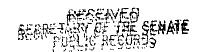
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Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building

Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510



06 APR 12 AM 10: 23

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

| 1. Registrant name | | |
|--|---------------------------------|--|
| Caterpillar Inc. | | |
| 2. Address Check if different than previously reported | | |
| 100 NE Adams Street | | |
| Peoria I | L 6162 | 29-1430 USA |
| 3. Principal place of business (if different than line 2) | | |
| | | |
| City State/2 4a. Contact Name b. Telephone number | Zip or Country c. E-maîl | 60 × 10 # |
| • | · | 5. Senate ID# |
| Mr. Douglas P. Crew 309 675-5248 crew 7. Client Name ∑ Self | r_douglas_p@cat.com | 8475-12 6. House ID# |
| Caterpillar Inc. | | 31319000 |
| 9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report □ □ Termination Date INCOME OR EXPENSES - Complete Either Line 1 | | 11. No Lobbying Activ |
| 12. Lobbying Firms | | Organizations |
| INCOME relating to lobbying activities for this reporting period was: | EXPENSES relating to lobb were: | oying activities for this reporting |
| Less than \$10,000 🔲 | Less than \$10,000 | |
| \$10,000 or more | \$10,000 or more | ⇒ \$ 920,000 |
| Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all | | D. Check box to indicate exper |
| payments to the registrant by any other entity for lobbying | | g amounts using LDA definitions on |
| activities on behalf of the client). | | g amounts under section 6033(b)(8) (Revenue Code |
| | Method C. Reporting Revenue | g amounts under section 162(e) of th Code |
| | | |
| | | |
| Signature | Date | |

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→ Page 1

| Registrant Name Caterpillar Inc. | Client Name Caterpillar Inc. |
|---|--|
| LOBBYING ACTIVITY. Select as many codengaged in lobbying on behalf of the client during information as requested. Attach additional page. | es as necessary to reflect the general issue areas in which the general issue areas in which the generate page for each code |
| 15. General issue area code TRA - Transportatio | (one per page) |
| 16. Specific lobbying issues | |
| Supported HR3 TEA 21 Transportation Bill - in | ncreased funding for highway and bridge infrastructure. |
| | |
| 17. House(s) of Congress and Federal agencies of | contacted Check if None |
| U. S. House U. S. Senate | |
| 18. Name of each individual who acted as a lobb | by ist in this issue area |
| Name | Covered Official Position (if applicable) |
| Carl Volz | Washington Manager, Governmental Affairs |
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| 10 Interest of each foreign antity in the angle: | |
| 19. Interest of each foreign entity in the specific | issues listed on line 16 above X Check if None |
| | |
| | · |
| Signature | Date |
| | |

| Registrant Name Caterpillar Inc. | Client Name Caterpillar Inc. |
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| LOBBYING ACTIVITY. Select as many contended in lobbying on behalf of the client durinformation as requested. Attach additional particles and the content of the client during the content of the client during the content of the content of the client during the content of the co | odes as necessary to reflect the general issue areas in which the ring the reporting period. Using a separate page for each code age(s) as needed. |
| 15. General issue area code ENG - Energy/Nu | clear (one per page) |
| 16. Specific lobbying issues | |
| Supported HR 6 - Energy Bill - comprehens clean coal technology, retrofitting older dies | ive national energy bill. Supported major elements including el engines and combined heat and power (CHP) technology. |
| 17. House(s) of Congress and Federal agencie U. S. House U. S. Senate Environmental Protection Agency U. S. Department of Energy 18. Name of each individual who acted as a lo | |
| Name | Covered Official Position (if applicable) |
| Carl Volz | Washington Manager, Governmental Affairs |
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| · | |
| | |
| | c issues listed on line 16 above 🔀 Check if None |

| Registrant Name Caterpillar Inc. | Client Name Caterpillar Inc. |
|---|--|
| | ides as necessary to reflect the general issue areas in which the ring the reporting period. Using a separate page for each code age(s) as needed. |
| 15. General issue area code ENV - Environmer | ntal/Superfund (one per page) |
| 16. Specific lobbying issues | |
| Support SB 1265 - Diesel Emissions Reduct and grants to assist local fleets of diesel equ | ion Act of 2005 (DERA) - a bill appropriating federal loans ipment to reduce emissions. |
| 17. House(s) of Congress and Federal agencies U. S. House U. S. Senate | s contacted Check if None |
| 18. Name of each individual who acted as a lo | bby ist in this issue area Covered Official Position (if applicable) |
| Carl Volz | Washington Manager, Governmental Affairs |
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| | |
| 19. Interest of each foreign entity in the specifi | ic issues listed on line 16 above 🔀 Check if None |

| Registrant Name Caterpillar Inc. | Client Name Caterpillar Inc. |
|--|---|
| | ct as many codes as necessary to reflect the general issue areas in which the the client during the reporting period. Using a separate page for each code additional page(s) as needed. |
| 15. General issue area code ENG | - Energy/Nuclear (one per page) |
| 16. Specific lobbying issues | |
| Opposed to any changes in the promulgated by the Environment | c Clean Air Act to postpone or change the Low Sulfur Diesel fuel rules ntal Protection Agency. |
| 17. House(s) of Congress and Fe | eral agencies contacted Check if None |
| U. S. House U. S. Senate Environmental Protection Agency White House Council on Environr | ental Quality acted as a lobbyist in this issue area |
| Name | Covered Official Position (if applicable) |
| Carl Volz | Washington Manager, Governmental Affairs |
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| 19. Interest of each foreign entity | in the specific issues listed on line 16 above |

