

SECRETARY OF THE SENATE  
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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name CSX Corporation			
2. Address <input type="checkbox"/> Check if different than previously reported 1331 Pennsylvania Avenue, N.W., Suite 560, Washington D.C. 20004			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Arnie Havens	Telephone (202) 783-8124	E-mail (optional)	5. Senate ID # 1
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 320

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-Dec 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Activities

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>1,360,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6013(b)(2) Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e)(1)(B) Internal Revenue Code</p>

Signature Arnie I. Havens

Printed Name and Title Arnold I. Havens, Senior Vice President, Government Affairs



**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (p.1 of 3) (one per page)

16. Specific lobbying issues

- H.R.2329—High Speed Rail Investment Act of 2001**
- S.250— High Speed Rail Investment Act of 2001**
- H.R.2654—TRAIN-21**
- H.R.2950—RIDE-21**
- H.R.999—Rail Merger Reform and Customer Protection Act**
- S.526— Rail Competition Enforcement Act of 2001**
- S.1103— Railroad Competition Act of 2001**
- H.R.141—Surface Transportation Reform Act of 2001**
- H.R.1020—Railroad Track Modernization Act of 2001**

17. House(s) of Congress and Federal agencies contacted

Check if None

- U. S. House of Representatives
- United States Senate
- United States Trade Representative
- U. S. Department of Transportation
- The White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Arnold I. Havens Senior Vice President, Government Affairs	
Diane S. Liebman Vice President, Railroad Federal Affairs	
Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: CSX Corporation

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (Cont. p.2 of 3) (one per page)

16. Specific lobbying issues

- S.1528—Rail Transportation Safety and Security Act
- S.1530—RAIL-21
- S.1550—Rail Security Act of 2001
- S.1602—Chemical Security Act of 2001
- S.1871—Safe Rails Act of 2001
- S.948—Community Rail Line Relocation Act of 2001
- H.R.2029— Community Rail Line Relocation Act of 2001
- H.R.2654—Transit Rail Accommodation Improvement and Needs Act for the 21<sup>st</sup> Century
- H.R.433— Railroad Crossing Delay Reduction Act
- S.1015— Railroad Crossing Delay Reduction Act
- H.R.432— Railroad Crossing Delay Safety Assurance Act
- H.R.2596—To provide for the protection of train employees
- S.2245 – Railroad Competition, Arbitration, and Service Act of 2002
- S.2808 – Department of Transportation and Related Agencies Appropriations Act, 2003

17. House(s) of Congress and Federal agencies contacted  Check if None

- U. S. House of Representatives
- United States Senate
- United States Trade Representative
- U. S. Department of Transportation
- The White House

18. Name of each individual who acted as a lobbyist in this issue area

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Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: CSX Corporation

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (Cont. p. 3) (one per page)

16. Specific lobbying issues

- H.R.5020 -- Emergency Directed Rail Service Act
- S.1958 -- Rail Passenger Service Improvement Act
- S.1991 -- National Defense Rail Act
- H.R.4545 -- Amtrak Reauthorization Act of 2002
- H.R.4761 -- Railroad Safety Reform Act of 2002
- Reauthorization of Federal Railroad Administration
- Potential labor strike in Chicago (IHB/BMWE)
- TEA-21 reauthorization related issues
- Executive Actions—Department of Transportation Nominations

17. House(s) of Congress and Federal agencies contacted  Check if None

- U. S. House of Representatives
- United States Senate
- United States Trade Representative
- U. S. Department of Transportation
- The White House

18. Name of each individual who acted as a lobbyist in this issue area

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Signature \_\_\_\_\_ Date \_\_\_\_\_

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Registrant Name: CSX Corporation

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code NAT (one per page)

16. Specific lobbying issues

- S.1766—National Energy Security bill
- S.556— Clean Power Act of 2001
- S.60— The National Electricity and Environmental Technology Act
- H.R.2323— The National Electricity and Environmental Technology Act
- H.R.4—Securing America’s Future Energy Act of 2001
- S.389—National Energy Security Act of 2001
- H.R.5266 & S.2815 -- Clear Skies Act of 2002
- S.1194 -- Solid Waste Interstate Transportation and Local Authority Act of 2001
- S.2034 -- Municipal Solid Waste Interstate Transportation and Local Authority Act of 2002

17. House(s) of Congress and Federal agencies contacted  Check if None

- U. S. House of Representatives
- United States Senate
- The White House
- U. S. Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Arnold I. Havens Senior Vice President, Government Affairs	
Diane S. Liebman Vice President, Railroad Federal Affairs	
Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: **CSX Corporation**

Client Name: **Self**

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each information as requested.** Attach additional page(s) as needed.

