

# LOBBYING REPORT

SECRETARY OF THE SENATE

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Form

FILED 10 AM 11:07

1. Registrant Name

Covington & Burling

2. Address ☐ Check if different than previously reported.

1201 Pennsylvania Avenue, NW

3. Principal Place of Business (if different from line 2)

City: Washington

State/Zip (or Country)

DC 20004

4. Contact Name Telephone Email (optional)

John C. Dugan

(202)662-5415

5. Senate ID #

11195-176

7. Client Name ☐ Self

Brown Brothers Harriman & Co.

6. House ID #

31827041

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Activity ☐

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

### 12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☒

\$10,000 or more ☐ ⇨ \$ \_\_\_\_\_  
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client.)

### 13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇨ \$ \_\_\_\_\_  
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.

☐ Method A. Reporting amounts using LDA definitions only

☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ Method C. Reporting amounts under 162(e) of the Internal Revenue Code

Signature

Printed Name and Title

John C. Dugan

Date February 9, 2000

Registrant Name

Covington & Burling

Client Name

Brown Brothers Harriman & Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

**H.R. 10, Financial Services Act of 1999, and S. 900, Financial Services Modernization Act of 1999, provisions related to bank securities activities**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Senate, House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
John C. Dugan		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Printed Name and Title

John C. Dugan

Date

February 9, 2000