Page 5

| Registrant Name Caterpillar Inc. | Client Name Caterpillar Inc. |
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| | ny codes as necessary to reflect the general issue areas in which the not during the reporting period. Using a separate page for each code nal page(s) as needed. |
| 15. General issue area code ENG - Energ | y/Nuclear (one per page) |
| 16. Specific lobbying issues | |
| HR 3824 - To amend and reauthorize t | he Endangered Species Act of 1973. |
| 17. House(s) of Congress and Federal age U. S. House | encies contacted Check if None |
| 18. Name of each individual who acted as Name | s a lobbyist in this issue area Covered Official Position (if applicable) |
| Carl Volz | Washington Manager, Governmental Affairs |
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| 19. Interest of each foreign entity in the s | pecific issues listed on line 16 above \(\overline{\infty}\) Check if None |

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| Registrant Name Caterpillar Inc. | Client Name Caterpillar Inc. |
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| | codes as necessary to reflect the general issue areas in which the uring the reporting period. Using a separate page for each code page(s) as needed. |
| 15. General issue area code RET - Retiremen | (one per page) |
| 16. Specific lobbying issues | |
| | n Act of 2005 - to give workers more freedom to diversify, bettering enforcement of federal pension protection laws. |
| 17. House(s) of Congress and Federal agenci U. S. House U. S. Senate | es contacted Check if None |
| 18. Name of each individual who acted as a l | obbyist in this issue area Covered Official Position (if applicable) |
| Kathryn Himes | Washington Manager, Governmental Affairs |
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| 19. Interest of each foreign entity in the spec | ific issues listed on line 16 above Check if None |

Registrant Name ____ Caterpillar Inc.

information as requested. Attach additional page(s) as needed.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the rengaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code

Client Name __ Caterpillar Inc.

| Oppose S.975 - Project Bioshield II Act extensions on prescription drugs. | of 2005 - seek revisions to bioterrorism bill pertaining to patent |
|---|--|
| 7. House(s) of Congress and Federal age | ncies contacted Check if None |
| 8. Name of each individual who acted as | a lobbyist in this issue area |
| Name | Covered Official Position (if applicable) |
| Kathryn Himes | Washington Manager, Governmental Affairs |
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| flect the general issue areas in which the od. Using a separate page for each code |
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| (one per page) |
| g. |
| 5") FAIR Act, A bill to create a fair and by asbestos exposure and for other |
| k if None |
| ea . |
| ered Official Position (if applicable) Manager, Governmental Affairs |
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| Registrant Name | Caterpillar Inc. | Client Name | Caterpillar Inc. |
|--|---|-------------------------------|--|
| engaged in lob | ACTIVITY. Select as many obying on behalf of the client du requested. Attach additional p | ring the reporting period. | et the general issue areas in which the I Using a separate page for each code |
| 15. General is: | sue area code TRD - Trade (Do | mestic & Foreign) | (one per page) |
| 16. Specific lo | bbying issues | | |
| Agreement Support H. Cuba. | | on that would lift some U.S. | . travel and trade restrictions against |
| U. S. House U. S. Senate Commerce State Depar USTR and U | e Department Iment | | fNone |
| | Name | Covere | d Official Position (if applicable) |
| William | Lane | Washington Di | irector, Governmental Affairs |
| | | | |
| 19. Interest of | each foreign entity in the spec | ific issues listed on line 16 | above Check if None |

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| Caterpillar Inc. Registrant Name | Caterpillar IncClient Name | ···· |
| ADDENDUM for General Lobbying Issue Area | TRD | |
| 16. Specific lobbying issues (continued from previous | s page) | |
| Support H.R. 3057 - Foreign Operations, Export Fina Support U. S.—Bahrain free trade agreement. Support the WTO Doha Development Agenda. Promote Free Trade Agreements with Peru, Colombia | | ns Act of 200 |
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| Registrant Name | e Caterpillar Inc. | Client N | Jame Caterpillar Inc. |
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| engaged in lob | obying on behalf of the | | eflect the general issue areas in which the i od. Using a separate page for each code |
| 15. General is | sue area code | rade (Domestic & Foreign) | (one per page) |
| 16. Specific lo | bbying issues | | |
| | | | slation mandating increased duties on or allow for new trade remedies against |
| U. S. House U. S. Senate White House Commerce State Depar USTR and U | e e Department rtment JSITC | al agencies contacted Che ted as a lobbyist in this issue ar | rea |
| 16. Name of C | Name | - I | overed Official Position (if applicable) |
| William | Lane | Washingto | on Director, Governmental Affairs |
| | | | |
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| | | | |
| 19. Interest of | each foreign entity in | the specific issues listed on lin | e 16 above |

