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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name  Caterpillar Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported  100 NE Adams Street  Peoria IL 61629-1430 USA			
3. Principal place of business (if different than line 2)  City State/Zip or Country			
4a. Contact Name	b. Telephone number	c. E-mail	5. Senate ID #
Mr. Douglas P. Crew	309 675-5248	crew_douglas_p@cat.com	8475-12
7. Client Name <input checked="" type="checkbox"/> Self Caterpillar Inc.			6. House ID # 31319000

**TYPE OF REPORT** 8. Year 2005 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Activity ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>920,000</u></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8) of Internal Revenue Code</p> <p><input checked="" type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code</p>
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Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

















Registrant Name Caterpillar Inc.Client Name Caterpillar Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG - Energy/Nuclear (one per page)

16. Specific lobbying issues

Opposed to any changes in the Clean Air Act to postpone or change the Low Sulfur Diesel fuel rules promulgated by the Environmental Protection Agency.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House  
U. S. Senate  
Environmental Protection Agency  
White House Council on Environmental Quality

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Carl Volz	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title **Douglas P. Crew, Manager, Governmental Affairs**



Registrant Name Caterpillar Inc.Client Name Caterpillar Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code ENG - Energy/Nuclear (one per page)

16. Specific lobbying issues

HR 3824 - To amend and reauthorize the Endangered Species Act of 1973.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Carl Volz	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title **Douglas P. Crew, Manager, Governmental Affairs**







Registrant Name Caterpillar Inc.Client Name Caterpillar Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR - Health Issues (one per page)

16. Specific lobbying issues

Oppose S.975 - Project Bioshield II Act of 2005 - seek revisions to bioterrorism bill pertaining to patent extensions on prescription drugs.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kathryn Himes	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000142964

Printed Name and Title **Douglas P. Crew, Manager, Governmental Affairs**





Registrant Name Caterpillar Inc.Client Name Caterpillar Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TOR - Torts (one per page)

16. Specific lobbying issues

Support S.852 ("Fairness in Asbestos Injury Resolution Act of 2005") FAIR Act, A bill to create a fair and efficient system to resolve claims of victims for bodily injury caused by asbestos exposure and for other purposes.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kathryn Himes	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

1000142965

Printed Name and Title **Douglas P. Crew, Manager, Governmental Affairs**



**Registrant Name** Caterpillar Inc.

**Client Name** Caterpillar Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and providing the information as requested. Attach additional page(s) as needed.

15. General issue area code TRD - Trade (Domestic & Foreign) (one per page)

## 16. Specific lobbying issues

**Support H.R. 3040 and S.1307 - Legislation that would enact the Central American Free Trade Agreement.**

Support H.R. 208 and H.R. 579 - Legislation that would lift some U. S. travel and trade restrictions against Cuba.

**Support S.270 - Legislation to establish a process for imposing U. S. unilateral sanctions.**

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House

U. S. Senate

**Commerce Department**

**State Department**

**USTR and USITC**

**18. Name of each individual who acted as a lobbyist in this issue area**

[illegible]

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

**Printed Name and Title** Douglas P. Crew, Manager, Governmental Affairs

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99-000142966



Registrant Name Caterpillar Inc.Client Name Caterpillar Inc.**ADDENDUM for General Lobbying Issue Area TRD****16. Specific lobbying issues (continued from previous page)**

Support H.R. 3057 - Foreign Operations, Export Financing, and Related Programs Appropriations Act of 2006  
Support U. S.-Bahrain free trade agreement.  
Support the WTO Doha Development Agenda.  
Promote Free Trade Agreements with Peru, Colombia, Panama, Egypt and New Zealand

2000142967









Registrant Name Caterpillar Inc.Client Name Caterpillar Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

Support S1159 - a bill to extend subpart F exemption.  
S2020, H.R. 4297 - tax reconciliation bills - extend subpart F active financing exception, R&E credit

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House  
U. S. Senate  
U. S. Treasury Department

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher Myers	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

000142969

Printed Name and Title **Douglas P. Crew, Manager, Governmental Affairs**



Registrant Name Caterpillar Inc.Client Name Caterpillar Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** and provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG - Energy/Nuclear (one per page)

16. Specific lobbying issues

Support - Energy and water appropriation, FY07 appropriations for heavy truck engine program, ARES program.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House  
Office of Management and Budget

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher Myers	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

0000142970



Registrant Name Caterpillar Inc.

**Client Name** Caterpillar Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the firm was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code DEF - Defense (one per page)

## 16. Specific lobbying issues

**Support HR2863 - Defense Appropriation - Service Life Extension Program, construction equipment**

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House  
U. S. Senate

**18. Name of each individual who acted as a lobbyist in this issue area**

[illegible]

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

**Printed Name and Title** Douglas P. Crew, Manager, Governmental Affairs

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142971



Registrant Name Caterpillar Inc.Client Name Caterpillar Inc.**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different than line 20)

City

State/Zip

22. New general description of client's business or activities

**LOBBYIST UPDATE**23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Edward

Kaleta

III

**ISSUE UPDATE**24. General lobbying issues that **no longer** pertain**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Owner per client

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature \_\_\_\_\_

Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

