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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name O'Brien Calio		
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1350 Eye Street, NW Suite 690 City Washington State/Zip (or Country) DC 20005		
3. Principal Place of Business (if different from line 2) City N/A State/Zip (or Country)		
4. Contact Name Charlotte Baldwin	Telephone 2028984746	5. Senate ID # 29802-327
7. Client Name <input type="checkbox"/> Self Merrill Lynch		6. House ID # 30108031

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input checked="" type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Lawrence Brien Date 2/14/00



Printed Name and Title Lawrence F. O'Brien, III - Partner

Page 1 of 3

Registrant Name: O'Brien Calio

Client Name: Merrill Lynch

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific Lobbying issues

H.R.10, Financial Services Act of 1999, Financial Services Reform.

H.R.1339, Depository Institution Customers Financial Privacy Enhancement Act of 1999, Same.

H.R.1340, Securities Investors Privacy Enhancement Act of 1999, Same.

H.R.665, Financial Services Modernization Act, Same.

S.187, Financial Information Privacy Act of 1999, Same.

S.753, Financial Services Act of 1999, Same.

S.900, Financial Services Modernization Act of 1999, Same.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 2/14/00

Printed Name and Title Lawrence F. O'Brien, III - Partner

Page 2 of 3

Registrant Name: O'Brien Calio

Client Name: Merrill Lynch

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

H.R.1102, Comprehensive Retirement Security and Pension Reform Act, tax issues relating to IRAs, capital gains, and corporate taxes

H.R.1703, To amend the IRC of 1986 to prevent the conversion of ordinary income or short term capital gain in the income eligible for the long term capital gain rate and for other purposes. , taxation of derivative financial instruments

H.R.2488, Financial Freedom Act of 1999, taxation of derivatives

H.R.3081, Wage and Employment Growth Act of 1999,

S.1429, Taxpayer Refund Act of 1999, taxation of derivatives

S.1792, Tax Relief Extension Act of 1999,

S.646, Retirement Savings Opportunity Act of 1999, tax issues relating to IRAs, capital gains, and corporate taxes

S.741, Pension Coverage and Portability Act, tax issues relating to IRAs, capital gains, and corporate taxes

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Calio, Nicholas E.	Assistant to the President	No
Chadwick, Kirsten		No
McKernan, Kim F.		No
Mellody, Charles J.		No
Nelson, Patricia A.		No
O'Brien, III, Lawrence F.		No
Tarplin, Linda E.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____ Date 2/14/00

Printed Name and Title Lawrence F. O'Brien, III - Partner Page 3 of 3