobbying File (NCOME relating to lobbying activition of the state	oort □ ⇒ Termination S - Complete Either irms ties for this reporting come (nearest \$20,000) I to the nearest \$20,000,	Line 12 OR Line 13 13. Organizate EXPENSES relating to lobbying active period were: Less than \$10,000 \$10,000 or more Experimental Service Services accounting method. See instructions for	tions ities for this re \$280,000.00 nses (nearest \$20,6) to box to indicator description of
12. Lobbying Fincome relating to lobbying activity period was: Less than \$10,000 \$10,000 or more \$\Begin{array}{c} \$\text{\$\exitit{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\text{\$\	oort Termination S - Complete Either irms ties for this reporting come (nearest \$20,000) I to the nearest \$20,000, the client (including all	Line 12 OR Line 13 13. Organizate EXPENSES relating to lobbying active period were: Less than \$10,000 \$10,000 or more Experimental Series of the serie	\$280,000.00 ses (nearest \$20,000 do description of the control of
12. Lobbying Fincome relating to lobbying activity period was: Less than \$10,000 \$10,000 or more \$\Begin{array}{c} \square	oort Termination S - Complete Either irms ties for this reporting come (nearest \$20,000) I to the nearest \$20,000, the client (including all	Line 12 OR Line 13 13. Organizate EXPENSES relating to lobbying active period were: Less than \$10,000 \$10,000 or more Experimental Service Services accounting method. See instructions for	\$280,000.00 \$280,000.00 See (nearest \$20,000 description of the control of the

Printed Name and Title Heidi Margulis, SVP-Government Relations Filing #de582d23-9b64-4ff0-bd45-9f2868f886a7 - Page 1 of 14

LD-2 (REV. 6/98)

Registrant Name	Humana Inc.	Client Name	
engaged in lobbying	VITY. Select as many coon behalf of the client durated. Attach additional parts	ring the reporting period. I	the general issue areas in which the Using a separate page for each code
15. General issue ar	ea code DEF (or	ne per page)	
16. Specific lobbyin Issues related to National Defense	g issues FRICARE & military health ca Authorization Act—HR4546/S	re funding 2514	
House of Repre U.S. Senate		es contacted	Check if None
18. Name of each in	idividual who acted as a lo	obbyist in this issue area	
Mary McKenzie 19. Interest of each for	Name	sues listed on line 16 above	Covered Official Position (if applicable) Check if None
Signature	Heisi ho	ufner	Date 87/02 JERNMENT RELATION
Printed Name and Titl	e HEIDI MARGI	ULIS SUP-GO	FRHMENT RELATIONS

Filing #de582d23-9b64-4ff0-bd45-9f2868f886a7 - Page 3 of 14

. 7

LOBBYING ACTIVITY. Sclect as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed. 15. General issue area code HCR (one per page) 16. Specific lobbying issues Patients Bill of Rights-Hi2563, \$1052 HIRAP Privacy Rules-\$2201 ERISA Claims & SPD Rules Montal Health Party-HR3061, \$44 Genetic Non-Discrinination-HR602/8318 Association Health Party-HR774 Insurance for the Uninsured-HR3009 (Trade Promotion Authority) \$2679 17. House (s) of Congress and Federal agencies contacted Check if None House of Representatives U.S. Senate Department of Health & Human Services Department of Health & Human Services Department of House Health Policy Office 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Heidi Margulis 19. Interest of each foreign entity in the specific issues listed on line 16 above Arry McKenzie Heidi Margulis, \$VP-Government Relations Filing #de582d23-96644-4fb-bd45-9f2868f886a7 - Page 5 of 14	Registrant Name	Humana Inc.	Client Name	· · · · · · · · · · · · · · · · · · ·
Patents Bill of Rights—HR2593, \$1052 Patents Bill of Rights—HR2593, \$1052 HRAPA Privacy Rules—\$2201 ERISA Claims & SPD Rules Montal Health Parity—HR3061, \$543 Genetic Non-Discrimination—HR8009 (Trade Promotion Authority) \$2679 17. House(s) of Congress and Federal agencies contacted	engaged in lobbying on	behalf of the client duri	ng the reporting period. Using	
Patternis Bill of Rights—HR2663, \$1052 HIPAA Privacy Rules—S2201 BRISA Claims & PD Rules Mental Health Partity—HR3001, \$543 Genetic Non-Discrimination—HR602/S318 Association Health Plans—HR1774 Insurance for the Uninsured—HR3009 (Trade Promotion Authority) \$2679 17. House(s) of Congress and Federal agencies contacted Check if None House of Representatives U.S. Senate Department of Health & Human Services Department of Health & Human Services Department of Labor Office of Management & Budget White House Health Policy Office 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Mary McKenzie Heidi Margulis 19. Interest of each foreign entity in the specific issues listed on line 16 above 24 Check if None Signature Printed Name and Title Heidi Margulis, \$VP-Government Relations	15. General issue area	code HCR (one	per page)	
House of Representatives U.S. Senate Department of Health & Human Services Department of Labor Office of Management & Budget White House Health Policy Office 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Mary McKenzie Heidi Margulis 19. Interest of each foreign entity in the specific issues listed on line 16 above Signature Heidi Margulis, SVP-Government Relations	Patients Bill of Rights HIPAA Privacy Rules ERISA Claims & SPI Mental Health Parity Genetic Non-Discrim Association Health P	sHR2563, S1052 sS2201 D Rules HR3061, S543 inationHR602/S318 lansHR1774	motion Authority) S2679	
Heidi Margulis 19. Interest of each foreign entity in the specific issues listed on line 16 above Signature Date 8702 Printed Name and Title Heidi Margulis, SVP-Government Relations	House of Represe U.S. Senate Department of Head Department of Lat Office of Managen White House Heal	ntatives alth & Human Services oor nent & Budget th Policy Office		if None
Heidi Margulis 19. Interest of each foreign entity in the specific issues listed on line 16 above Signature Date 87702 Printed Name and Title Heidi Margulis, SVP-Government Relations		Name	Cover	red Official Position (if applicable)
19. Interest of each foreign entity in the specific issues listed on line 16 above Signature Date 87762 Printed Name and Title Heidi Margulis, SVP-Government Relations				
Signature Date Date Brance Date Brance Date Date Date Date Brance Date				2 or 1 ver
Printed Name and Title Heidi Margulis, SVP-Government Relations	19. Interest of each forei	gn entity in the specific iss	es listed on line 16 above	Check if None
	Signature	beide Thay	ru	Date 8/7/02
FUUD #DBDA/D/SEMDD#/UULDD//SEUL/XXXIXXXX / _ PBDA 5 OF 1//				