| Registrant Name Caterpillar Inc. | Client Name Caterpillar Inc. |
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| | s as necessary to reflect the general issue areas in which the set the reporting period. Using a separate page for each code (s) as needed. |
| 15. General issue area code TAX - Taxation/Intern | nal Revenue Code (one per page) |
| 16. Specific lobbying issues | |
| Support S1159 - a bill to extend subpart F exert S2020, H.R. 4297 - tax reconciliation bills - exte | nption. end subpart F active financing exception, R&E credit |
| 17. House(s) of Congress and Federal agencies co U. S. House U. S. Senate U. S. Treasury Department | ontacted Check if None |
| 18. Name of each individual who acted as a lobby Name | yist in this issue area Covered Official Position (if applicable) |
| Christopher Myers | Washington Manager, Governmental Affairs |
| | |
| | |
| 19. Interest of each foreign entity in the specific is | ssues listed on line 16 above |

LD-2DS (Rev. 4.05)

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Registrant Name _ Caterpillar Inc.

16. Specific lobbying issues

program.

information as requested. Attach additional page(s) as needed.

15. General issue area code ___ ENG - Energy/Nuclear

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code

Support - Energy and water appropriation, FY07 appropriations for heavy truck engine program, ARES

Client Name Caterpillar Inc.

_____ (one per page)

| | Name | Covered Official Position (if applicable) |
|---|---|---|
| Christopher | Myers | Washington Manager, Governmental Affairs |
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Registrant Name _ Caterpillar Inc.

16. Specific lobbying issues

U. S. House U. S. Senate

Christopher

information as requested. Attach additional page(s) as needed.

17. House(s) of Congress and Federal agencies contacted

Name

Myers

18. Name of each individual who acted as a lobbyist in this issue area

15. General issue area code __ DEF - Defense

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code

Support HR2863 - Defense Appropriation - Service Life Extension Program, construction equipment

Client Name Caterpillar Inc.

Check if None

(one per page)

Covered Official Position (if applicable)

Washington Manager, Governmental Affairs

| 19. Interest of each foreign entity in the specific issues listed on line 16 above ⊠ Check if No | | | |
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Page __15

| | terpillar Inc. | | Client Name Caterpillar | ' Inc. | · · ·, |
|---|-------------------------------|---|---|--|---------------------------------------|
| nformation U | pdate Page - C | Complete ONLY | where registration info | rmation has change | d. |
| 20. Client new add | ress | | | | |
| 21. Client new prir | ncipal place of busine | ess (if different than | l line 20) | | · · · · · · · · · · · · · · · · · · · |
| City | | State | /Zip | | |
| | escription of client's | | | | |
| LOBBYIST UP | | d individual who | is no longer e xpected to act | as a labbuist for the al | iont |
| Edward | Kaleta | iii | is no longer expected to act | as a 1000yist for the ci | lent |
| | | | | | |
| ISSUE UPDAT | E | | · · · · · · · · · · · · · · · · · · · | | |
| 24. General lobby | ving issues that no l | onger pertain | | | |
| AFFILIATED (| ORGANIZATIO | NS | | | |
| 25. Add the follow | ving affiliated organ | nization(s) | | | <u>., </u> |
| N | ame | | Address | Principal place of B (city and state or a | usines |
| *************************************** | | *************************************** | | | |
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| 26.21 | | | | | ٠ |
| 26. Name of each | previously reported | d organization tha | t is no longer affiliated with | the registrant or client | |
| 26. Name of each | previously reported | d organization tha | t is no longer affiliated with | the registrant or client | |
| FOREIGN ENT | TITIES | <u>,</u> | t is no longer affiliated with | the registrant or client | |
| FOREIGN ENT | | S | | | Own |
| FOREIGN ENT 27. Add the follow | TITIES | <u>,</u> | t is no longer affiliated with Principal place of business (city and state or country) | the registrant or client Amount of contribution for lobbying activities | Own |
| FOREIGN ENT 27. Add the follow | TITIES | S | Principal place of business | Amount of contribution | Own |
| FOREIGN ENT 27. Add the follow | TITIES | S | Principal place of business | Amount of contribution | |
| FOREIGN ENT 27. Add the follow Name | VITIES ving foreign entities | S Address | Principal place of business | Amount of contribution for lobbying activities | Owr perc clien |
| FOREIGN ENT 27. Add the follow Name | VITIES ving foreign entities | S Address | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Own perce clien |
| FOREIGN ENT 27. Add the follow Name | VITIES ving foreign entities | S Address | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Own perce clien |