

8/14/00

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name HUMANA INC.			
2. Address <input type="checkbox"/> Check if different than previously reported 500 W. Main Street			
3. Principal Place of Business (if different from line 2) City: Louisville State/Zip (or Country) KY 40202			
4. Contact Name Heidi Margulis		Telephone 902-580-1854	E-mail (optional) hmargulis@humana.com
5. Senate ID # 18912-12		6. House ID # 32289000	
7. Client Name <input checked="" type="checkbox"/> Self			

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ → Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> → \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> → \$240,000 Expenses (nearest \$20,000)
14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.	
<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only	
<input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code	
<input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code	

Signature _____

Printed Name and Title Heidi Margulis, Senior Vice President-Government Affairs

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Registrant Name Humana Inc.

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Tricare appropriations: H.R.4576-Making appropriations for the Dept. of Defense for the fiscal year ending Sept. 30, 2001, and for other purposes. S.2593-Making appropriations for the Dept. of Defense for the fiscal year ending Sept. 30, 2001, and for other purposes.

Depts. of Labor & Human Services appropriations, related to patient protections & Medicare+Choice user fees; H.R.4577-Making appropriations for the Dept. of Health & Human Services and Education and related agencies for the fiscal year ending Sept. 30, 2001 and for other purposes. S.2553-Same content as H.R.4577.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary McKenzie	Staff Director-U.S. House of Representatives Committee on Small Business	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Reidi Margulis

Date August 9, 2000

Printed Name and Title Reidi Margulis, Senior Vice President-Govt Affairs

Registrant Name Humana Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Confidentiality of medical information: H.R.4049-Privacy Commission Act; S.2448-Internet Integrity & Critical Information Protection Act; H.R.2470-Patient Medical Record Confidentiality

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary McKenzie	Staff Director-U.S. House of Representatives Committee on Small Business	<input checked="" type="checkbox"/>
Heidi Margulis		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Heidi Margulis

Date August 9, 2000

Printed Name and Title Heidi Margulis, Senior Vice President-Govt Affairs

Registrant Name Humana Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

Antitrust: H.R.1304-Quality Healthcare Coalition Act

Patient Bill of Rights: H.R.2723-Bipartisan Consensus Managed Care Improvement Act;
H.R.2990-Health Care Quality & Access Act; S.1344-Patient Bill of Rights

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary McKenzie	Staff Director-U.S. House of Representatives Committee on Small Business	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi Margulis Date August 9, 2000
Printed Name and Title Heidi Margulis, Senior Vice President-Govt Affairs

Registrant Name Humana Inc.

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Medicare+Choice funding and amendments to the Balanced Budget Act of 1997:
S.2541-Medicare Expansion for Needed Drugs; H.R.4680-Medicare RX Act; S.2807-Medicare Rx Drug and Modernization Act; S.1895-Medicare Preservation & Improvement Act.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary McKenzie	Staff Director- U.S. House of Representatives-Committee on Small Business	<input type="checkbox"/>
Heidi Margulis		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Heidi Margulis

Date August 9, 2000

Printed Name and Title Heidi Margulis, Senior Vice President-Govt Affairs

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Registrant Name Humana Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

E-Commerce: H.R.1714-Electronic Signature & Modernization Act; S.761-Millennium Digital Signatures Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House of Representatives
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary McKenzie	Staff Director-U.S. House of Representatives Committee on Small Business	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi Margulis Date August 9, 2000
Printed Name and Title Heidi Margulis, Senior Vice President-Govt Affairs

Registrant Name Humana Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

Class action lawsuit reform: H.R.1875-The Interstate Class Jurisdiction Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary McKenzie	Staff Director-U.S. House of Representative Committee on Small Business	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature Heidi Margulis Date August 9, 2000

Printed Name and Title Heidi Margulis, Senior Vice President-Govt Affairs

Registrant Name Humana Inc. Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature

Heidi Margulis

Date August 9, 2000

Printed Name and Title

Heidi Margulis

SE UP - GOVT AFFAIRS

Form LD-2 (Rev. 6/98)

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