Registrant Name	Humana Inc.	Client Name	
engaged in lobbying or	TY. Select as many cod n behalf of the client duried. Attach additional pages.	ng the reporting period. I	the general issue areas in which the Using a separate page for each cod
15. General issue area	code <u>INS</u> (one	e per page)	
Association Health F Insurance for the Ur	sHR2563, S1052 sS2201 D Rules HR3061, S543 ninationHR602/S318	omotion Authority) S2679	
House of Represe U.S. Senate Department of He Department of La Office of Manager White House Hea	alth & Human Services bor ment & Budget lth Policy Office		Check if None
18. Name of each indi	ividual who acted as a lo	bbyist in this issue area	
	Name		Covered Official Position (if applicable)
Mary McKenzie			
Heidi Margulis			

19. Interest of each fore	ign entity in the specific iss	ues listed on line 16 above	Check if None
Signature	Siai h	achler	Date 8/7/02
Printed Name and Title_	Heidi Margulis, SVP-Gove	ernment Relations 145-9f2868f886a7 - Page 7	Date

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed. 15. General issue area code MMM (one per page) 16. Specific lobbying issues Items relating to Medicare reimbursement & prescription drug coverage—HR4954, S5019, S.2625 Items related to Medicare regulatory relief—HR3448 & Secretary's Advisory Committee on Regulatory Reform 17. House(s) of Congress and Federal agencies contacted Check if None House of Representatives U.S. Senate Department of Health & Human Services Department of Health & Human Services Department of Labor Office of Management & Budget White House Health Policy Office 18. Name Covered Official Position (if applicable) Mary McKenzie
Items relating to Medicare reimbursement & prescription drug coverage—HR4954, S5019, S.2625 Items related to Medicare regulatory relief—HR3448 & Secretary's Advisory Committee on Regulatory Reform 17. House(s) of Congress and Federal agencies contacted ☐ Check if None House of Representatives U.S. Senate Department of Health & Human Services Department of Labor Office of Management & Budget White House Health Policy Office 18. Name of each individual who acted as a lobbyist in this issue area Covered Official Position (if applicable)
Items relating to Medicare reimbursement & prescription drug coverage—HR4954, S5019, S.2625 Items related to Medicare regulatory relief—HR3448 & Secretary's Advisory Committee on Regulatory Reform 17. House(s) of Congress and Federal agencies contacted
House of Representatives U.S. Senate Department of Health & Human Services Department of Labor Office of Management & Budget White House Health Policy Office 18. Name of each individual who acted as a lobbyist in this issue area Covered Official Position (if applicable)
Name Covered Official Position (if applicable)
Heidi Margulis
······································
19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None
Signature