15. General issue area code TRU (one per page)

16. Specific lobbying issues

**H.R.3132—Safe Highways and Infrastructure Preservation Act**

17. House(s) of Congress and Federal agencies contacted  Check if None

- U. S. House of Representatives
- United States Senate
- U. S. Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Diane S. Liebman Vice President, Railroad Federal Affairs	
Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: CSX Corporation

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

H.R.2983— Price - Anderson Reauthorization Act of 2001
S.1360— Price - Anderson Reauthorization Act of 2001

17. House(s) of Congress and Federal agencies contacted [ ] Check if None

U. S. House of Representatives
United States Senate
U. S. Department of Energy
The White House

18. Name of each individual who acted as a lobbyist in this issue area

Table with 2 columns: Name, Covered Official Position (if applicable). Rows include Arnold I. Havens, Diane S. Liebman, Michael R. Smythers.

19. Interest of each foreign entity in the specific issues listed on line 16 above [x] Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: **CSX Corporation**

Client Name: **Self**

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each information as requested.** Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

- H.R.3210—Terrorism Risk Protection Act**
- S.1743— National Terrorism Reinsurance Fund Act**
- S.1744— Terrorism Insurance Act**
- S.1748— Terrorism Risk Insurance Act of 2001**
- S.1751— Terrorism Risk Insurance Act of 2001**

17. House(s) of Congress and Federal agencies contacted  Check if None

- U. S. House of Representatives
- United States Senate
- U. S. Department of Treasury
- The White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Arnold I. Havens Senior Vice President, Government Affairs	
Diane S. Liebman Vice President, Railroad Federal Affairs	
Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

**H.R.2341 & S.1712—Class Action Fairness Act of 2001**

17. House(s) of Congress and Federal agencies contacted  Check if None

United States Senate  
U. S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Diane S. Liebman Vice President, Railroad Federal Affairs	
Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: **CSX Corporation**

Client Name: **Self**

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each information as requested. Attach additional page(s) as needed.**

15. General issue area code   MAR   (one per page)

16. Specific lobbying issues

- CSX World Terminals, Dominican Republic Concerns**
- CSX Lines, Jones Act Trade**
- CSX Access to Coast Guard Facility for Derailment Cleanup (Rochester)**
- H.R. 3983 – Maritime Transportation Anti-terrorism Act of 2002**
- S.1214 – Port and Maritime Security Act of 2001**

17. House(s) of Congress and Federal agencies contacted  Check if None

- United States Senate
- House of Representatives
- U. S. Department of State

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Arnold I. Havens Senior Vice President, Government Affairs	
Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: **CSX Corporation**

Client Name: **Self**

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each information as requested. Attach additional page(s) as needed.**

15. General issue area code AVI (one per page)

16. Specific lobbying issues

**Air Traffic Control Subcommittee issues**

17. House(s) of Congress and Federal agencies contacted

Check if None

- United States Senate
- U. S. House of Representatives
- U. S. Department of Transportation
- The White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Arnold I. Havens Senior Vice President, Government Affairs	
Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: CSX Corporation Client Name: Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each information as requested. Attach additional page(s) as needed.**

15. General issue area code BUD (one per page)

16. Specific lobbying issues

**Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2003**

17. House(s) of Congress and Federal agencies contacted  Check if None

U. S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Arnold I. Havens Senior Vice President, Government Affairs	
Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: CSX Corporation Client Name: Self

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15. General issue area code ACC (one per page)

16. Specific lobbying issues

**H.R.3763 & S.2673-- Corporate and Auditing Accountability, Responsibility, and Transparency**

17. House(s) of Congress and Federal agencies contacted  Check if None

United States Senate  
U. S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Arnold I. Havens Senior Vice President, Government Affairs	
Michael R. Smythers Director – Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: **CSX Corporation**

Client Name: **Self**

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15. General issue area code DEF (one per page)

**H.R.5005—Homeland Security Act of 2002**

**S.2452 – National Homeland Security and Combating Terrorism Act of 2002**

16. Specific lobbying issues

17. House(s) of Congress and Federal agencies contacted

Check if None

United States Senate

U. S. House of Representatives

U. S. Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Arnold I. Havens Senior Vice President, Government Affairs	
Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if none

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name: CSX Corporation Client Name: Self

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

- H.R.1024—Transportation Tax Equity and Fairness Act**
- S.661— Transportation Tax Equity and Fairness Act**
- H.R.3090—Economic Security and Recovery Act of 2001**
- H.R.3529—Economic Security and Worker Assistance Act of 2001**


17. House(s) of Congress and Federal agencies contacted  Check if None

United States Senate  
 U. S. House of Representatives  
 U. S. Department of Transportation  
 The White House

18. Name of each individual who acted as a lobbyist in this issue area

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Diane S. Liebman Vice President, Railroad Federal Affairs	
Michael R. Smythers Director, Federal Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if none

Signature  Date August 8, 2002

Printed Name and Title Arnold I. Havens, Senior Vice President-Government Affairs

