

Clerk of the House of Representatives
 Legislative Resource Center
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 Washington, DC 20515

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 Office of Public Records
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 Washington, DC 20510

SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Austin, Nichols & Co., Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 156 East 46th Street, New York, NY 10017			
3. Principal Place of Business (if different from line 2) City: N/A State/Zip (or Country):			
4. Contact Name Thomas R. Lalla, Jr.	Telephone (212) 455-9435	E-mail (optional)	5. Senate ID # 51035-12
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 347-5700		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ <small>Income (nearest \$20,000)</small>	EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input checked="" type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ <small>Expense (nearest \$20,000)</small>
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature _____

Printed Name and Title _____

Registrant Name Austin, Nichols & Co., Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

U.S. trade policy (no specific legislation)

17. House(s) of Congress and Federal agencies contacted Check if None

No reportable lobbying activity

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Thomas R. Lalla, Jr.</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None
The registrant, Austin, Nichols & Co., Inc., is a subsidiary of Pernod Ricard, which exports products to the U.S. and is affected by U.S. trade laws.

Signature _____ Date _____

Printed Name and Title Thomas R. Lalla, Jr., General Counsel and Vice President for Administrative and Legal Affairs

Registrant Name Austin, Nichols & Co., Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

U.S. intellectual property rights (no specific legislation)

17. House(s) of Congress and Federal agencies contacted Check if None

No reportable lobbying activity

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Thomas R. Lalla, Jr.</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

The registrant, Austin, Nichols & Co., Inc., is a subsidiary of Pernod Ricard, which exports brand name products to the U.S. and is affected by U.S. trademark laws.

Signature *Thomas R. Lalla, Jr.* Date 2/12/01

Printed Name and Title Thomas R. Lalla, Jr., General Counsel and Vice President for Administrative and Legal Affairs