Filing #de582d23-9b64-4ff0-bd45-9f2868f886a7 - Page 9 of 14

Registrant Name	Humana inc.	Client Name	
engaged in lobbying		ing the reporting period. U	the general issue areas in which the i Ising a separate page for each code
15. General issue are	ea code TAX (one	e per page)	
16. Specific lobbyin		ID407 11D4004	
Medical Savings A	e Spending Accounts fundsh ccountsHR1524 related to Health Reimbursen		
17. House(s) of Con	gress and Federal agencies	s contacted \square C	heck if None
House of Repre U.S. Senate Department of T	sentatives		
18. Name of each in	dividual who acted as a lo	bbyist in this issue area	
	Name		Covered Official Position (if applicable)
Mary McKenzie		•••	
Hieidi Margulis			
		••••	
		••••••••••••••••••••••••••••••••••••••	
18.88 \$444 p.		•	

19. Interest of each fo	reign entity in the specific iss	ues listed on line 16 above	☑ Check if None
Signature	Suisi d.	Lufeler	Date 8/7/02
Printed Name and Titl	eHeidi Margulis, SVP-Gov	ernment Relations	
Fili	ng #de582d23-9b64-4ff0-bd	45-9f2868f886a7 - Page 11 o	of 14

tegistrant Name	Humana Inc.	Client Name		
nformation Upd	ate Page - Complete Of	NLY where registration inform	nation has cl	hanged.
20. Client new address			· · · · · · · · · · · · · · · · · · ·	
N/A	***************************************	## PUUVI 04 WU ## ## ## ## ## ## ## ## ## ## ## ## ##		
21. Client new principal plant	lace of business (if different from l	line 20)		
City		State/Zip (or Country)		
	on of client's business or activities			
LOBBYIST UPDA 23. Name of each pre N/A		who is no longer expected to ac	ct as a lobby	ist for the client
ISSUE UPDATE 24. General lobbying	issues previously reported	that no longer pertain		
		 <u></u>		<u> </u>
APPHIATED ODG	TARTONIC			
AFFILIATED ORC 25. Add the following	GANIZATIONS g affiliated organization(s)			
	g affiliated organization(s)	Address		Principal Place of B
25. Add the following	g affiliated organization(s)	Address		Principal Place of B
25. Add the following	g affiliated organization(s) me	Address		
25. Add the following	g affiliated organization(s) me	Address		
Nan Nan Nan Nan Nan Nan Nan Nan	g affiliated organization(s) ne	Address ion that is no longer a ffiliated w	ith the regist	(city and state or c
25. Add the following	g affiliated organization(s) ne		ith the regist	(city and state or c
Nan Nan Nan NA 26. Name of each pre	g affiliated organization(s) ne eviously reported organization		ith the regist	(city and state or c
Nan Nan Nan Nan Nan Nan Nan Nan	g affiliated organization(s) ne eviously reported organization		ith the regist	(city and state or c
Name of each presented in the second	g affiliated organization(s) ne eviously reported organization	ion that is no longer a ffiliated w		(city and state or c
25. Add the following Nan Nan 26. Name of each pre N/A FOREIGN ENTITIE 27. Add the following	g affiliated organization(s) ne eviously reported organization g foreign entities	ion that is no longer a ffiliated w	of business	(city and state or c
25. Add the following Nan Nan 26. Name of each pre N/A FOREIGN ENTITIE 27. Add the following	g affiliated organization(s) ne eviously reported organization g foreign entities	ion that is no longer affiliated w SS Principal place (city and state of	of business	(city and state or c
25. Add the following Nan Nan 26. Name of each pre N/A FOREIGN ENTITIE 27. Add the following	g affiliated organization(s) ne eviously reported organization g foreign entities	ion that is no longer affiliated w SS Principal place (city and state of	of business	(city and state or c
26. Name of each pre N/A FOREIGN ENTITIE 27. Add the following Name	g affiliated organization(s) ne eviously reported organization g foreign entities Addres	ion that is no longer affiliated w SS Principal place (city and state of	of business or country) 	city and state or c
26. Name of each pre N/A FOREIGN ENTITIE 27. Add the following Name 28. Name of each pre	g affiliated organization(s) ne eviously reported organization g foreign entities Addres	ion that is no longer affiliated w SS Principal place (city and state of	of business or country) 	city and state or c
26. Name of each pre N/A FOREIGN ENTITIE 27. Add the following Name	g affiliated organization(s) ne eviously reported organization g foreign entities Addres	ion that is no longer affiliated w SS Principal place (city and state of	of business or country) 	city and state or c
26. Name of each pre N/A FOREIGN ENTITIE 27. Add the following Name 28. Name of each pre affiliated organizated	g affiliated organization(s) ne eviously reported organization g foreign entities Addres	ion that is no longer affiliated w SS Principal place (city and state of	of business or country) 	city and state or c

Form LD-2 (Rev. 6/98)